



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

January 29, 2020

Re: Animal Welfare Assurance
A3472-01 [OLAW Case 5E]

Dr. David Russell
Vice President and Dean of Basic Research
University of Texas Southwestern Medical Center
5323 Harry Hines Boulevard
Dallas, TX 75390-9002

Dear Dr. Russell,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 30, 2019 letter reporting noncompliance with the Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals (Policy) at the University of Texas Southwestern Medical Center. This letter was preceded by a preliminary report that was provided by voicemail on September 23, 2019 to OLAW. According to the information supplied, OLAW understands on September 11, 2019, seven cages of mice were brought by laboratory staff to a lab room that was not approved for animal use on the IACUC protocol. The animals were in the unapproved location for approximately eight hours but did not undergo any procedures. The letter indicated that the associated animal work was funded by a National Institutes of Health grant.

Corrective actions included the Principal Investigator (PI) submitting an amendment to the protocol which was later approved by the IACUC, and the IACUC Chair and Manager met with the PI to discuss the incident.

OLAW accepts the corrective actions and concurs with the submission of this report to comply with the PHS Policy. We appreciate being informed of this incident and find no cause for further action by this Office.

Sincerely,

[Redacted Signature] (b) (6)

Jane Na, DVM, CPIA
Veterinary Medical Officer
Office of Laboratory Animal Welfare

cc: IACUC Contact

A3472

UT Southwestern
Medical Center

David W. Russell, Ph.D.
Vice Provost and Dean of Research
UT Southwestern Medical School
Department of Molecular Genetics
Eugene McDermott Distinguished Chair

December 30, 2019

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

RE: UT Southwestern Medical Center Animal Welfare Assurance Statement # D16-00296
Grant: 1R01HL137723

Dear Dr. Morse,

I write to provide a final report of non-compliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* regarding an incident on September 11, 2019. The IACUC determined that research staff brought seven cages of mice to a laboratory room that was not approved for animal use. The animals were present in the unapproved location for approximately eight hours and did not undergo any experimental procedures when there. This determination was based on observations made by an IACUC Manager and Senior IACUC Liaison who were visiting the room to review it for potential animal use. The incident was first reported to you via voicemail message by an IACUC Manager on September 23, 2019. The PI agreed to not use the location again until it was approved by the IACUC.

The PI submitted an amendment to add a new animal use location to their protocol on October 4, 2019. The IACUC Chair and Manager met with the PI to discuss the incident on October 15, 2019. The amendment was approved thereafter on October 21, 2019.

We believe the actions taken by the IACUC are appropriate for this situation.

Yours sincerely, 

(b) (6)

David W. Russell, Ph.D.
Vice Provost, Dean of Basic Research, and Institutional Official

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, January 08, 2020 7:40 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: (b) (6) David Russell
Subject: RE: Report to OLAW

Thank you for this final report (b) (6) We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Tuesday, January 07, 2020 2:50 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6) David Russell <David.Russell@UTSouthwestern.edu>
Subject: Report to OLAW

To the Division of Compliance Oversight,

Attached is a final report of non-compliance. Please let me know if you have any questions regarding this report.

(b) (6)

(b) (6)

UT Southwestern
Medical Center
The future of medicine, today.



Initial Report of Noncompliance

By: JN

Date: 9/23/19

Time: 5:50 PM voicemail

Name of Person reporting: (b) (6)
Telephone #: (b) (6)
Fax #:
Email:

Name of Institution: **University of Texas Southwestern Medical Center**
Assurance number: **A3472-01**

Did incident involve PHS funded activity? **Yes**
Funding component: **NHLBI**
Was funding component contacted (if necessary): _____

What happened?

On 9/11/19, IACUC Manager/Sr IACUC Liaison discovered that 7 cages of mice were kept outside of housing room for about 7 hours inside a lab room which was not approved on the protocol, but no procedures had been performed.

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

Planning to send final letter in the coming weeks

OFFICE USE ONLY

Case # _____