



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

July 1, 2019

Re: Animal Welfare Assurance
A3414-01 [OLAW Case S]

Dr. Frank Calzonetti
The University of Toledo
Vice President for Research
3000 Arlington Avenue- CCE 2102
Toledo, OH 43614

Dear Dr. Calzonetti,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your June 20, 2019 letter reporting an adverse event involving rats from the University of Toledo, following up on an initial telephone report on May 28, 2019. According to the information provided, OLAW understands out of a total of 88 rats, four animals had died and two required euthanasia after being shipped from Toledo to Finland. The shipping company stated that the animals had food and water and that all procedures were conducted appropriately. The recipient institution has filed a complaint against the shipper.

Thank you for informing OLAW about this matter.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



**OFFICE OF RESEARCH AND
SPONSORED PROGRAMS**

THE UNIVERSITY OF TOLEDO

June 20, 2019

Axel Wolff, D.V.M.
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

Dear Dr. Wolff:

The University of Toledo (UToledo), in accordance with Assurance A3414-01 and PHS Policy IV.F.3., provides this report of noncompliance regarding an incident regarding international shipment of rats to Finland, reported to the IACUC on June 19, 2019.

On May 14, 2019, 88 rats were shipped from UToledo to the University of Finland, using an international animal transport company^{(b) (4)}. The trip required stops in^{(b) (6)} before delivery of the animals to their final destination. All rats were given adequate food and hydration for the trip by UToledo. Upon arrival at the final destination in Finland on May 17, 2019, it was discovered that four (4) rats were dead and two (2) more required euthanasia. The international transport company used has conducted an independent investigation into the incident and found all proper procedures were followed. Despite this, the University of Finland has filed a complaint against the company.

No NIH funds were used on this incident.

The University of Toledo is committed to protecting the welfare of animals used in research. Should you have any questions regarding this report, please contact^{(b) (6)}
^{(b) (6)}

Thank you for your consideration of this matter.

Sincerely,

^{(b) (6)}

Franz Calzavetti PhD
Vice President for Research
Institutional Official

JACK C. SCHULTZ, PH.D.

Senior Executive Director of Research Development
The University of Toledo, 2801 W. Bancroft St., MS 218, Toledo, OH 43606
PH: 419-530-6171; Email: john.schultz@utoledo.edu

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, June 28, 2019 9:56 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Non-Compliance A3414-01

Thank you for this report, (b) (6) I'll send a response shortly.
Axel Wolff

From: (b) (6)
Sent: Friday, June 28, 2019 8:39 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Calzonetti, Frank <frank.calzonetti@utoledo.edu>
Subject: Non-Compliance A3414-01

To Whom it May Concern:

Attached is a letter describing an incident of serious non-compliance. If you have any questions, please feel free to contact us.

Sincerely,

(b) (6)



Initial Report of Noncompliance

By: awDate: 5/28/19Time: 1:00Name of Person reporting: Elaine JosephTelephone #: (b) (6)

Fax #:

Email:

Name of Institution: U of ToledoAssurance number: A3414Did incident involve PHS funded activity? Yes

Funding component: _____

Was funding component contacted (if necessary): _____

What happened?

Rats shipped to Finland + 4 died - May have
Overheated in car

Species involved: Rat

Personnel involved:

Dates and times:

Animal deaths: 4

Projected plan and schedule for correction/prevention (if known): _____

Change SOP

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____