



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

May 23, 2018

Re: Animal Welfare Assurance
A3414-01 [OLAW Case P]

Dr. Frank Calzonetti
The University of Toledo
Vice President for Research
3000 Arlington Avenue- CCE 2102
Toledo, OH 43614

Dear Dr. Calzonetti,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 14, 2018 letter reporting an incident of noncompliance with the Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals (Policy) at the University of Toledo. According to the information supplied, OLAW understands that a cage that contained three dead mice was found in the Biocontainment Unit (BCU) on May 2, 2018 and a second cage of three dead mice that belonged to the same investigator was found in the BCU on May 7, 2018. In both cases, the investigator's staff transferred the cage to the BCU and improperly positioned the cage with inadequate latching of the cage on the rack. As a result of the improper latching, the air flow system did not engage, and the animals died due to asphyxiation. It was reported that the involved animal activity was PHS supported but no funds were used on this activity.

Corrective actions included retraining the Principal Investigator and staff on proper use of the BCU cages on May 9, 2018. Also, the IACUC reviewed and accepted this corrective action plan on May 9, 2018.

Based on the information provided, OLAW concurs with the actions taken by the institution and IACUC to adhere to the PHS Policy. We appreciate being informed of this incident and find no cause for further action by this Office.

Sincerely,

(b) (6)

Jane Na, DVM
Veterinary Medical Officer
Office of Laboratory Animal Welfare

cc: IACUC Contact



**OFFICE OF RESEARCH AND
SPONSORED PROGRAMS**

THE UNIVERSITY OF TOLEDO

A3414-D
Obtained by Rise for Animals
Uploaded to Animal Research Laboratory Overview (ARLO) on 10/17/2020

14 May 2018

Axel Wolff, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

Dear Dr. Wolff:

The University of Toledo, in accordance with Assurance A3414-01 and PHS Policy IV.F.3., provides this report of noncompliance regarding an incident of animals being found dead, reported to the IACUC on May 9, 2018.

On May 2, 2018, a cage of three mice was found dead in the Biocontainment Unit (BCU) after being transferred to it by the investigator's staff. On May 7, 2018, a second cage of three mice was also found dead in the BCU after being transferred to it by the same investigator's staff. In both cases, the cage was not properly positioned and latched on the cage rack in order to engage the air flow system, therefore the mice died of asphyxiation. The investigator's staff and Principal Investigator were retrained on proper use of the BCU cages on May 9, 2018.

At their meeting on May 9, 2018, the IACUC also reviewed and accepted the corrective action plan as submitted by DLAR. Although this protocol is NIH-funded (R15DE026898), no NIH funds were used on this activity.

The University of Toledo is committed to protecting the welfare of animals used in research. Should you have any questions regarding this report, please contact Nikolai Modyanov, PhD, IACUC Chair (IACUCchair@utoledo.edu).

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

Frank J. Calzonetti PhD
Vice President for Research
Institutional Official

Cc: April Harrison, National Institute of Dental and Craniofacial Research

FRANK J. CALZONETTI, PH.D.

Vice President for Research

The University of Toledo, 2801 W. Bancroft St., MS 218, Toledo, OH 43606
PH: 419-530-4749; Email: frank.calzonetti@utoledo.edu

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, May 15, 2018 10:43 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: Harrison, April (NIH/NIDCR) [E]; Calzonetti, Frank; Chair Institute Animal Care & Use Committee
Subject: RE: Non-Compliance Notification

Thank you for providing this report (b) (6) We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)@UToledo.Edu]
Sent: Tuesday, May 15, 2018 8:40 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Harrison, April (NIH/NIDCR) [E] <harrisona@mail.nih.gov>; Calzonetti, Frank <frank.calzonetti@utoledo.edu>; Chair Institute Animal Care & Use Committee <IACUCChair@UToledo.Edu>
Subject: Non-Compliance Notification

Dr. Wolff:

Please find attached a letter describing a recent finding of non-compliance at the University of Toledo. If you have any questions, please do not hesitate to ask.

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(b) (6)