



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

September 21, 2017

Re: Animal Welfare Assurance
A3168-01 [OLAW Case M]

Dr. Ping Wang
Chief Scientific Officer
Feinstein Institute for Medical Research
350 Community Drive
Manhasset, NY 11030

Dear Dr. Wang,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 15, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Center for Comparative Physiology at the Feinstein Institute for Medical Research. According to the information provided, OLAW understands that following surgery, five mice were found with dehiscence of the incision site. Post-operative monitoring had not been conducted by the laboratory staff as described in the approved protocol.

The corrective actions consisted of the veterinarian directing the investigators to use enhanced local and systemic analgesics, to apply a more secure surgical closure, and to amend the protocol to reflect this. The laboratory was placed under enhanced post-approval monitoring. If laboratory staff cannot conduct the post-operative monitoring then central facility technicians can perform this task.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate, correct, and prevent recurrence of the noncompliance. OLAW assumes the affected mice were treated or euthanized. We appreciate having been informed about this matter and find no cause for further action by this Office.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



Feinstein Institute for Medical Research
Northwell Health

A3168-M

Ping Wang, MD
Chief Scientific Officer
Institutional Official
350 Community Drive
Manhasset, NY 11030
Tel: (b) (6)
Email: pwang@northwell.edu

VIA E-MAIL

January 15, 2019

Dr. Axel Wolff
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982
E-mail: olawdco@mail.nih.gov

PHS Assurance Number: D16-00107 (A3168-01)

Dear Dr. Wolff:

I am writing to inform the Office of Laboratory Animal Welfare of an incident of serious non-compliance that occurred at the Center for Comparative Physiology (CCP) at the Feinstein Institute for Medical Research. While the research in question is not federally funded we are reporting this as non-compliance under the terms and conditions of our federal assurance.

A surgical procedure was performed on mice on 12/12/18. Post-operatively, 5 mice were found by facility staff with dehiscence of the incision area. Remaining cohort were stable. After investigation of the incident, it was determined that post-operative monitoring was not conducted by study personnel in accordance with the approved protocol. Consultation with the veterinarian resulted in recommendations for enhanced local and systemic analgesics as well as a more secure incision closure.

Corrective Actions:

- Increased post-approval monitoring for this protocol, specifically, the next cohort of surgical animals.
- Program resources are available to mitigate this issue. If study personnel are incapable of providing post-procedural monitoring of animals as described in the protocol, they may contact the CCP Director in advance to arrange for technical assistance.
- Modification to be made to the protocol for enhanced local and systemic analgesics as well as a more secure incision closure.

Please contact me with any questions or concerns.

Sincerely,

(b) (6)

Ping Wang, MD
Chief Scientific Officer
Institutional Official

cc:
Dr. Kathryn Bayne
Chief Executive Officer, AAALAC International
kbayne@aaalac.org

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, January 30, 2019 3:57 PM
To: Aparicio, Michelle; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Wang, Ping - MD
Subject: RE: Report of Non-Compliance

Thank you for providing this report. We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: Aparicio, Michelle [mailto:MAparici@northwell.edu]
Sent: Wednesday, January 30, 2019 3:53 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: kbayne@aaalac.org; Wang, Ping - MD <PWang@northwell.edu>
Subject: Report of Non-Compliance

Dear Dr. Wolff,

Please see attached report of non-compliance.

Thank you.

Michelle Aparicio, CPIA
Director, Animal Welfare Office
Institutional Animal Care & Use Committee (IACUC)
Institutional Biosafety Committee (IBC)
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 **Feinstein Institute for Medical Research**
Northwell Health®