



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

September 1, 2020

Re: Animal Welfare Assurance
A3464-01 [OLAW Case 100]

Dr. David M. Anderson
Executive Director
Health Sciences Administration
University of Washington
(b) (4) Health Sciences Center, Box 356355
Seattle, WA 98195-6355

Dear Dr. Anderson,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your 24 August 2020 letter reporting a noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at the University of Washington. Your letter supplements the prompt telephone report received in this office on June 16, 2020. According to the information provided it is understood that 5 mice were tail-tipped for genotyping at 6 weeks of age although the protocol did not include tail-tipping mice older than 28 days of age. One mouse had a complication from the procedure. Anesthesia and analgesia required for tail tipping older mice was not used. No unallowable PHS funds were associated with this incident.

Corrective and preventive actions: The PI was counseled and the protocol was reviewed with all lab members along with the IACUC's "Genotyping of Laboratory Mice" policy. Ear punching is approved on the protocol as a tissue collection method and the lab will use this method for mice that need to be genotyped that are older than 28 days. The lab has arranged for ear punch training for one of its staff who will be assigned to perform all ear punching when adult mice need to be genotyped.

OLAW believes that the corrective and preventive measures put in place by the University of Washington are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals for institutional self-monitoring and self-reporting. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.
Morse -S
Date: 2020.09.01 08:39:00 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact

HEALTH SCIENCES ADMINISTRATION
Office of the Executive Director

24 August 2020

Dr. Brent Morse olawdco@od.nih.gov
Office of Animal Welfare, NIH
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

Re: D16-00292 (A3464-01), Mouse Tail-Tipping not on Protocol and Lack of Anesthesia/Analgesia

Dear Dr. Morse:

This is a final report on a situation reported to OLAW by Dr. Stocking in a telephone call on 6/16/2020.

In a Seattle facility, 5 mice were tail-tipped for genotyping at 6 weeks of age, one of which was reported to Veterinary Services for a complication from that procedure. Upon investigation, it was determined that this protocol does not include tail-tipping mice older than 28 days of age. The group also did not use the anesthesia and analgesia required for tail tipping older mice as outlined in the "Genotyping in Laboratory Mice" policy. The Office of Animal Welfare liaison met with the PI to discuss this incident and they reviewed both the IACUC policy on genotyping and the genotyping information currently approved on their protocol.

Corrective Action: The lab reviewed the terms of the relevant protocol with all lab members. It also reviewed the IACUC's "Genotyping of Laboratory Mice" policy with all lab members. The group has ear punch as an approved tissue collection method and will use this method for mice that need to be genotyped that are older than 28 days. The lab has arranged for ear punch training for one of its staff and will assign him to perform all ear punching when adult mice need to be genotyped.

The IACUC reviewed the incident at its August meeting and found no further action necessary. No unallowable PHS funds were associated with this event.

If you have questions regarding this situation or require additional information, please don't hesitate to contact me.

Sincerely,

(b) (6)

David M. Anderson, D.V.M.
Executive Director, Health Sciences Administration
Institutional Official

cc: Dr. Jane Sullivan
Dr. Kim Stocking

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, August 28, 2020 7:31 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Final report of non-compliance

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Thursday, August 27, 2020 11:48 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Jane Sullivan <jmsull@uw.edu>; Kim Stocking <kstock@uw.edu>
Subject: Final report of non-compliance

Please see the attached final report of noncompliance at the University of Washington. Let me know if you have any questions.

(b) (6)





Initial Report of Noncompliance

By: (b) (6)

Date: 6/16/2020

Time: Voicemail

Name of Person reporting: Kim Stocking, AV

Telephone #: (b) (6)

Fax #:

Email: 206-543-2211

Name of Institution: Univ. of Washington

Assurance number: A3464

Did incident involve PHS funded activity? ?

Funding component: _____

Was funding component contacted (if necessary): _____

What happened? Cage of 5 mice tail-clipped @ 6 wks old. Greater than 28 days must be stated on ASP. No analgesia or anesthesia.

Species involved: Mouse

Personnel involved: Researcher One mouse w/ bone exposure

Dates and times: ?

Animal deaths: ?

None

required tail surgery but recovered

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____