



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

May 31, 2016

Re: Animal Welfare Assurance
A3564-01 [OLAW Case D]

Dr. Lane R. Earns
Provost and Vice Chancellor
Academic Affairs
University of Wisconsin-Oshkosh
800 Algoma Boulevard
Oshkosh, WI 54901-8622

Dear Dr. Earns,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 17, 2016 letter reporting and instance of an adverse event involving vertebrate animals at the University of Wisconsin - Oshkosh. Your letter supplements the initial phone call report from **secondary individual** on April 25, 2016. According to the information provided, OLAW understands that on April 21, 2016 a shipment of frogs was received from a vendor. Of the total 14 frogs received, three were found dead upon unpacking. The two interior shipping boxes appeared crushed. Apparently, the moss substrate provided in the boxes by the vendor was too moist and caused collapse of the shipping boxes. It is understood that the activity was not funded by the PHS.

The preventive actions consisted of the vendor updating their packing SOP and will be adding a bright colored "live animal" label to the boxes. The shipping company was also notified of the incident.

OLAW believes that the corrective and preventive measures put in place by the vendor and the University of Wisconsin - Oshkosh are appropriate for this incident and will improve animal welfare. Although this activity was not PHS funded, the application of the PHS Policy prevents the potential appearance of a double standard within your animal care and use program. We appreciate being informed of this matter and find no cause for further action by this office at this time.

Sincerely,

Brent C. Morse, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact

A3564-D



To: Office of Laboratory Animal Welfare
Division of Compliance Oversight
Rockledge One, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Date: May 17, 2016

Re: Final Report of Adverse Event

INSTITUTION: University of Wisconsin Oshkosh

ASSURANCE NUMBER: A3564-01

FUNDING COMPONENT: This research is privately funded and not related to PHS-supported activities.

UW Oshkosh, in accordance with Assurance A3564-01 and PHS Policy IV.F.3., provides this final report of an adverse event regarding a frog shipment on April 21, 2016 in which three frogs were found to be dead on arrival. After contacting Dr. Brent Morse, Office of Laboratory Animal Welfare Division of Compliance, with the details of the event, we were advised to report this as an adverse event since the vendor and shipping company do not have an Assurance. A preliminary report was submitted via email April 25, 2016 by IACUC Administrator **condary individ**

Incident Description:

The incident occurred on April 21, 2016. A frog shipment was received from vendor Carolina Biological Supply Company. The frogs were immediately taken to the lab to be unpacked upon arrival. While unpacking the frogs, the lab member noted that three frogs were dead on arrival. A total of 14 frogs arrived in two separate boxes. One box contained two dead frogs and the other box contained one dead frog. Both interior boxes containing frogs appeared crushed upon opening the outside shipping box in which they were contained. The outside shipping box was fully intact and did not appear damaged. The lab member who unpacked the frog shipment notified the Veterinarian and IACUC office with an Unexpected Event or Problem Report. He also contacted the vendor about the incident and was offered a credit to the account or a replacement shipment.

Upon receipt of the Unexpected Event or Problem Report, IACUC Administration spoke with the lab member who received the frog shipment and asked to look at the shipping boxes and take photos. The vendor was contacted by IACUC Administration for information on their shipping protocol. A conference call was arranged with the Director of Sales and the Quality Control Manager to discuss the incident. The vendor stated that they will notify the shipping company, Fed Ex, about the incident and handling of live animals during shipment. The vendor explained that they were in the process of updating their boxes and will be adding additional bright colored live animal label to the boxes. IACUC Administration shared the photographs of the damaged interior boxes with the vendor following the conference call and the quality control manager shared their frog packing SOP. Given that the exterior box was not damaged, the Quality Control Manager apologized and explained that the moss substrate placed in the box for shipping was likely too moist and caused structural collapse of the interior box. The vendor will follow up with the packing department to ensure their SOP is updated and followed accordingly to ensure animal welfare during shipping.

IACUC Recommendation/Preventive Plan:

The IACUC discussed the details of the event presented in an Unexpected Event or Problem Form at a convened meeting on May 10, 2016. Since the death of the frogs occurred prior to arrival at UW Oshkosh, the IACUC determined that corrective action was limited to following up with the vendor and/or shipping company. The IACUC was satisfied with the following corrective action taken for this incident:

- 1) Contacting the vendor about the frog deaths and concerns during shipment.
- 2) Vendor contacting the shipping company to remind them about careful handling of live animal shipments.
- 3) Vendor updating their packing protocol, re-training employees, and adding additional brightly colored labels to clearly indicate live animal shipments.

If additional frog shipment concerns are noted in the future, the IACUC will consider use of another vendor for live frogs as we are committed to protecting the welfare of animals used in research and teaching.

UW Oshkosh appreciates the guidance and assistance provided by OLAW in this regard. Should you have any questions regarding this report, please contact [redacted] IACUC Administrator, at [redacted] [\[redacted\]@uwosh.edu](mailto:[redacted]@uwosh.edu).

Thank you for your consideration of this matter.

Sincerely,



Lane R. Earns
Provost and Vice Chancellor
Institutional Official

Morse, Brent (NIH/OD) [E]

From: Secondary individual@uwosh.edu>
Sent: Wednesday, May 04, 2016 3:45 PM
To: Morse, Brent (NIH/OD) [E]
Subject: Re: Question regarding reporting of event

Thank you Dr. Morse. We will send a final report from the IACUC signed by the IO.

Best,

Secondary individual

On Wed, May 4, 2016 at 2:33 PM, Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov> wrote:

Hello Secondary individ

First, thank you for contacting OLAW. Yes, please provide us with a letter from the IACUC signed by the I.O. explaining what happened and what you understand the vendor and/or the shipping company is planning to do. Although this isn't the fault of U. W. Oshkosh, animals died and neither the vendor or the shipping company has an Assurance, so OLAW depends on the Assured institution to make the report. Please report this as an "adverse event" since it is not a noncompliance by your institution. I will use your email as the initial prompt report to open a case file. Thank you again.

Sincerely, Brent Morse

Brent C. Morse, DVM, DACLAM

Animal Welfare Program Specialist

Division of Compliance Oversight

Office of Laboratory Animal Welfare

National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: Secondary individual@uwosh.edu]
Sent: Monday, April 25, 2016 11:14 AM

To: Morse, Brent (NIH/OD) [E]
Subject: Question regarding reporting of event

Good morning Dr. Morse,

I am the IACUC Administrator at University of Wisconsin Oshkosh. On 4/21/16 we received a shipment of frogs from Carolina Scientific. Three out of fourteen frogs were dead upon arrival. The secondary containment box holding the frogs appeared undamaged, but the two interior boxes in which the frogs were transported were damaged. We contacted the vendor about the incident and their quality assurance team is looking into the situation and will be contacting the shipping company. We followed our shipping/animal transportation Standard Operating Procedures and the incident was reported to the IACUC office the day of the event. Is this an event that should be formally reported as noncompliance by our animal program?

Please feel free to contact me if you have any questions.

Thank you,

Secondary individual

Secondary individual

Research Administration
University of Wisconsin Oshkosh
Office of Grants and Faculty Development
800 Algoma Boulevard
Oshkosh, WI 54901-8601
Phone: telephone #
Fax: telephone #

Secondary individual

Research Administration
University of Wisconsin Oshkosh
Office of Grants and Faculty Development
800 Algoma Boulevard
Oshkosh, WI 54901-8601
Phone: telephone #
Fax: telephone #