



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 4, 2020

Re: Animal Welfare Assurance
A3464-01 [OLAW Case 10M]

Dr. David M. Anderson
Executive Director
Health Sciences Administration
University of Washington
(b) (4) Health Sciences Center, Box 356355
Seattle, WA 98195-6355

Dear Dr. Anderson,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your 22 May 2020 letter reporting a noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at the University of Washington. Your letter supplements the prompt telephone report received in this office on April 22, 2020. According to the information provided it is understood that in an ABSL3 facility, one cage of 5 mice was not properly docked in the housing rack by a husbandry staff member after water bottle change. As a result, there was no airflow and all animals in the cage died. No unallowable PHS funds were associated with this event.

Corrective and preventive actions: the ABSL3 facility is requiring, barring extenuating circumstances, that two people work in tandem during pre-scheduled, routine water bottle changes. Both individuals will be responsible for ensuring that cages are appropriately docked in the rack. The responsible individual has been re-trained by both the BSL3/ABSL 3 Facility Director and the Facility Manager.

OLAW believes that the corrective and preventive measures put in place by the University of Washington are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals for institutional self-monitoring and self-reporting. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.
Morse -S
Date: 2020.06.04 13:47:52 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact

HEALTH SCIENCES ADMINISTRATION
Office of the Executive Director

22 May 2020

Dr. Brent Morse olawdco@od.nih.gov
Office of Animal Welfare, NIH
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

Re: D16-00292 (A3464-01), Mouse mortality in ABSL3 facility

Dear Dr. Morse:

This is a final report on a situation reported to OLAW by Dr. Stocking in a telephone call on 4/22/2020.

In an ABSL3 facility, one cage of 5 mice was not properly docked in the housing rack after a husbandry staff member changed water bottles in all cages. As a result, airflow was not reestablished when the cage was returned to the rack and all animals in the cage died.

Corrective Actions: Moving forward, the ABSL3 facility is requiring, barring extenuating circumstances, that two people work in tandem during pre-scheduled, routine water bottle changes similar to what is already required for pre-scheduled, routine cage changes. Both individuals will be responsible for ensuring that cages are appropriately docked in the rack. The ABSL3 facility will continue with the standard process of visually confirming that individual cages that have been removed from the rack are correctly docked. The responsible individual has been re-trained by both the BSL3/ABSL 3 Facility Director and the Facility Manager.

The IACUC reviewed the incident at its May meeting and considers the case closed. No unallowable PHS funds were associated with this event.

If you have questions regarding this situation or require additional information, please don't hesitate to contact me.

Sincerely,

(b) (6)

David M. Anderson, D.V.M.
Executive Director, Health Sciences Administration
Institutional Official

cc: Dr. Jane Sullivan
 Dr. Kim Stocking

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, May 27, 2020 7:16 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Final reports of non-compliances

Thank you for these reports, (b) (6) We will send replies soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Tuesday, May 26, 2020 1:11 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: David M. Anderson <danderso@uw.edu>; Jane Sullivan <jmsull@uw.edu>; Kim Stocking <kstock@uw.edu>
Subject: Final reports of non-compliances

Please see the attached 3 final reports of noncompliance at the University of Washington. Let me know if you have any questions.

(b) (6)

(b) (6)

W UNIVERSITY of WASHINGTON



Initial Report of Noncompliance

By: Brent

Date: 4/22/2020

Time: Voicemail

Name of Person reporting: Kim Stocking, AV

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Washington

Assurance number: A3464

Did incident involve PHS funded activity? ?

Funding component:

Was funding component contacted (if necessary):

What happened? One cage of 5 mice in ABL3 facility not fully docked, therefore no air flow. All 5 mice died.

Species involved: mouse

Personnel involved: Animal care tech

Dates and times: ??

Animal deaths: Yes, five mice

Projected plan and schedule for correction/prevention (if known):

Retrained animal care tech. Will require two personnel to verify that cages are properly docked.

Projected submission to OLAW of final report from Institutional Official:

IACUC meets in a few days

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Case #