



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

January 6, 2020

Re: Animal Welfare Assurance
A3230-01 [OLAW Case 5A]

Ms. Pamela S. Caudill
Senior Associate Provost
for Research Administration
Yale University – New Haven
25 Science Park, (b) (4)
New Haven, Connecticut 06520

Dear Ms. Caudill,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 20, 2019 letter reporting an incident of noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at Yale University. According to the information provided, OLAW understands that on September 26, 2019 a mouse IVC was found without a lixit. One mouse was found deceased, two other mice were euthanized and two dehydrated mice recovered with supportive treatment. The animals were supported with NIH funds.

Corrective and preventive actions included retraining of the staff members responsible for this room and the issue was also addressed as a performance issue. It is understood that Yale University will be notifying the funding component of the incident.

OLAW believes that the corrective and preventive measures put in place by Yale University are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals. We appreciate being informed of this matter and find no cause for further action by this office at this time.

Sincerely,

(b) (6)

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact

(b) (6)

Yale Office of Research Administration

PAMBLA S. CAUDILL
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December 20, 2019

Brent Morse, DVM, DACLAM
Office of Laboratory Animal Welfare (OLAW)
National Institute of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Morse:

In accordance with the Public Health Service Policy and Yale University's Animal Welfare Assurance (#D16-00146), I write to report the result of the fact-finding activities regarding an incident that the Yale Animal Resource Center (YARC) identified on September 6, 2019.

On September 26, 2019, YARC found a mouse IVC without a lixit. One mouse was found deceased. The remaining mice in the cage were provided with a supplemental water bottle and moist chow. In addition, Veterinary Clinical Service examined this cage and found that two of the four remaining mice were noted to be hunched and dehydrated and required that these mice be euthanized. The two other mice were dehydrated but were observed eating and drinking, they were monitored for any changes in condition and recovered.

Staff members responsible for servicing the room in the days leading up to this finding received re-training by the YARC Training Manager and further addressed as a performance issue. Retraining consisted of reviewing procedures for cage checks including checking food, water, bedding conditions, differences between a healthy and unhealthy animal, body condition, coat condition, posture, and activity. In addition, all SOPs associated with these topics were reviewed. The IACUC reviewed the details of the incident and accepted this remediation plan without modification at its regularly scheduled meeting on October 9, 2019.

This incident will be reported to the appropriate NIH Institute/Center in accordance with University procedure. Please contact Dr. Troy Hallman (troy.hallman@yale.edu), OARS Director, if you require additional information.

Sincerely,

(b) (6)

Pamela S. Caudill
Institutional Official
Senior Associate Provost for Research Administration

Cc:

(b) (6)

Gary Cline, IACUC Chair, Yale University
James Macy, Attending Veterinarian, Yale University
Troy Hallman, Director, Office of Animal Research Support, Yale University

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, December 23, 2019 10:18 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: Macy, James; Hallman, Troy; Caudill, Pamela; Cline, Gary
Subject: RE: 3 Attached Final Reports from Pamela Caudill of Yale University dated December 20, 2019

Thank you for providing these final reports (b) (6). We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Monday, December 23, 2019 9:58 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Macy, James <james.macy@yale.edu>; Hallman, Troy <troy.hallman@yale.edu>; Caudill, Pamela <pamela.caudill@yale.edu>; accredit@AAALAC.org; Cline, Gary <gary.cline@yale.edu>
Subject: 3 Attached Final Reports from Pamela Caudill of Yale University dated December 20, 2019

Dear Dr. Morse,

I've attached three final reports from Pamela Caudill of Yale dated December 20, 2019.

Happy Holidays!!

Regards,

(b) (6)

(b) (6)

Morse, Brent (NIH/OD) [E]

From: Hallman, Troy <troy.hallman@yale.edu>
Sent: Tuesday, December 31, 2019 5:54 PM
To: Morse, Brent (NIH/OD) [E]
Subject: FW: latest OLAW report

Hi Brent, sorry for the mix-up. The "no lixit" was 09/26 and the "no food" was correctly 09/06. Hope you are having a pleasant holiday season.

Troy

From: (b) (6)
Date: Tuesday, December 31, 2019 at 1:25 PM
To: "Hallman, Troy" <troy.hallman@yale.edu>, (b) (6)
Subject: RE: latest OLAW report

Letter 2 of 3 (b) (6) cage w/o lixit was Sep 26- this is the one with the date error
Letter 3 of 3 (b) (6) cage w/o food was Sep 6

(b) (6)

From: Hallman, Troy
Sent: Tuesday, December 31, 2019 12:30 PM
To: (b) (6)
Subject: FW: latest OLAW report

Looks like we missed an inconsistency with dates. Which date is it?

Troy

From: "Morse, Brent (NIH/OD) [E]" <morseb@mail.nih.gov>
Date: Monday, December 30, 2019 at 3:15 PM
To: "Hallman, Troy" <troy.hallman@yale.edu>
Subject: latest OLAW report

Hi Troy,

In the latest letter from Pam Caudill, dated Dec 20, the first paragraph references a Sep 6 incident but the next paragraph states Sep 26. I don't need a new letter (unless you prefer) but can you clarify which date is correct?
Thanks. Brent

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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