



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

April 7, 2020

Re: Animal Welfare Assurance
A3230-01 [OLAW Case 5B)

Ms. Pamela S. Caudill
Senior Associate Provost
for Research Administration
Yale University – New Haven
25 Science Park, (b) (4)
New Haven, Connecticut 06520

Dear Ms. Caudill,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 31, 2020 letter reporting an incident of noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at Yale University. According to the information provided, OLAW understands that on December 26, 2019 a mouse rack was found disconnected from water. Ten adult mice and seven litters were found deceased. The remaining mice were provided supportive care and the rack was reconnected. The animals were supported with NIH funds.

Corrective and preventive actions included the husbandry technician responsible for this room receiving retraining on standard operating procedures. It is understood that Yale University will be notifying the funding component of the incident.

OLAW believes that the corrective and preventive measures put in place by Yale University are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals. We appreciate being informed of this matter and find no cause for further action by this office at this time.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C. Morse -
S
Date: 2020.04.10 09:18:33 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact

(b) (6)

Yale Office of Research Administration

43230-5B

March 31, 2020

Brent Morse, DVM, DACLAM
Office of Laboratory Animal Welfare
National Institute of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

PAMELA S. CAUDILL
Senior Associate Provost
for Research Administration

PO Box 208327
New Haven CT 06520-8327
T 203 785-3012
F 203 785-3510
pamela.caudill@yale.edu
courier
25 Science Park, Suite 322
New Haven CT 06511

Dear Dr. Morse:

In accordance with the Public Health Service Policy and Yale University's Animal Welfare Assurance (#D16-00146), I write to report the results of the fact-finding activities regarding an incident that the Yale Animal Resource Center (YARC) identified.

On December 26, 2019, YARC found a rack disconnected from the water source. Ten adult mice and 7 litters were deceased. The rack was reconnected to the water source, supplemental bottles were provided to cages of subset of affected remaining animals and Veterinary Clinical Services assessed the condition of the remaining animals in the rack. YARC Managers conducted fact finding and the husbandry technician responsible for servicing the room received re-training of standard operating procedures. The IACUC reviewed the details of the incident and accepted the remediation plan at its regularly scheduled meeting on March 11, 2020.

This incident will also be reported to the appropriate NIH Institute/Center in accordance with University procedure. Please contact Dr. Troy Hallman (troy.hallman@yale.edu) directly if you require additional information.

Sincerely,

(b) (6)

Pamela S. Caudill
Institutional Official
Senior Associate Provost for Research Administration

Cc:

(b) (6)

Gary Cline, IACUC Chair, Yale University
James Macy, Attending Veterinarian, Yale University
Troy Hallman, Director, Office of Animal Research Support, Yale University

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, April 1, 2020 8:03 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A Final Report from Pamela Caudill of Yale University dated March 31, 2020

Thank you for this report, (b) (6) We will send a response soon.
Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Tuesday, March 31, 2020 3:14 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Macy, James <james.macy@yale.edu>; Hallman, Troy <troy.hallman@yale.edu>; Caudill, Pamela <pamela.caudill@yale.edu>; accredit@AAALAC.org; Cline, Gary <gary.cline@yale.edu>
Subject: A Final Report from Pamela Caudill of Yale University dated March 31, 2020

Dear Dr. Morse,

I've attached a final report from Pamela Caudill of Yale dated March 31, 2020.

Regards,

(b) (6)

(b) (6)

From: Caudill, Pamela
Sent: Tuesday, March 31, 2020 2:20 PM
To: (b) (6)
Subject: RE: OLAW letter and return to me for distribution

Thank you

Pam Caudill
(b) (4)