



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

August 6, 2020

Re: Animal Welfare Assurance
A3230-01 [OLAW Case 5C]

Ms. Pamela S. Caudill
Senior Associate Provost
for Research Administration
Yale University – New Haven
25 Science Park, (b) (4)
New Haven, Connecticut 06520

Dear Ms. Caudill,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your July 27, 2020 letter reporting an incident of noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at Yale University. According to the information provided, OLAW understands that on March 6, 2020, a rodent cage was found without food. One mouse was deceased, and a second mouse was hunched and appeared to be dehydrated. It was determined that both mice may have been without food since the last cage change. Although supportive care was immediately given, it was determined that the second mouse should be euthanized. The associated activity was PHS funded.

Corrective and preventive actions included counseling of the husbandry technician responsible for this room. This incident will be reported to the appropriate NIH Institute/Center in accordance with University procedure.

OLAW believes that the corrective and preventive measure put in place by Yale University is consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals. We appreciate being informed of this matter and find no cause for further action by this office at this time.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.
Morse -S
Date: 2020.08.06 15:06:37 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact

(b) (6)

Yale Office of Research Administration

PAMELA S. CAUDILL
Senior Associate Provost
for Research Administration

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New Haven CT 06511

July 27, 2020

Brent Morse, DVM, DACLAM
Office of Laboratory Animal Welfare
National Institute of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Morse:

In accordance with the Public Health Service Policy and Yale University's Animal Welfare Assurance (#D16-00146), I write to report the results of fact-finding activities related to an incident identified by the Yale Animal Resource Center (YARC).

On March 6, 2020, YARC identified a rodent cage absent food. One mouse was deceased, and a second mouse was hunched and appeared to be dehydrated. YARC also observed that the cage was absent of feces. Lab staff had not handled this cage or removed it from the vivarium prior to this finding. Based on these observations, both mice may have been without food since the last cage change.

Immediately upon discovering the situation, YARC husbandry staff provided a supplemental water bottle and moistened chow and contacted Veterinary Clinical Services (VCS). It was determined by VCS that the second mouse be euthanized.

In accordance with Yale procedures, the YARC Unit Manager conducted the fact-finding activity and provided the husbandry technician verbal disciplinary counseling with a focus on attention to details. Retraining of the husbandry technician was determined to be unnecessary due to the individual being a seasoned husbandry technician, who understands daily cage condition and health check SOPs, and has not experienced husbandry-related issues in the last 18 months. The IACUC reviewed the details of the incident at its regularly scheduled meeting on May 13, 2020, and accepted the remediation actions taken without further recommendations.

This incident will be reported to the appropriate NIH Institute/Center in accordance with University procedure. Please contact Dr. Troy Hallman (troy.hallman@yale.edu) directly if you require additional information.

Sincerely,

(b) (6)

Pamela S. Caudill
Institutional Official
Senior Associate Provost for Research Administration

Cc: Kathryn Bayne, Chief Executive Officer, AAALAC International
Gary Cline, IACUC Chair, Yale University
James Macy, Attending Veterinarian, Yale University
Troy Hallman, Director, Office of Animal Research Support, Yale University

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, July 31, 2020 7:20 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: A Final Report from Pamela Caudill of Yale University dated July 27, 2020

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Monday, July 27, 2020 4:08 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Macy, James <james.macy@yale.edu>; Hallman, Troy <troy.hallman@yale.edu>; Caudill, Pamela <pamela.caudill@yale.edu>; accredit@AAALAC.org; Cline, Gary <gary.cline@yale.edu>
Subject: A Final Report from Pamela Caudill of Yale University dated July 27, 2020

Dear Dr. Morse,

I've attached a final report from Pamela Caudill of Yale dated July 27, 2020.

Regards,

(b) (6)

(b) (6)