



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

April 8, 2019

Re: Animal Welfare Assurance  
A3391-01 [OLAW Case 3C]

Dr. Christopher O'Byrne  
Vice President and Associate Dean  
Research Administration & Operations  
Wake Forest University  
Medical Center Boulevard  
Winston-Salem, NC 27157

Dear Dr. O'Byrne,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 19, 2019 letter reporting a violation of institutional policy at Wake Forest University. According to the information provided, OLAW understands that a dead mouse was found in a live trap which had not been checked daily as stated in the pest control standard operating procedure.

The corrective actions consisted of counseling the Attending Veterinarian and issuing a corrective action letter, revising the pest SOP to include daily trap checks, retraining husbandry staff, and revising the daily room checklist to include trap checks.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.  
Deputy Director,  
Office of Laboratory Animal Welfare

cc: IACUC Chair

March 19, 2019

Axel V. Wolff, M.S., D.V.M.  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
RKL1, Suite 1050, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892-7982

Re: Animal Welfare Assurance A3391-01  
AWC19-006; USDA customer number 825; AAALAC #00008

Dear Dr. Wolff:

The Wake Forest University Animal Care & Use Program experienced a deviation from standard procedure that was determined by the Wake Forest University (WFU) Institutional Animal Care and Use Committee (IACUC) to be reportable to the NIH Office of Laboratory Animal Welfare.

PHS Funding:  
N/A

Incident:  
A dead mouse was found in a live trap in a vivarium housing room. The trap had not been checked daily per a pest control SOP.

Preliminary Report:  
No preliminary report was made to OLAW.

Resolution:  
The WFU IACUC contacted the Attending Veterinarian (AV) and discussed current Institutional policies and regulatory compliance related to this concern. The IACUC approved the following actions to resolve this incident:

1. The SOP for husbandry of rodent rooms was revised to include a daily live trap check and husbandry staff were re-trained.
2. The Daily Housing Room Checklist was updated to include a column to document that live traps were checked.
3. A Notification of Corrective Action Letter was sent to the AV.

All actions have been completed/implemented at this time and the WFU IACUC considers this non-compliance matter closed. The program oversight staff will continue to monitor animal care and use procedures to assure compliance with IACUC approved processes.

WFU is committed to protecting the welfare of animals used in research. Please contact Dr. David Lyons of our IACUC (Email: [dlyons@wakehealth.edu](mailto:dlyons@wakehealth.edu); Phone: (b) (6) ) if you wish additional information regarding any aspect of this incident or the subsequent resolution.

Sincerely yours,

(b) (6)

Christopher O'Byrne  
Vice President & Associate Dean  
Research Administration & Operations  
Institutional Official  
Wake Forest Baptist School of Medicine

cc: Dr. Czoty, Chairperson, WF IACUC  
AAALAC International (all OLAW NCI letter)

## **Morse, Brent (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Monday, March 25, 2019 9:59 AM  
**To:** (b) (6) OLAW Division of Compliance Oversight (NIH/OD)  
**Cc:** Paul Czoty; Christopher OByrne  
**Subject:** RE: Final Report on AWC19-006

Thank you for providing this report. We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

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**From:** (b) (6)  
**Sent:** Friday, March 22, 2019 11:55 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** David J. Lyons <dlyons@wakehealth.edu>; (b) (6) Paul Czoty  
<pczoty@wakehealth.edu>; Christopher OByrne <cobyrne@wakehealth.edu>; (b) (6)  
(b) (6) AAALAC International (accredit@AAALAC.org) <accredit@AAALAC.org>  
**Subject:** Final Report on AWC19-006

Please find the final report on the management of the noncompliance incident AWC19-006 that involved the care and use of animals in research or teaching at Wake Forest University (Assurance No. D16-00248 (A3391-01)).

Please contact us with any questions or concerns.

Thank you,

(b) (6)

(b) (6)

