SEMIANNUAL REPORT

ANIMAL CARE AND USE PROGRAM REVIEW AND FACILITY INSPECTION OF THE

National Institute on Aging Intramural Research Program

OCTOBER 2019

Section A – Site Visits & Program Review:

1. Inspections of the National Institute on Aging (NIA) animal facilities (AF) and areas where any surgical manipulations (Surg) are performed (as applicable) were conducted as indicated below:

| Location | Туре | Date | ACUC Members |
|----------------|----------|------------|--|
| Redacted by | AF, Surg | 09/26/2019 | Redacted by agreement Redacted by Perdue, Redacted by agreement |

- 2. Visits by at least one member of the Animal Care and Use Committee (ACUC) to all remaining areas where animal activities were performed were conducted. These visits occurred during the previous six months and findings and corrective actions are described in this or the previous semiannual report.
- 3. The following documents were used as the basis for review of the animal care and use program:

| | Document/Resource |
|---|---|
| ✓ | Guide for the Care and Use of Laboratory Animals, 8 th Edition (Guide) |
| ✓ | NIH OACU "Animal Program Semiannual Assessment Checklist" |
| ✓ | Semiannual Inspection Summary |

4. The program review was conducted in the following manner:

| | Program Review Process |
|---|--|
| ✓ | Full committee member review for ALL of the review, i.e. the documents/resources listed in A3. are included in the meeting packet and reviewed at a convened meeting. |
| | Full committee and subcommittee review, i.e. the documents/resources listed in A3. are assigned to various members who review their parts/sections and then the reviews are discussed with the full committee for a final review/approval. |
| | Designated member review, i.e. the documents/resources listed in A3. are assigned to various members who review their parts/sections and then report the results of their designated review to the full committee. |

Section B – Regulatory Compliance:

Except as noted in Sections F and G, below, the facilities and program are in full compliance with the Public Health Service Policy, the USDA *Animal Welfare Act Regulations (AWARs)*, and the *Guide*, which were used as the basis for this evaluation.

<u>Section C – Program Changes:</u>

The following administrative and procedural changes have occurred since the program was last evaluated:

1. Administrative/Procedural Changes: None

2. Key Personnel Changes:

| Role | Name | Action |
|-------------------|-----------------------|----------|
| ACUC AV | Redacted by agreement | Departed |
| ACUC AV | Kathy Perdue | Joined |
| ACUC AV Alternate | Redacted by agreement | Joined |

3. Animal Facility/Area Changes: None

Section D – Guide Departures & USDA Exceptions:

Departures from the standards of the *Guide*, and exceptions to the USDA *AWARs*, which have been approved by the ACUC, include the following:

1. Departures from the Guide:

| Guide Departure | <i>Guide</i> Citation | Justification |
|--|--------------------------|---------------|
| Nonhuman primates on a long-term food regulation study are weighed at least quarterly, instead of weekly. The diet is formulated to ensure that animals receive nutrient levels that meet or exceed the National Research Council's guidelines for NHP nutrition. Food intake and output is monitored twice daily. | Page 31 | Scientific |
| Mice will be exposed to temperatures outside the <i>Guide</i> recommendations (up to 30°C). Although temperatures are outside the regulatory range, they are still within the documented thermoneutral zone for the mouse and are not expected to cause distress. | Page 44 | Scientific |

2. Exceptions to the AWAR: None

Section E – Previous Deficiencies & Plans:

The ACUC validated that the plans and schedules for deficiencies noted during the previous NIA program review, and facilities and laboratory inspections were achieved within the time intervals projected on the previous semiannual report.

Section F - Current Deficiencies & Plans:

Deficiencies found *over the past 6 months* during NIA program review, facility inspections, and laboratory inspections, are as follows:

| # | Deficiency | ¹M/S | Location | Correction Plan | Responsible Party | Scheduled Completion Date | ² Status: C/P |
|---|--|------|--------------------------|---|-------------------------|---------------------------------|-----------------------------|
| 1 | Expired supplies | М | Redacted by agreement | Dispose of expired supplies | Facility Manager, PI | 10/08/2019 | С |
| 2 | Secondary containers not labeled with contents/expiry dates | М | | Containers labeled and users retrained on proper labeling of secondary containers | Facility Manager, PI | 10/08/2019 | С |
| 3 | Chemical waste carboy not labeled with contents | М | | Carboy labeled | Facility Manager | 10/08/2019 | С |
| 4 | Biosafety cabinet blocking access to eyewash bottle | М | | Cabinet relocated | Facility Manager | 10/08/2019 | С |
| 5 | Chemical waste containers overdue for collection and disposal | М | | Containers collected and replaced | Facility Manager | 10/08/2019 | С |
| 6 | Recapped needles observed in sharps container | М | | Container replaced and users retrained on recapping policy | Facility Manager, PI | 10/08/2019 | С |
| 7 | F/AIR cannister labeled with inconsistent weights | М | | Cannister discarded and replaced | Facility Manager | 10/08/2019 | С |
| 8 | Rat observed with large skin tag on eye but no health observation card | М | | Veterinary staff notified for evaluation | Facility Manager | 10/08/2019 | С |

¹M=Minor; S=Significant

Section G - Reportable Events:

PHS Policy (i.e. OLAW) reportable events that occurred in the last 6 months or that are still awaiting final disposition are as follows:

| SA First Noted | Description of Event | Status |
|-------------------|---|--------|
| Fall 2019 | An individual combined nine mice from six different cages into a cage designed to hold a maximum of five mice. The cage was marked for euthanasia the next day. The following morning a technician identified two dead mice in the cage and one severely wounded. | Closed |

²C=Corrected; P=Pending

Section H - Shared & Central Facilities:

This semiannual report also encompasses review and oversight of animals and animal activities that were present or occurred in shared or central facilities. Deficiencies were noted and transmitted directly to the facility, and if necessary, to the responsible ACUC. These reviews were conducted as indicated below:

| Building Date | | ACUC Members | | |
|-----------------------|------------|--|--|--|
| Redacted by agreement | 09/30/2019 | Redacted by agreement, Perdue, Redacted by agreement | | |
| | 09/17/2019 | Redacted by agreement | | |

Section I - Minority Report:

There is not a minority report filed with this semiannual report.

NIA ACUC Member Signatures:

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| ACUC Chair | |
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National Institute on Aging

Assurance#: A-4149-01 Date: October 31, 2019

Institutional Animal Care & Use Committee Roster

| | | | | New |
|-----------------------|--------------------|-------------------------|----------------------------|--------|
| Member Name | Degree/Credentials | Position Title | PHS Policy Membership Role | Member |
| Jeff Long | PhD | Principal Investigator | Chair | |
| Kathy Perdue | DVM, DACLAM | Animal Program Director | Attending Veterinarian | |
| Redacted by agreement | | | | |
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| ACUC Chair Mailing Address & Phone # | National Institute on Aging Redacted by agreement | Attending Vet Phone # | Redacted by agreement |
|--------------------------------------|--|-----------------------|-----------------------|
| | | | |

NATIONAL INSTITUTES OF HEALTH Facilities and Animal Species Inventory Table Assurance Number: A-4149-01

IC Name: National Institute on Aging

Fall Semiannual Report Submission Date: October 31, 2019

| Spring Program Review Date(s): | 4/15/19 | | | |
|-----------------------------------|----------|--|--|--|
| Fall Brogram | | | | |
| Fall Program Review Date(s): | 10/21/19 | | | |

| Bldg/Area/Rm | Facility Insp. Date(s) Spring / Fall | | AF/SF; Gross Sq. Ft. | Species Housed | | | Average Daily Inventory | | |
|--------------|---|---------|-------------------------|--------------------------|-------------------|----------------------|-------------------------|------------------|----------------|
| Redacted | 3/28/19 | 9/26/19 | Redacted by agreement | ¹ Mice | ² Rats | ³ Rabbits | 1 19,000 | ² 900 | ³ 5 |
| | | | | ⁴ Guinea Pigs | 5 | 6 | 4 2 | 5 | 6 |
| | | | | 1 | 2 | 3 | 1 | 2 | 3 |
| | | | | 4 | 5 | 6 | 4 | 5 | 6 |
| | | | | 1 | 2 | 3 | 1 | 2 | 3 |
| | | | | 4 | 5 | 6 | 4 | 5 | 6 |
| | | | | 1 | 2 | 3 | 1 | 2 | 3 |
| | | | | 4 | 5 | 6 | 4 | 5 | 6 |
| | | | | 1 | 2 | 3 | 1 | 2 | 3 |
| | | | | 4 | 5 | 6 | 4 | 5 | 6 |

Semiannual Report Attachment 3 Supplemental Information Fall 2019

1. Number of approved Animal Study Proposals (ASPs) as of 31 Oct 2019:

70

2. Performance standards:

The NIA ACUC has approved the following species housed together in the same room: guinea pigs and rats (one room); and mice and rats (one room). The animals are housed such that there is no visible contact between species and the cage changes are scheduled on different days. Additionally, animals are monitored for behavior and there have been no issues indicative of stress.