

## DEPARTMENT OF HEALTH & HUMAN SERVICES

FOR US POSTAL SERVICE DELIVERY: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500, MSC 6910 Bethesda, Maryland 20892-6910 Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

January 6, 2020

## PUBLIC HEALTH SERVICE NATIONAL INSTITUTES OF HEALTH

FOR EXPRESS MAIL: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500 Bethesda, Maryland 20817 <u>Telephone</u>: (301) 496-7163 <u>Facsimile</u>: (301) 402-7065

Re: Animal Welfare Assurance #A3290-01 (OLAW Case 1Y)

Todd R. Evans, Ph.D. Associate Dean for Research, WCM Weill Medical College of Cornell University 1300 York Avenue, LC-708 New York, NY 10065

Dear Dr. Evans,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 20, 2019 letter reporting a non-compliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at Weill Cornell Medicine. According to the information provided it is understood that during a semi-annual inspection a rat was observed that appeared to be moving during a non-survival surgery. The procedure was immediately stopped, the animal given additional anesthetic and the procedure continued once it was confirmed that the rat was completely anesthetized. The related activity is not PHS-funded.

Corrective and preventive actions included a proposal by the PI that all fellows in the program must complete rodent surgery training (which includes anesthesia training) prior to performing any procedures. In addition, all future surgical training procedures would be supervised by the PI of the surgical training program.

OLAW believes that the corrective measures put in place by Weill Cornell Medicine are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals. Although this activity was not PHS funded, the application of the expectations of the PHS Policy across the animal care and use program reduces any potential appearance of a double standard. We appreciate being informed of these matters and find no cause for further action by this office.

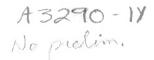
Sincerely,

(b) (6)

Brent C. Morse, DVM Director Division of Compliance Oversight Office of Laboratory Animal Welfare

cc: IACUC Contact





Institutional Animal Care and Use Committee 1300 York Avenue, Box 5 New York, NY 10065

Telephone: 646-962-2981/2 Email: iacucadmin@med.comell.edu

445 East 69<sup>a</sup> Street, Olin Hall Rm-423 New York, NY 10065

December 20, 2019

Brent Morse, DVM Director, Division of Compliance Oversight Office of Laboratory Animal Welfare National Institutes of Health Rockledge 1, Suite 360, MSC 7982 6705 Rockledge Drive Bethesda, MD 20892-7982

Re: Assurance # D16-00186

Dear Dr. Morse:

We are writing to report one incident of non-compliance that occurred within the animal care and use program at Weill Cornell Medicine. The incident was presented to and discussed by the IACUC during the month of December. The incident and resolution are as follows:

During a semi-annual inspection, the inspectors noticed a rat that appeared to be moving during a non-survival surgery (vasectomy) performed as part of a surgical training protocol. The procedure was immediately stopped by the inspectors, the animal was given additional ketamine/xylazine and the procedure continued once it was confirmed that the rat was completely anesthetized.

The IACUC sent correspondence to the PI stating that a plan must be put in place to ensure all animals are given appropriate anesthesia during surgical procedures and that no surgical procedures were to take place until that plan was approved by the IACUC. The PI proposed that all fellows in the program (M.D. Surgical Fellows) must complete rodent surgery training (which includes anesthesia training) prior to performing any procedures. In addition, all future surgical training procedures would be supervised by the PI of the surgical training program. (No PHS grants associated with this protocol.) We believe that this issue has been adequately addressed and that the procedures implemented should prevent recurrence. Should you have any questions or concerns, please contact me at <sup>(b) (6)</sup> <sup>(b) (6)</sup> or the IACUC Chairman, Dr. Andrew Nicholson, at <sup>(b) (6)</sup>

Sincerely,

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(b) (6)

Todd R. Evans, Ph.D. Institutional Official Associate Dean for Research Weill Cornell Medicine

## Morse, Brent (NIH/OD) [E]

From:	OLAW Division of Compliance Oversight (NIH/OD)
Sent:	Monday, December 23, 2019 9:08 AM
To:	(b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc:	Andrew C. Nicholson; Todd R. Evans
Subject:	RE: WCM IACUC Incident Report (#D16-00186)

Thank you for providing this final report (b) (6) We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM Director Division of Compliance Oversight Office of Laboratory Animal Welfare National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: (b) (6) Sent: Friday, December 20, 2019 1:59 PM To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov> Cc: Andrew C. Nicholson <nicholso@med.cornell.edu>; Todd R. Evans <tre2003@med.cornell.edu> Subject: WCM IACUC Incident Report (#D16-00186) Importance: High

Dear OLAW:

Please find a WCM IACUC incident report attached and let me know if any further clarifications are required.

Best regards, (b) (6)

(b) (6)

