

## Annual Report to OLAW

Institution: The Buck Institute
Assurance Number: D16-00624 (formerly #A4213-01)
Reporting Period: January 1-September 30, 2020

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

### I. Program Changes

- [ ☐ ] A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance.
- [ ☒ ] B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period.

Select all that apply:

- [ ☐ ] This institution's AAALAC accreditation status has changed ([PHS Policy IV.A.2.](#)).
- [ ☐ ] [AAALAC Accredited](#) – Category 1
- [ ☐ ] Non-Accredited – Category 2
- [ ☒ ] This institution's program for animal care and use has changed ([PHS Policy IV.A.1.a-i.](#)). The IACUC added the option that all IACUC members have agreed in writing that the quorum of members present at a convened meeting may decide by unanimous vote to use designated member review subsequent to full committee review when a modification is needed to secure approval. However, any member of the IACUC may, at any time, request to see the revised protocol and/or request FCR of the protocol.
- [ ☒ ] The individual designated by this institution as the Institutional Official has changed.
- [ ☒ ] The membership of this institution's IACUC has changed.

### II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency.

#### A. Program Evaluations

Date 1: April 14, 2020	Date 2: September 18, 2020
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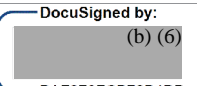
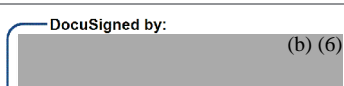
**B. Facility Inspections**

Date 1: None conducted due to COVID-19 shutdown. On 3/18/20, we received a waiver from Patricia Brown, OLAW Director.	Date 2: September 16, 2020
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**III. Minority Views**

- [ ☒ ] A. There were **no minority** views during this reporting cycle.
- [ ☐ ] B. Any minority views submitted by members of the IACUC regarding reports filed under [PHS Policy IV.F.](#) for this reporting cycle are attached.

**IV. Signatures**

IACUC Chairperson	Institutional Official
Name: Ram Rao	Name: Juniper Pennypacker
Signature:  DocuSigned by: (b) (6) BAF970FCB78B4DB...	Signature:  DocuSigned by: (b) (6) 4DCFE2D06FEA402...
Date: 11/10/20	Date: 11/10/20

**V. Change in Institutional Official**

Name: Juniper Pennypacker	
Title: VP of Scientific Operations and Chief of Staff	Degree/Credentials: BS
Name of Institution: The Buck Institute	
Address: 8001 Redwood Blvd Novato, CA 94945	
Phone:  (b) (6)	Fax: none
E-mail: jpennypacker@buckinstitute.org	

**VI. Change in IACUC Membership (list as of 2/21/20)**

<b>Institution: The Buck Institute. Anne Neill, IACUC Manager</b>			
<b>IACUC Contact Information</b>			
Address: 8001 Redwood Blvd Novato, CA 94945			
E-mail	(b) (6) @buckinstitute.org		
Phone	(b) (6)	Fax: none	
<b>IACUC Chairperson</b>			
Name: Ram Rao			
Title: IACUC Consultant		Degree/Credentials: PhD	
PHS Policy Membership Requirements***: S			
<b>IACUC Roster</b>			
Name of Member/ Code*	Degree/ Credentials	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
(b) (6)			A, NS
			NA, S
			S
Krista (Lindstrom) Cornwell	DVM, MPH, Diplomate, ACI AM	Attending Veterinarian	V
(b) (6)			NA, NS
			S
			S
			S
			NA, NS (alt Bozdech)
			S
			V
			S (non-voting)

\* Names of members, other than the chairperson and veterinarian, may be represented by a

number or symbol in this report to OLAW. Sufficient information to determine that all appointees are appropriately qualified must be provided and the identity of each member must be readily ascertainable by the institution and available to authorized OLAW or other PHS representatives upon request.

\*\* List specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not "community member" or "retired").

\*\*\* [PHS Policy](#) Membership Requirements:

<i>Veterinarian</i>	veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
<i>Scientist</i>	practicing scientist experienced in research involving animals.
<i>Nonscientist</i>	member whose primary concerns are in a nonscientific area (e.g., ethicist, lawyer, member of the clergy).
<i>Nonaffiliated</i>	individual who is not affiliated with the institution in any way other than as a member of the IACUC and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user or former user. A consulting veterinarian may not be considered nonaffiliated.

### Statement of Burden

Public reporting burden for this collection of information is estimated to average 90 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0765). Do not return the completed form to this address.