



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

April 25, 2019

Re: Animal Welfare Assurance
#A3284-01 (OLAW Case 1W)

Dr. Jill C. Pipher
Vice President for Research
Brown University
47 George St. - Box 1937
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 15, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Brown University. According to the information provided, OLAW understands that a live research mouse was found in the carcass refrigerator due to a failure to ensure death following a euthanasia procedure.

The immediate action taken upon discovery consisted of appropriately euthanizing the mouse. No responsible individual could be identified, therefore the two laboratories which could have been involved were notified and staff was retrained. All rodent users will be reminded about the institutional euthanasia policy.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



BROWN

April 15, 2019

Axel Wolff, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

RE: Noncompliance Report, Assurance D16-00183 (A3284-01)

Dear Dr. Wolff:

The Brown University IACUC, through the Institutional Official (Jill C. Pipher, PhD), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

On Monday, March 4th at approximately 9:30 am, an Animal Care Technician (ACT) observed a live mouse within the carcass refrigerator. The mouse was not properly euthanized and was able to escape the untied bag. The ACT humanely euthanized the mouse.

This incident occurred in a lab space shared by multiple investigators. After reaching out to each lab and checking door swipe card access, Animal Care was unable to definitively identify the person responsible.

The IACUC discussed this incident at its convened meeting on April 5th, 2019, and understands that the following corrective actions have taken place:

- Following the event, the PIs of the two labs identified as potentially responsible for the incident requested a meeting with the Animal Care staff and the associated lab members to discuss the seriousness of the event and a plan to prevent recurrence of this incident.
- Animal Care provided refresher training to both labs on proper euthanasia methods.
- Animal Care will send a notice to all rodent users reminding them of the IACUC approved euthanasia policy.

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact (b) (6) Animal Research Protection Program, at (b) (6) or (b) (6)

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

A large rectangular grey box redacting the signature and name of the sender.

Jill C. Pipher, PhD
Vice President for Research, Institutional Official
Brown University

cc: Diane Hoffman-Kim, PhD, IACUC Chair
Lara Helwig, DVM, Attending Veterinarian
(b) (6)
Keri Godin, Director, Office of Research Integrity

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, April 15, 2019 9:46 AM
To: IACUC; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Diane Hoffman-Kim; Lara Helwig; (b) (6) Keri Godin
Subject: RE: Event Report - Assurance A328401

Thank you for providing this report. We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: (b) (6) **On Behalf Of IACUC**
Sent: Monday, April 15, 2019 8:47 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Diane Hoffman-Kim <Diane_Hoffman-Kim@brown.edu>; Lara Helwig <lara_helwig@brown.edu>; (b) (6)
(b) (6) Keri Godin <keri_godin@brown.edu>
Subject: Event Report - Assurance A328401

Good afternoon Dr. Wolff,

Please see the attached reportable event that was discussed at the April 5, 2019 IACUC meeting. Please feel free to contact me with any questions.

Sincerely,

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(b) (6)

(b) (6)

