



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

October 2, 2019

Re: Animal Welfare Assurance
#A3284-01 (OLAW Case 1Z)

Dr. Jill C. Pipher
Vice President for Research
Brown University
47 George St. - Box 1937
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 26, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Brown University. According to the information provided, OLAW understands that animals were not consistently monitored twice a day for three days following surgery as stated in the protocol. This constitutes a repeat deficiency for the same issue for this laboratory.

The corrective actions consist of requiring the laboratory staff to undertake retraining on peri-operative care, surgical monitoring, and recordkeeping.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy. Please remember to always state the species involved.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair

**BROWN**

September 26, 2019

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

RE: Noncompliance Report, Assurance D16-00183 (A3284-01)

Dear Dr. Morse:

The Brown University IACUC, through the Institutional Official (Jill C. Pipher, PhD), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

During semiannual inspections on August 9th, 2019, it was observed on the surgical and post-operative records that animals were not being consistently monitored twice a day for three days as described in the IACUC-approved protocol. The IACUC found this to be a repeat deficiency, as this same issue was identified in this lab in January 2017.

This event occurred under an IACUC protocol funded by a National Institute of Neurological Disorders and Stroke grant (5R01NS100016-03).

The IACUC discussed this issue of repeat noncompliance at its convened meeting on September 6, 2019, and understands that the following corrective actions have taken or will take place:

All laboratory members must participate in another mandatory retraining with the Animal Care veterinary team on peri-operative/post-operative care, surgical monitoring, and proper recordkeeping techniques.

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact

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Thank you for your consideration of this matter.

Sincerely,

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Jill C. Pipher, PhD
Vice President for Research, Institutional Official
Brown University

cc: Diane Hoffman-Kim, PhD, IACUC Chair
Lara Helwig, DVM, Attending Veterinarian
(b) (6)
Keri Godin, Senior Director, Office of Research Integrity

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, September 26, 2019 9:18 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Event Report - Assurance A3284-01

Thank you for this report. We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6) **On Behalf Of** IACUC
Sent: Thursday, September 26, 2019 8:00 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Diane Hoffman-Kim <Diane_Hoffman-Kim@brown.edu>; Lara Helwig <lara_helwig@brown.edu>; Keri Godin <keri_godin@brown.edu>; Jill Pipher <jill_pipher@brown.edu>; (b) (6)
Subject: Event Report - Assurance A3284-01

Dear Dr. Morse,

Please see the attached reportable event that was discussed during the September 6, 2019 IACUC Meeting. Please feel free to contact me with any questions.

Sincerely,

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