



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163

September 5, 2019

Re: Animal Welfare Assurance
A3922-01 [OLAW Case 2K]

Ms. Peggy McGill
Sr. Vice President of Research Administration
Rhode Island Hospital
1 Hoppin St., (b) (4)
Providence, RI 02903

Dear Ms. McGill,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 3, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Rhode Island Hospital. According to the information provided, OLAW understands that 12 mice were subjected to surgery two days after arrival, although the institutional policy states that all animals must be acclimated for three days before conducting procedures. Furthermore, the surgical procedure had not been included in the protocol.

The corrective action consisted of retraining the research staff on the acclimation policy and amending the protocol to include the surgery.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Contact



Lifespan

Office of Research Administration

Administrative Office for the Animal Welfare Committee
1 Hoppin St, Suite 1.300 Providence, RI 02903
Phone: 401-444-2093 Fax: 401-444-7960

**Rhode Island Hospital
The Miriam Hospital**

September 3, 2019

Brent Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
Rockledge 1, Suite 360, MSC 7982
Bethesda, Maryland 20892-7982

RE: Animal Welfare Incident at Rhode Island Hospital (Institutional Assurance No: A3922-01)

Dear Dr. Morse,

I am writing to inform you of an incident of non-compliance with PHS Policy on the Humane Care and Use of Laboratory Animals at our institution. The incident was associated with work supported with internal funding.

Briefly, survival surgery was performed on 12 pregnant mice two days after arrival at RIH, earlier than the institution-mandated minimum 3-day acclimation period. Additionally, the surgical procedure was not included in the currently approved protocol. The procedure had been approved as part of the original protocol, but it was inadvertently omitted in the recent de novo submission.

The researchers self-reported the unapproved surgical procedure error, took full responsibility and were very cooperative as the incident was investigated. Refresher training was provided regarding the importance of acclimation, and an amendment was submitted and approved to add the surgical procedure back onto the protocol.

The IACUC reviewed the matter and accepted the corrective action plan at its regularly scheduled meeting on 8/28/2019.

Sincerely,

(b) (6)

Peggy McGill, MA, CRA
Vice President, Research Administration
Lifespan Institutional IO

cc:

(b) (6)

Wolff, Axel (NIH/OD) [E]

From: Wolff, Axel (NIH/OD) [E]
Sent: Wednesday, September 4, 2019 7:28 AM
To: OLAW Division of Compliance Oversight (NIH/OD)
Subject: FW: Animal Welfare Incident- Assurance A3922-01

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, September 4, 2019 7:28 AM
To: (b) (6)
Subject: RE: Animal Welfare Incident- Assurance A3922-01

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Wednesday, September 4, 2019 7:04 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: Animal Welfare Incident- Assurance A3922-01

Good Morning,
Enclosed, please find a letter from our IO regarding a recent incident of non-compliance.
Please contact me if you have any questions about this matter.
Thank you

(b) (6)

(b) (6)

For more information, please visit our website at www.Lifespan.org/research

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