



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive - MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

June 8, 2017

Re: Animal Welfare Assurance
#A3645-01 (OLAW Case X)

Dr. Greg Westergaard
President and CEO
Alpha Genesis, Inc.
95 Castle Hall Road, PO Box 557
Yemassee, South Carolina 29945

Dear Dr. Westergaard,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 31 2017 letter responding to our May 25th request for additional information regarding the death of a PHS-supported animal at Alpha Genesis, Inc. From the additional information provided OLAW understands that the animal that reached through her enclosure and grabbed a partially buried extension cord was supported by contract number HHSN272201000051C and that the funding component has been notified of the April 22, 2017 incident. The cord was contained within a PVC pipe buried outside the enclosure and it is not clear how the animal accessed the cord and pulled it into the enclosure. The cord was used to power temporary heaters and all such cords have been collected and stored.

OLAW appreciates the consideration of this matter by Alpha Genesis, Inc. which was consistent with the philosophy of institutional self-regulation. Based on the additional information provided, OLAW is satisfied that appropriate actions have been taken to address the current issue. In order to prevent recurrence OLAW would expect your institution to consider all options available regarding securing the electrical cords from access by the animals. We appreciate the additional information regarding this matter and find no cause for further action by this office at this time.

Sincerely,

Brent C. Morse, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact

Dr. Elizabeth Goldentyer, Director Eastern Sector, USDA, APHIS, AC



AlphaGenesisInc.com
866.789.MONK (6665)
Fax 843.589.5290
95 Castle Hall Road
Yemassee, SC 29945

Primates
Research
Housing
Bio Products

May 31, 2017

Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institute of Health
6705 Rockledge Drive
RKL 1, Suite 360, MSC 7982
Bethesda, MD 20892-7982

Dear Dr. Morse,

This letter is in response to the letter received from OLAW dated 5/25/17 regarding Animal Welfare Assurance #A3645-01 Case W.

The PHS-supported animal was found dead on 4/22/17. The contract number that supported the animal is HHSN272201000051C. The funding component has been notified. The extension cord was buried in a location adjacent to the enclosure to provide electricity to temporary heaters we provide to the animals for warming during the winter months. The cords are buried in a PVC pipe to prevent the animals from accessing the cords. The cord was not in use or plugged in at the time (i.e. was not conveying electricity) and all similar cords have been collected and stored for the spring/summer/fall. The animal was somehow able to access the cord and pull it into the enclosure despite it being buried outside the enclosure.

Sincerely,

Dr. Greg Westergaard
Institutional Official
Alpha Genesis Inc
gwprimate@alphagenesisinc.com

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, May 31, 2017 12:57 PM
To: 'Kim Schmidt'
Subject: RE: AGI response for Assurance #A3645-01 Case W

Thank you for this update, Dr. Schmidt. Dr. Morse will respond soon.

Axel Wolff, M.S., D.V.M.
Director, Division of Compliance Oversight
OLAW

From: [redacted]ndary Indiv@gmail.com [mailto:[redacted]ndary Indiv@gmail.com] **On Behalf Of** Kim Schmidt
Sent: Wednesday, May 31, 2017 12:12 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Dr. Greg Westergaard <gwprimate@alphagenesisinc.com>; [redacted]Secondary Individual [redacted]@alphagenesisinc.com>;
FrontDesk for Alpha Gen <frontdesk@alphagenesisinc.com>
Subject: AGI response for Assurance #A3645-01 Case W

Please find attached.

--

Kimberly Schmidt, DVM


Attending Veterinarian

[redacted]
Phone Number

[redacted]
Phone Number

www.AlphaGenesisInc.com



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May 25, 2017

Re: Animal Welfare Assurance
#A3645-01 (OLAW Case X)

Dr. Greg Westergaard
President and CEO
Alpha Genesis, Inc.
95 Castle Hall Road, PO Box 557
Yemassee, South Carolina 29945

Dear Dr. Westergaard,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 16, 2017 letter reporting two accidents involving juvenile *Macaca mulatta* within the animal care and use program at Alpha Genesis, Inc. From the information provided OLAW understands that in the first incident the animal reached through its enclosure and grabbed a dislodged cable that opened and closed a chute door. The animal was found dead. A clear cause of death was not determined. To correct and prevent this issue, a facility-wide inspection was conducted to ensure no other such cables remained within reach of any animals. This animal was not NIH funded.

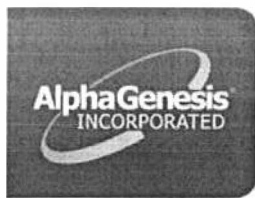
In the other incident the animal reached through her enclosure and grabbed a partially buried extension cord. The cord was not plugged in and not in use. The animal was found dead. A clear cause of death was not determined. To correct and prevent this issue, all similar areas were checked and buried cords within reach of animal enclosures were removed. This animal was PHS funded.

OLAW believes that the corrective and preventive measures by Alpha Genesis, Inc. are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals for institutional self-monitoring and self-reporting. Regarding the animal that was PHS-supported, please provide the date that the animal was found dead and the grant or contract number that supported the animal. Has the funding component been notified of the incident? Please also provide additional explanation for why an extension cord was buried in this location. Was the cord found within the enclosure? We appreciate being informed of these matters and **request your reply to this office by June 5, 2017.**

Sincerely,

Brent C. Morse, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



AlphaGenesisInc.com
866.789.MONK (6665)
Fax 843.589.5290
95 Castle Hall Road
Yemassee, SC 29945



May 16, 2017

Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institute of Health
6705 Rockledge Drive
RKL 1, Suite 360, MSC 7982
Bethesda, MD 20892-7982

Dear Director,

This self-report describes two accidents that occurred on 4/16/2017 and 4/22/2017. AGI IACUC conducted an immediate investigation of the incidents and has concluded that it is an issue that should be self-reported to OLAW. These accidents affected one PHS supported animal and one non-PHS supported animal.

Both accidents involved juvenile *Macaca Mulatta*. The non-PHS supported animal reached through its enclosure and grabbed on to a cable that opened and closed a chute door. It is suspected the cord became dislodged during a wind storm the prior evening. The animal was found dead. Gross necropsy and histopathology results showed no clear cause of death although it is possible that the cable was involved. Corrective actions included a facility wide inspection to ensure no other such door access cables remained within reach of any animals.

The other accident concerning the PHS supported animal involved the animal reaching out of her home enclosure to access a partially buried extension cord. The cord was not plugged in and was not in use. The animal was found dead. Gross necropsy and histopathology results showed no clear cause of death though it is possible the cord was involved. Corrective actions for this accident include checking all similar areas and removing buried cords within reach of animal enclosures.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Greg Westergaard'.

Dr. Greg Westergaard
Institutional Official
Alpha Genesis Inc
gwprimate@alphagenesisinc.com

Morse, Brent (NIH/OD) [E]

From: [REDACTED]ndary Indiv@gmail.com on behalf of Kim Schmidt <drkim@alphagenesisinc.com>
Sent: Wednesday, May 17, 2017 8:23 AM
To: OLAW Division of Compliance Oversight (NIH/OD)
Cc: Dr. Greg Westergaard; [REDACTED]econdary Individu
Subject: Re: Self-report AGI PHS Assurance A3645

Good morning Dr. Morse,

The letter was not intended as a preliminary report. Please let us know your questions so we can follow up with details and explanation needed.

Thank you.

On Wed, May 17, 2017 at 7:25 AM, OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov> wrote:

Was the letter intended a preliminary report? If not, we would need to ask for more details. Thank you.

Brent C. Morse, DVM, DACLAM

Animal Welfare Program Specialist

Division of Compliance Oversight

Office of Laboratory Animal Welfare

National Institutes of Health

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From: Gmail [mailto:[\[REDACTED\]ndary Indiv@gmail.com](mailto:[REDACTED]ndary Indiv@gmail.com)]
Sent: Tuesday, May 16, 2017 10:17 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: Re: Self-report AGI PHS Assurance A3645

I'll let you know more later.

Sent from my iPhone

On May 16, 2017, at 3:36 PM, OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov> wrote:

Thank you for this report. We will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM

Animal Welfare Program Specialist

Division of Compliance Oversight

Office of Laboratory Animal Welfare

National Institutes of Health

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From: Secondary_Indiv@gmail.com [mailto:Secondary_Indiv@gmail.com] **On Behalf Of** Kim Schmidt
Sent: Tuesday, May 16, 2017 2:23 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Dr. Greg Westergaard <gwprimate@alphagenesisinc.com> Secondary_Individual@alphagenesisinc.com;
Secondary_Indiv@alphagenesisinc.com; FrontDesk for Alpha Gen <frontdesk@alphagenesisinc.com>;
Macelderry, Lisa K - APHIS <lisa.k.macelderry@aphis.usda.gov>
Subject: Self-report AGI PHS Assurance A3645

Please find attached.

--

Kimberly Schmidt, DVM

Attending Veterinarian

Phone Number

Phone Number (fax)

www.AlphaGenesisInc.com

<image002.jpg>



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--

Kimberly Schmidt, DVM

Attending Veterinarian

Phone Number

Phone Number (fax)

www.AlphaGenesisInc.com



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