



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

February 1, 2018

Re: Animal Welfare Assurance
#A3645-01 (OLAW Case 1A)

Dr. Gregory Westergaard
President and CEO
Alpha Genesis, Inc.
95 Castle Hall Road, PO Box 557
Yemassee, South Carolina 29945

Dear Dr. Westergaard,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 30, 2018 letter reporting a serious deviation from the provisions of the *Guide for the Care and Use of Laboratory Animals* at Alpha Genesis, Inc. According to the information provided, OLAW understands that a macaque died from hypothermia after being locked out of the heated enclosure during a cold night. A technician and supervisor failed to check and ensure that all monkeys had been closed up inside.

The corrective actions consisted of terminating the technician, issuing written warnings to the supervisors, and suspending the Director of the site for one week. All staff will be retrained on institutional procedures.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair
Dr. Robert M. Gibbens, USDA, APHIS, AC

13645-1A



AlphaGenesisInc.com
866.789.MONK (6665)
Fax 843.589.5290
95 Castle Hall Road
Yemassee, SC 29945



January 30, 2018

Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institute of Health
6705 Rockledge Drive
RKL 1, Suite 360, MSC 7982
Bethesda, MD 20892-7982

Dear Director,

This self-report describes an incident that occurred on 1/26/2018. The contract number that supported the animal is HHSN272201000051C. The funding component has been notified. The Alpha Genesis, Inc. assurance number is D16-00387 (A3645-01).

A *Macaca fascicularis* was found dead in the outdoor portion of his enclosure while the rest of his group was secured in a heated back up overnight. It is AGI SOP to secure *Macaca fascicularis* in indoor heated housing overnight in the winter when outdoor ambient temperatures reach real feel lows below 45°F. Each area is to be properly checked to ensure all animals have been secured inside. Both the technician and supervisor responsible for this area failed to ensure all animals were secured in the heated back up.

The technician involved in this incident has been terminated. The supervisors at the site were issued written warnings. The Director of the site was suspended for one week. All staff at all levels will be retrained in proper procedure. AGI IACUC takes failure to follow SOP very seriously. These disciplinary actions have been made by the Institutional Official to ensure our animals are kept safe.

Respectfully submitted,

(b) (6)

Dr. Greg Westergaard
Institutional Official
Alpha Genesis Inc
gwprimate@alphagenesisinc.com

copy USDA

Obtained by Rise for Animals. Uploaded 07/05/2020

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, February 01, 2018 7:23 AM
To: Kim Schmidt; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Dr. Greg Westergaard; FrontDesk for Alpha Gen; (b) (6) Macelderry, Lisa K - APHIS
Subject: RE: self report from AGI PHS Assurance A3645

Thank you for informing us of this unfortunate incident Dr. Schmidt. We will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM
 Acting Director
 Division of Compliance Oversight
 Office of Laboratory Animal Welfare
 National Institutes of Health

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From: (b) (6) On Behalf Of Kim Schmidt
Sent: Wednesday, January 31, 2018 3:33 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Dr. Greg Westergaard <gwprimate@alphagenesisinc.com>; FrontDesk for Alpha Gen <frontdesk@alphagenesisinc.com>; (b) (6) @alphagenesisinc.com>; Macelderry, Lisa K - APHIS <lisa.k.macelderry@aphis.usda.gov>
Subject: self report from AGI PHS Assurance A3645

Please find attached.

Kimberly Schmidt, DVM

Attending Veterinarian

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www.AlphaGenesisInc.com