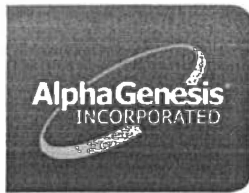


(b) (4)

(b) (6)

A3645-1B



AlphaGenesisInc.com
866.789.MONK (6665)
Fax 843.589.5290
95 Castle Hall Road
Yemassee, SC 29945

Primates
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April 18, 2018

Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institute of Health
6705 Rockledge Drive
RKL 1, Suite 360, MSC 7982
Bethesda, MD 20892-7982

Dear Director,

This self-report is a follow up report regarding an incident that occurred on 3/16/2018 regarding two groups of *Macaca fascicularis* had come into contact with one another within an indoor backup area of their shared enclosure. The Alpha Genesis, Inc. assurance number is D16-00387 (A3645-01).

To make personnel entry doors into these areas more secure, a chain line ante room will be added to surround the personnel access door. Thus personnel must enter the chain link enclosure and close the door behind them before opening the access door entering the animals' primary enclosure. This will provide an added layer of security, preventing unfamiliar animals from encountering one another, should the door to the primary enclosure fail.

Respectfully submitted,

(b) (6)

Dr. Greg Westergaard
Institutional Official
Alpha Genesis Inc
gwprimate@alphagenesisinc.com

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, April 18, 2018 10:49 AM
To: Kim Schmidt
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Self report from Alpha Genesis Inc. D16-00387 (A3645-01)

Thank you for this update, Dr. Schmidt. Dr. Morse will respond soon.

Axel Wolff, M.S., D.V.M.
 Deputy Director, OLAW

From: (b) (6) **On Behalf Of** Kim Schmidt
Sent: Wednesday, April 18, 2018 10:33 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6)@alphagenesisinc.com>; Dr. Greg Westergaard <gwprimate@alphagenesisinc.com>; FrontDesk for Alpha Gen <frontdesk@alphagenesisinc.com>; Kraemer, Kristy (NIH/NIAID) [E] <kkraemer@niaid.nih.gov>; Cisar, Alphie (NIH/OD/ORS) [E] <cisara@mail.nih.gov>; Macelderry, Lisa K - APHIS <lisa.k.macelderry@aphis.usda.gov>
Subject: Re: Self report from Alpha Genesis Inc. D16-00387 (A3645-01)

Please find attached the final follow up report.

On Tue, Mar 27, 2018 at 11:14 AM, OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov> wrote:

Dear Dr. Schmidt,

Thank you for sending us this report of the very unfortunate circumstance. We will accept this letter as the preliminary report. OLAW requests a follow-up final report covering your "additional methods" and any other subsequent corrective/preventive actions. Thank you.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM

Acting Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

National Institutes of Health



AlphaGenesisInc.com
 866.789.MONK (6665)
 Fax 843.589.5290
 95 Castle Hall Road
 Yemassee, SC 29945



March 26, 2018

Director, Division of Compliance Oversight
 Office of Laboratory Animal Welfare
 National Institute of Health
 6705 Rockledge Drive
 RKL 1, Suite 360, MSC 7982
 Bethesda, MD 20892-7982

Dear Director,

This self-report describes an incident that occurred on 3/16/2018 at our Hampton Facility. The contract number that supported these animals is HHSN272201000051C. The funding component has been notified. The Alpha Genesis, Inc. assurance number is D16-00387 (A3645-01).

At approximately 5:30pm staff found that two groups of *Macaca fascicularis* had come into contact with one another within an indoor backup area of their shared enclosure. The animals gained access to this area through separate doorways and had been fighting. Staff separated the animals and secured the area. Three animals were found dead due to trauma, and four others taken to clinic died from trauma over subsequent days.

Doors leading to the backup area are secured with a slide bolt and a lock that is placed over the bolt to provide secondary security. It is our assessment that locks and/or bolts on two separate doors had not been secured by the assigned Animal Caretaker and that his Supervisor had not ensured that the doors were properly secured. As a result of this incident staff have been retrained on properly securing and checking doors. The supervisor was disciplined and the Hampton Site Director was terminated for failing to provide sufficient staff oversight. In addition, we are actively investigating additional methods to make entry doors more secure.

Respectfully submitted,

(b) (6)

Dr. Greg Westergaard
 Institutional Official
 Alpha Genesis Inc
gwprimate@alphagenesisinc.com

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, March 27, 2018 11:15 AM
To: 'Kim Schmidt'; OLAW Division of Compliance Oversight (NIH/OD)
Cc: (b) (6) Dr. Greg Westergaard; FrontDesk for Alpha Gen
Subject: RE: Self report from Alpha Genesis Inc. D16-00387 (A3645-01)

Dear Dr. Schmidt,

Thank you for sending us this report of the very unfortunate circumstance. We will accept this letter as the preliminary report. OLAW requests a follow-up final report covering your "additional methods" and any other subsequent corrective/preventive actions. Thank you.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6) **On Behalf Of** Kim Schmidt
Sent: Monday, March 26, 2018 4:01 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>; Macelderry, Lisa K - APHIS <lisa.k.macelderry@aphis.usda.gov>
Cc: (b) (6)@alphagenesisinc.com>; (b) (6)@alphagenesisinc.com>; Dr. Greg Westergaard <gwprimate@alphagenesisinc.com>; FrontDesk for Alpha Gen <frontdesk@alphagenesisinc.com>
Subject: Self report from Alpha Genesis Inc. D16-00387 (A3645-01)

Please find attached.

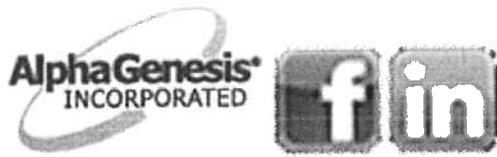
--

Kimberly Schmidt, DVM

Attending Veterinarian

(b) (6)

www.AlphaGenesisInc.com



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