

Annual Report to OLAW

Institution: Washington University in St. Louis
Assurance Number: A3381-01
Reporting Period: January 1, 2020 through September 30, 2020

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

I. Program Changes *[Select A or B]*

- ☐ A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance. *[Skip to Item II.]*
- ☒ B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period. ([FAQ 6](#))

Select all that apply:

- ☐ This institution's AAALAC accreditation status has changed ([PHS Policy IV.A.2.](#)).
- ☐ [AAALAC Accredited](#) – Category 1
- ☐ Non-Accredited – Category 2
- ☒ This institution's program for animal care and use has changed ([PHS Policy IV.A.1.a-i.](#)). *[Attach a full description of the changes.]*
- ☐ The individual designated by this institution as the Institutional Official has changed. *[Provide name, title(s), address, e-mail, phone, and fax numbers in Item V.]*
- ☒ The membership of this institution's IACUC has changed. *[Provide current roster of members in Item VI.]*

II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency. *[Do not provide semiannual reports unless they include a minority view.]*

A. Program Evaluations

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that evaluations be done at 6 month intervals. If the IACUC conducted more than 2 evaluations of the program during the reporting period, please attach a list showing the dates.]

Date 1: January 28, 2020	Date 2: July 28, 2020
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B. Facility Inspections



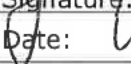
[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that facility inspections be done at 6 month intervals. If the IACUC conducted more than 2 inspections of each site during the reporting period, please attach a list showing the dates.]

Date 1: See Attachment 2	Date 2: See Attachment 2
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III. Minority Views [Select A or B]

- [X] A. There were **no minority** views during this reporting cycle.
- [] B. Any minority views submitted by members of the IACUC regarding reports filed under PHS Policy IV.F. for this reporting cycle are attached.

IV. Signatures

IACUC Chairperson	Institutional Official
Name: Brian Finck, PhD	Name: Jennifer K. Lodge, PhD
Signature:  (b) (6)	Signature:  (b) (6)
Date: 11/25/2020	Date:  12-1-2020

V. Change in Institutional Official

Name:	
Title:	Degree/Credential:
Name of Institution:	
Address: [street, city, state, zip code]	
E-mail:	
Phone:	Fax:

VI. Change in IACUC Membership [*Current roster*]

Institution: Washington University in St. Louis			
IACUC Contact Information			
Address: [<i>street, city, state, zip code</i>] Campus Box 1054 One Bookings Drive St. Louis, MO 63131			
E-mail: (b) (6)@wustl.edu			
Phone: (b) (6)		Fax: (b) (6)	
IACUC Chairperson			
Name: Brian Finck			
Title: Associate Professor/Internal Medicine, Nutritional Science		Degree/Credentials: PhD	
PHS Policy Membership Requirements***;			
IACUC Roster [<i>Provide below or attach</i>]			
Name of Member/ Code*	Degree/ Credential	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
(b) (6)			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Nonaffiliated
			Nonaffiliated
			Nonaffiliated/Nonscientist
Nirah Shomer	DVM, PhD,	Attending Veterinarian/Associate Vice Chancellor for Veterinary Affairs	Veterinarian

<div>(b) (6)</div>	
	Veterinarian
	Veterinarian/Alternate
	Veterinarian/Alternate
	Member
	Member
	Member
	Member

* Names of members, other than the chairperson and veterinarian, may be represented by a number or symbol in this report to OLAW. Sufficient information to determine that all appointees are appropriately qualified must be provided and the identity of each member must be readily ascertainable by the institution and available to authorized OLAW or other PHS representatives upon request.

** List specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not "community member" or "retired").

*** PHS Policy Membership Requirements:

<i>Veterinarian</i>	veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
<i>Scientist</i>	practicing scientist experienced in research involving animals.
<i>Nonscientist</i>	member whose primary concerns are in a nonscientific area (for example, ethicist, lawyer, member of the clergy).
<i>Nonaffiliated</i>	individual who is not affiliated with the institution in any way other than as a member of the IACUC, and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user. A consulting veterinarian may not be considered nonaffiliated.

[Note: all members must be appointed by the CEO (or individual with specific written delegation to appoint members) and must be voting members. Non-voting members and alternate members must be so identified.]

Attachment 1

I. Program Changes

- B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period.

Section I. Applicability of Assurance

This Assurance applies whenever this Institution conducts the following activities: all research, research training, experimentation, biological testing, and related activities involving live vertebrate animals supported by the **PHS, NSF, HHS and NASA**. This Assurance covers only those facilities and components listed below.

Section III. Institutional Program for Animal Care and Use

D.6.) Review and approve, require modifications in (to secure approval), or withhold approval of PHS-supported activities related to the care and use of animals according to PHS Policy IV.C.1-3. The IACUC procedures for protocol review are as follows:

Designated Member Review (DMR): DMR is initiated when the IACUC members are sent the protocols and given **a minimum of two (2) business days** to request FCR. If no voting member requests FCR during the **review** period, the committee's comments and any subsequent PI revisions are reviewed by the assigned Designated Member Reviewer. In the event of a conflict of interest the Chair **may reassign a new DMR**. Designated reviewers are authorized to "Approve", "Require modifications in order to secure approval", or request FCR. Designated members are not authorized to withhold approval. A decision to withhold approval can only be made at a convened meeting of a quorum of the IACUC with a majority vote in favor of withholding approval. In the event one or more designated member reviewers are assigned, their decision must be unanimous. If multiple designated member reviewers are unable to reach a unanimous decision, the protocol is referred to FCR.

D.7.) Review and approve, require modifications in (to secure approval), or withhold approval of proposed significant changes regarding the use of animals in ongoing activities according to PHS Policy IV.C. The IACUC procedures for reviewing proposed significant changes in ongoing research projects are as follows:

Designated Member Review (DMR): DMR is initiated when the IACUC members are sent the protocols and given **a minimum of two (2) business days** to request FCR. If no voting member requests FCR during the **review** period, the committee's comments and any subsequent PI revisions are reviewed by the assigned Designated Member Reviewer. In the event of a conflict of interest the Chair **may reassign a new DMR**. Designated reviewers are authorized to "Approve", "Require modifications in order to secure approval", or request FCR. Designated members are not authorized to withhold approval. A decision to withhold approval can only be made at a convened meeting of a quorum of the IACUC with a majority vote in favor of withholding approval. In the event one or more designated member reviewers are assigned, their decision must be unanimous. If multiple designated member reviewers are unable to reach a unanimous decision, the protocol is referred to FCR.

Section VI. Reporting Requirements

- A.) The IACUC, through the IO, will submit an annual report to OLAW by **the posted deadline**.

Attachment 2

II. Semiannual Evaluations

B. Facility Inspections

Building/Laboratory	Inspection Date	Inspection Date
(b) (4)	01/23/2020	07/23/2020
	01/9/2020	07/29/2020
		06/3/2020
	01/6/2020	07/27/2020
		06/03/2020
		06/09/2020
	01/6/2020	07/27/2020
	02/12/2020	08/26/2020
	02/12/2020	08/26/2020
		06/9/2020
	02/18/2020	08/24/2020
	02/6/2020	08/18/2020
	01/21/2020	07/21/2020
		06/16/2020
		06/16/2020
		06/16/2020
	01/13/2020	07/10/2020
	01/13/2020	07/10/2020
	01/21/2020	07/21/2020
	01/9/2020	07/29/2020
	02/13/2020	08/20/2020
		06/3/2020
		06/9/2020
	See Note	See Note

Note: Satellite Animal Use Locations (I.e., housing and surgery) are inspected on a rolling and ongoing basis as part of the post approval monitoring program. Findings are reviewed by the IACUC at the next available meeting date. Satellite Animal Use Locations are inspected at 6-month intervals during the scheduled inspection period [January - June or July - December].