

Annual Report to OLAW

Institution: Baylor College of Medicine
Assurance Number: D16-00475
Reporting Period: January 1, 2020 – September 30, 2020

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

I. Program Changes [Select A or B]

- ☐ A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance. [Skip to Item II.]
- ☒ B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period. ([FAQ 6](#))

Select all that apply:

- ☐ This institution's AAALAC accreditation status has changed ([PHS Policy IV.A.2.](#)).
- ☐ [AAALAC Accredited](#) – Category 1
- ☐ Non-Accredited – Category 2
- ☒ This institution's program for animal care and use has changed ([PHS Policy IV.A.1.a-i.](#)). - Included below
- ☐ The individual designated by this institution as the Institutional Official has changed. [Provide name, title(s), address, e-mail, phone, and fax numbers in Item V.]
- ☒ The membership of this institution's IACUC has changed. [Provide current roster of members in Item VI.]

II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency. [Do not provide semiannual reports unless they include a minority view.]

A. Program Evaluations

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that evaluations be done at 6 month intervals. If the IACUC conducted more than 2 evaluations of the program during the reporting period, please attach a list showing the dates.]

Date 1: June 23, 2020	Date 2: To be completed in December 2020
-----------------------	--

B. Facility Inspections



Facility Number	Date 1	Date 2
1	January/27/2020	July/28/2020
2	January/30/2020	July/30/2020
3	February/26/2020	August/19/2020
4	February/19/2020	September/9/2020
5	March/12/2020	September/29/2020
6	April/9/2020	September/30/2020
7	May/5/2020	To be completed Nov 2020
8	May/7/2020	To be completed Nov 2020
9	March/11/2020	October/7/2020
10 Satellites	June/30/2020	December/31/2020
11 Study Areas	June/30/2020	December/31/2020
12 Surgery Areas	June/30/2020	December/31/2020

Please note that Facility #9 was scheduled for inspection in August, but was delayed due to Hurricane Laura.

III. Minority Views *[Select A or B]*

- ☒ A. There were **no minority** views during this reporting cycle.
- ☐ B. Any minority views submitted by members of the IACUC regarding reports filed under [PHS Policy IV.F.](#) for this reporting cycle are attached.

IV. Signatures

IACUC Chairperson	Institutional Official
Name: Frederick A. Pereira, PhD	Name: Mary Dickinson, PhD
Signature:  (b) (6)	Signature:  (b) (6)
Date: 10/29/2020	Date: 10/30/2020

V. Change in Institutional Official

Name:	
Title:	Degree/Credential:
Name of Institution:	

Address: [street, city, state, zip code]	
E-mail:	
Phone:	Fax:

VI. Change in IACUC Membership

[Current IACUC roster as of 10/08/20]

Institution: Baylor College of Medicine			
IACUC Contact Information			
Address: One Baylor Plaza, Houston, Texas 77030			
E-mail: (b) (6)			
Phone: (b) (6)	Fax: (b) (6)		
IACUC Chairperson			
Name: Frederick A. Pereira			
Title: Associate Professor		Degree/Credentials: PhD	
PHS Policy Membership Requirements***			
IACUC Roster [Provide below or attach]			
Name of Member/ Code*	Degree/ Credential	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
Frederick Pereira	PhD	Associate Professor, Otolaryngology and Molecular & Cellular Biology	Chair, Scientist
(b) (6)			Vice Chair, Scientist
			Veterinarian, Scientist
			Nonaffiliated member, Nonscientist
			Scientist
			Scientist
			Scientist

(b) (6)			
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
Rebecca Schwiebert	DVM, PhD	Attending Veterinarian - CCM	Veterinarian, Scientist
(b) (6)			Scientist
			Nonaffiliated member, Nonscientist
			Veterinarian, Scientist
			Scientist
			Scientist, VA Member
			Scientist
			Scientist, VA Member
			Scientist
			Alternate member for TCH Environmental Safety

(b) (6)

Alternate member for
Scientist

Veterinarian, Scientist,
Alternate member for
either Attending or
CCM Veterinarian or
other Scientist

Alternate member for
IACUC Analyst

Alternate member for
IACUC Analyst

Alternate member for
TCH Environmental
Safety

Veterinarian, Scientist,
Alternate member for
either Attending or
CCM Veterinarian or
other Scientist

Veterinarian, Scientist,
Alternate member for
either Attending or
CCM Veterinarian or
other Scientist

Alternate member for
IACUC Analyst

Alternate member for
Scientist

Alternate member for
IACUC Analyst

Veterinarian, Scientist,
Alternate member for
either Attending or
CCM Veterinarian or
other Scientist

Scientist

Veterinarian, Scientist,

(b) (6)	Alternate member for either Attending or CCM Veterinarian or other Scientist
	Alternate member for Scientist
	Veterinarian, Scientist, Alternate member for either Attending or CCM Veterinarian or other Scientist
	Alternate member for Biosafety Officer, Scientist
	<i>ex officio</i> /Non-voting member
	<i>ex officio</i> /Non-voting member
	<i>ex officio</i> /Non-voting member
	<i>ex officio</i> /Non-voting member
	<i>ex officio</i> /Non-voting member
	<i>ex officio</i> /Non-voting member
	<i>ex officio</i> /Non-voting member
	<i>ex officio</i> /Non-voting member

* Names of members, other than the chairperson and veterinarian, may be represented by a number or symbol in this report to OLAW. Sufficient information to determine that all appointees are appropriately qualified must be provided and the identity of each member must be readily ascertainable by the institution and available to authorized OLAW or other PHS representatives upon request.

** List specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not "community member" or "retired").

*** [PHS Policy](#) Membership Requirements:

<i>Veterinarian</i>	veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
<i>Scientist</i>	practicing scientist experienced in research involving animals.
<i>Nonscientist</i>	member whose primary concerns are in a nonscientific area (for example, ethicist, lawyer, member of the clergy).
<i>Nonaffiliated</i>	individual who is not affiliated with the institution in any way other than as a member of the IACUC, and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user. A consulting veterinarian may not be considered nonaffiliated.

[Note: all members must be appointed by the CEO (or individual with specific written delegation to appoint members) and must be voting members. Non-voting members and alternate members must be so identified.]

I. Program Changes:

We have revised the full committee review criteria for protocol submissions to include multiple major survival surgeries instead of major survival surgery. All other full committee review criteria still apply and all significant changes are reviewed according to our PHS Assurance. This change has been included in our PHS Assurance renewal.