

## Annual Report to OLAW

Institution: The Saban Research Institute, Children's Hospital Los Angeles
Assurance Number: D16-00175 (A3276-01)
Reporting Period: January 1, 2020 - September 30, 2020

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

### I. Program Changes *[Select A or B]*

- ☐ A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance. *[Skip to Item II.]*
- ☒ B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period.

Select all that apply:

- ☐ This institution's AAALAC accreditation status has changed ([PHS Policy IV.A.2.](#)).
- ☐ [AAALAC Accredited](#) – Category 1
- ☐ Non-Accredited – Category 2
- ☒ This institution's program for animal care and use has changed ([PHS Policy IV.A.1.a-i.](#)). *[Attach a full description of the changes.]*
- ☐ The individual designated by this institution as the Institutional Official has changed. *[Provide name, title(s), address, e-mail, phone, and fax numbers in Item V.]*
- ☒ The membership of this institution's IACUC has changed. *[Provide current roster of members in Item VI.]*

### II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency. *[Do not provide semiannual reports unless they include a minority view.]*

#### A. Program Evaluations

*[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that evaluations be done at 6-month intervals. If the IACUC conducted more than 2 evaluations of the program during the reporting period, please attach a list showing the dates.]*

Date 1: 6/18/20	Date 2: Due 12/17/20
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## B. Facility Inspections


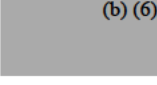

*[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that facility inspections be done at 6-month intervals. If the IACUC conducted more than 2 inspections of each site during the reporting period, please attach a list showing the dates.]*

Date 1: Due March 2020 but postponed due to pandemic. OLAW-approved waiver received.	Date 2: 9/30/20
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## III. Minority Views *[Select A or B]*

- ☒ A. There were **no minority** views during this reporting cycle.
- ☐ B. Any minority views submitted by members of the IACUC regarding reports filed under [PHS Policy IV.F.](#) for this reporting cycle are attached.

## IV. Signatures

IACUC Chairperson	Institutional Official
Name: Yong-Mi Kim, MPH, MD, PhD	Name: Jodi Ogden Rivera, MBA, CRA Vice President, Research Operations
Signature:  (b) (6)	Signature:  (b) (6)  Digitally signed by Jodi S. Ogden, MBA, CRA Date: 2020.11.30 13:33:29 -08'00'
Date: 11/30/2020	Date:

## V. Change in Institutional Official

Name:	
Title:	Degree/Credentials:
Name of Institution:	
Address: <i>[street, city, state, zip code]</i>	
Phone:	Fax:
E-mail:	

## VI. Change in IACUC Membership [*Current roster*]

<b>Institution: The Saban Research Institute at Children's Hospital Los Angeles</b>			
<b>IACUC Contact Information</b>			
Address: [ <i>street, city, state, zip code</i> ] IACUC Office Children's Hospital Los Angeles 4650 Sunset Blvd., MS #77 Los Angeles, CA 90027			
E-mail: iacuc@chla.usc.edu			
Phone: (b) (6)		Fax:	
<b>IACUC Chairperson</b>			
Name: Yong-Mi Kim			
Title: Associate Professor of Pediatrics		Degree/Credentials: M.P.H., M.D., Ph.D	
PHS Policy Membership Requirements***:			
<b>IACUC Roster</b> [ <i>Provide below or attach</i> ]			
Name of Member/ Code*	Degree/ Credentials	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
Janet Baer	M.Sc., D.V.M., D.A.C.L.A.M.	Attending Veterinarian	Veterinarian
(b) (6)			Scientist
			Scientist
			Nonaffiliated/ Nonscientist
			Scientist
			Scientist
			Scientist
			Scientist
			Alternate Scientist
			Alternate Scientist

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\* Names of members, other than the chairperson and veterinarian, may be represented by a number or symbol in this report to OLAW. Sufficient information to determine that all appointees are appropriately qualified must be provided and the identity of each member must be readily ascertainable by the institution and available to authorized OLAW or other PHS representatives upon request.

\*\* List specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not "community member" or "retired").

\*\*\* [PHS Policy](#) Membership Requirements:

<i>Veterinarian</i>	veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
<i>Scientist</i>	practicing scientist experienced in research involving animals.
<i>Nonscientist</i>	member whose primary concerns are in a nonscientific area (e.g., ethicist, lawyer, member of the clergy).
<i>Nonaffiliated</i>	individual who is not affiliated with the institution in any way other than as a member of the IACUC and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user or former user. A consulting veterinarian may not be considered nonaffiliated.

*[Note: all members must be appointed by the Chief Executive Officer (or individual with specific written delegation to appoint members) and must be voting members. Non-voting members and alternate members must be so identified.]*

### Statement of Burden

Public reporting burden for this collection of information is estimated to average 90 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0765). Do not return the completed form to this address.

The Saban Research Institute, Children's Hospital Los Angeles

## 2020 OLAW Annual Report Addendum

Changes in CHLA's program for animal care and use as described in the Assurance are as follows:

1. In response to the pandemic, the CHLA IACUC has instituted the following social distancing measures to prevent the spread of disease:

- The IACUC has instituted use of alternatives to face-to-face meetings such as video conferencing (see NOT-OD-06-052).
- For IACUC members who do not have access to software that generates digital signatures, an email acknowledgement from the member(s) may serve as their acceptance with the semiannual report. At minimum, the email shall state "This serves as my signature for the semi-annual report". It will be clear that the email is from the IACUC member and the date of the item being approved will be specified. These emails will be retained for the record by the IACUC.
- The IACUC has determined the best means of conducting facility inspections during a pandemic may include use of a single person employing remote methods such as live stream video to allow for IACUC members to remotely but actively participate in the inspection process, including asking questions of the person on site. Alternately, for areas housing non-USDA regulated species, the IACUC may use as few as one qualified individual on site to conduct the inspections. As stated in the CHLA PHS Animal Welfare Assurance, no IACUC member will be involuntarily excluded from participating in facility inspections and conflicts of interest will be avoided. The IACUC still remains responsible for the evaluation and report to the Institutional Official.

3. The CHLA IACUC has revised the existing Animal Care and Use Program Disaster Plan to include additional information in response to state mandated 'stay at home' requirements.

4. Section III.E of the CHLA Assurance states that, *"For all non-CHLA employee research personnel involved in the care and/or use of laboratory animals, the LAOHP medical health questionnaire must be completed in coordination with the annual review of approved protocols (Continuing Review and Three Year Rewrite). Non-CHLA employee research personnel have 30 days from the protocol annual review approval date to obtain occupational health clearance, after which time their approval to work on the protocol and access to the animal facilities is withdrawn until they obtain occupational health clearance."*

The IACUC agreed that under specific circumstances wherein a Principle Investigator never enters the CHLA animal facility and never handles or is exposed to research animals, the requirement to submit the LAOHP medical health questionnaire may be waived. This is not a blanket exemption, but a waiver may be individually granted following annual review of approved protocols.

6. Section VI.A of the CHLA Assurance states that, *"The Institutional reporting period is the calendar year (January 1 – December 31)."*

Per NOT-OD-21-003, the reporting period for the 2020 calendar year has been changed to January 1 - September 30 and for all years moving forward the reporting period will run from October 1 - September 30.