



## Inspection Report

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YALE UNIVERSITY  
OFFICE OF RESEARCH ADMIN  
PO BOX 208327  
NEW HAVEN, CT 06520

Customer ID: 48  
Certificate: 16-R-0001  
Site: 001  
YALE UNIVERSITY

Type: ROUTINE INSPECTION  
Date: 12-JUL-2021

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### 2.32(a)

#### Personnel qualifications.

In February 2021 laboratory staff for Protocol #07941 reported an unexpected study outcome to the IACUC. The IACUC conducted an investigation and determined that although prior instruction had been provided to laboratory staff, they did not follow the IACUC approved protocol and also did not follow at least 2 of the institution's guidelines related to animal use.

A lower dose of anesthetic than in the approved protocol was administered to the animals and as a result the animals did not remain at a level of anesthesia to allow initiation of the second scheduled procedure. Laboratory staff decided to perform the 2nd procedure later the same day after a second administration of anesthesia, which was not described in the IACUC approved protocol.

Per this Section, it shall be the responsibility of the research facility to ensure that all scientists, research technicians, animal technicians, and other personnel involved in animal care, treatment, and use are qualified to perform their duties.

The research facility had addressed this item prior to this inspection by providing supplemental refresher training to

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**Prepared By:** PAULA GLADUE  
USDA, APHIS, Animal Care  
**Title:** VETERINARY MEDICAL  
OFFICER

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laboratory personnel on the need to follow the IACUC approved protocol and institutional guidelines. There have been no additional related incidents. Corrected prior to inspection.

This inspection was conducted with facility representatives from 7/12/21 through 7/15/21. The exit briefing was held with facility representatives on 7/15/21.

\*END OF REPORT\*

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### Species Inspected

Cust No	Cert No	Site	Site Name	Inspection
48	16-R-0001	001	YALE UNIVERSITY	12-JUL-2021

Count	Scientific Name	Common Name
000154	<i>Mesocricetus auratus</i>	SYRIAN / GOLDEN HAMSTER (COMMON PET/RESEARCH TYPE)
000002	<i>Macaca fascicularis</i>	CRAB-EATING MACAQUE / CYNOMOLGUS MONKEY
000129	<i>Macaca mulatta</i>	RHESUS MACAQUE
000005	<i>Sus scrofa domestica</i>	DOMESTIC PIG / POTBELLY PIG / MICRO PIG
000006	<i>Oryctolagus cuniculus</i>	DOMESTIC RABBIT / EUROPEAN RABBIT
000262	<i>Spermophilus tridecemlineatus</i>	THIRTEEN-LINED GROUND SQUIRREL
000028	<i>Monodelphis domestica</i>	GREY SHORT-TAILED OPOSSUM
000030	<i>Cavia porcellus</i>	DOMESTIC GUINEA PIG
000008	<i>Dasypus novemcinctus</i>	NINE-BANDED ARMADILLO
000017	<i>Callithrix jacchus</i>	COMMON MARMOSET
000641	<b>Total</b>	