

VIII. Membership of the IACUC

Date: 06/12/2014			
Name of Institution: Cognition Therapeutics Inc.			
Assurance Number: A4611-01			
IACUC Chairperson			
Name*: Nicholas J. Izzo			
Title*: Principal Scientist		Degree/Credentials*: Ph.D.	
Address*: 2403 Sidney Street (b) (4) Pittsburgh, PA 15205			
E-mail*: nizzo@cogrx.com			
Phone*: (b) (6)		Fax*: (b) (6)	
IACUC Roster			
Name of Member/ Code**	Degree/ Credentials	Position Title***	PHS Policy Membership Requirements****
Nicholas Izzo	Ph.D.	Principal Scientist	Principal Investigator
(b) (6)			Scientist
			Scientist
			Scientist, Non-voting member
Denise Capozzi	V.M.D.	Veterinarian	Veterinarian
(b) (6)			Non-affiliated, Non-scientist

* This information is mandatory.

** Names of members, other than the chairperson and veterinarian, may be represented by a number or symbol in this submission to OLAW. Sufficient information to determine that all appointees are appropriately qualified must be provided and the identity of each member must be readily ascertainable by the institution and available to authorized OLAW or other PHS representatives upon request.

*** List specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not "community member" or "retired").

**** [PHS Policy](#) Membership Requirements:

<i>Veterinarian</i>	veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
<i>Scientist</i>	practicing scientist experienced in research involving animals.
<i>Nonscientist</i>	member whose primary concerns are in a nonscientific area (e.g., ethicist, lawyer, member of the clergy).
<i>Nonaffiliated</i>	individual who is not affiliated with the institution in any way other than as a member of the IACUC, and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user. A consulting veterinarian may not be considered nonaffiliated.

[Note: all members must be appointed by the CEO (or individual with specific written delegation to appoint members) and must be voting members. Non-voting members and alternate members must be so identified.]

X. Facility and Species Inventory

Date:06/12/14			
Name of Institution: Cognition Therapeutics Inc.			
Assurance Number: A4611-01			
Laboratory, Unit, or Building *	Gross Square Feet [<i>include service areas</i>]	Species Housed [<i>use common names, e.g., mouse, rat, rhesus, baboon, zebrafish, African clawed frog</i>]	Approximate Average Daily Inventory
(b) (4)	124 square feet (4 ft ² holding "Vivarium" area, cage wash sink + approx. 4 ft ² in main lab at fume hood)	Timed Pregnant Rats	3 Timed Pregnant Rats

*Institutions may identify animal areas (buildings/rooms) by a number or symbol in this submission to OLAW. However, the name and location must be provided to OLAW upon request.

Memorandum to: Susan Catalano, Institutional Official, CEO, President
From: Institutional Animal Care and Use Committee
Subject: Semiannual Evaluation of Animal Care and Use Program and Inspection of Facilities
Date: April 11th, 2018

As mandated by the Public Health Policy and the USDA Animal Welfare Regulations, Cognition's Institutional Animal Care and Use Committee (IACUC) conducted a semiannual inspection and evaluation of Cognition Therapeutics' Animal Care and Use Program, and animal Facility on October 4, 2017. This report is being presented to you as the designated Institutional Official for Cognition Therapeutics Inc.

This is the IACUC's semi-annual report and it demonstrates that Cognition has a good program with no deficiencies. All six of the IACUC members were present for the program evaluation and inspection:

1. Nicholas J. Izzo, Ph.D., Chairperson, Committee Coordinator and Occupational Health and Safety Coordinator, Principle Investigator
2. Denise Capozzi, V.M.D. , Attending Veterinarian

(b) (6)

Evaluation of the Animal Care and Use Program

The IACUC conducted its semiannual evaluation of the Institute's animal care and use program using the Institute of Laboratory Animals Resources (ILAR) *Guide for the Care and Use of Laboratory Animals* and, as applicable, 9CFR Chapter I, 2.31. Members of the Cognition IACUC also conducted a thorough inspection of the animal facility.

Attached is a copy of the checklist provided by the Office of Laboratory Animal Welfare (OLAW), based upon the 2011 ILAR *Guide*, used during the semi-annual review. It identifies no deficiencies.

Inspection of Animal Facilities

The IACUC inspected the animal facilities, also using the *Guide*, and, as applicable, 9 CFR Chapter I, 2.31. Attached is a copy of a checklist provided by the Office of Laboratory Animal Welfare (OLAW), based upon the 2011 ILAR *Guide*, used during the semi-annual review. No deficiencies were noted.

Minority Views

There were no minority IACUC views regarding the semiannual evaluation of the Animal Care and Use Program or the inspection of the Animal Facility.

Signature of all of the IACUC members is attached.

**COGNITION THERAPEUTICS INC. SEMIANNUAL
PROGRAM & FACILITY REVIEW**

April 11th, 2018

We have conducted the semi-annual Facility Inspection and reviewed the procedures and protocols and find them to be in compliance.

(b) (6)

**Nicholas Izzo, Ph.D., Chairperson, Committee Coordinator and Occupational
Health and Safety Coordinator, Principle Investigator**

(b) (6)

Denise Capozzi, V.M.D., Attending Veterinarian

(b) (6)

Obtained by Rise for Animals.
Uploaded to Animal Research Laboratory Overview (ARLO) on 05/01/2021