



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

October 15, 2021

Re: Animal Welfare Assurance  
A3368-01 [OLAW Case 11N]

Dr. Nadine Connor  
Associate Vice Chancellor  
for Research Policy and Compliance  
University of Wisconsin-Madison  
(b) (4) Bascom Hall – 500 Lincoln Drive  
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 19, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin-Madison following up on an initial September 2, 2021 notification by telephone.

According to the information provided, this Office understands that the University of Wisconsin-Madison Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to institutional policies/procedures and lack of food in a cage housing animals. The final report states on June 29, 2021 a Research Veterinarian performed a routine walk-through of a rodent vivarium. A room housing six *Peromyscus leucopus* mice was identified with a door husbandry sheet that was not checked off by laboratory personnel from June 26-29, 2021. It is stated that one cage did not have food present, but no animals appeared harmed, and no deaths occurred. In response, the responsible lab member along with the veterinarian provided food, discussed how the incident occurred, and discussed possible solutions to prevent future recurrence. It is understood the lab member and principal investigator (PI) submitted letters acknowledging the incident to the Chief Campus Veterinarian, Research Veterinarian and IACUC Chair detailing steps to prevent recurrence. Per the report, retraining of the laboratory personnel and PI was completed by the Research Veterinarian and facility supervisor. Also, the facility supervisor will instruct her staff to check the door sheet and sign off/report back to the Research Veterinarian if there are additional concerns. The IACUC has discussed the matter and corrective actions and has not required further action.

It is noted these animals are associated with PHS-funded activity. Based on its assessment of this explanation, OLAW understands that the University of Wisconsin-Madison has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,  
Jacquelyn T.  
Tubbs -S

Digitally signed by Jacquelyn T.  
Tubbs-S  
Date: 2021.10.15 07:28:41 -04'00'

Jacquelyn Tubbs, DVM, DACLAM  
Animal Welfare Program Specialist  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC Contact



A3368

**Office of the Vice Chancellor for  
Research and Graduate Education**  
UNIVERSITY OF WISCONSIN-MADISON

September 19, 2021

Dr. Brent C. Morse, DVM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
RKL, Suite 360  
6705 Rockledge Drive, MSC 982  
Bethesda, MD 20892

Dear Dr. Morse,

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone call from Janet Welter, DVM, Chief Campus Veterinarian on September 2, 2021.

On June 29, 2021, a Research Veterinarian was performing a routine walk-through of a rodent vivarium. One room housed 6 *Peromyscus leucopus* mice and the door husbandry sheet was not checked off by responsible laboratory personnel from June 26-29, 2021; one cage also had no food. No animals appeared harmed or died. The responsible laboratory member along with the veterinarian provided food, discussed how this event occurred, and discussed potential solutions to avoid reoccurrence. Both the responsible laboratory personnel and the PI submitted letters acknowledging the problem to the Chief Campus Veterinarian, Research Veterinarian and IACUC Chair discussing steps to prevent reoccurrence.

Retraining of the laboratory personnel and PI was completed by the Research Veterinarian and facility supervisor. The facility supervisor will also have her staff check the door sheet for completeness and sign off/report back to the Research Veterinarian if there are continued concerns. The animals were associated with a PHS-supported activity.

The School of Veterinary Medicine's Institutional Animal Care and Use Committee discussed this incident and the corrective measures at their monthly meeting on September 14, 2021, and required no further action.

Sincerely,

(b) (6)

Nadine P. Connor, Ph.D.  
Professor and Oros Family Chair in Communication Sciences & Disorders  
Associate Vice Chancellor for Research Policy & Compliance  
Institutional Official

**Office of the Vice Chancellor for Research and Graduate Education**  
333 Bascom Hall 500 Lincoln Drive Madison, Wisconsin 53706 608-262-1044

(b) (6)

Rebecca Johnson, DVM, PhD, DACVAA  
Clinical Professor and Research Anesthesiologist  
Chair – School of Veterinary Medicine, Institutional Animal Care and Use Committee

xc: AAALAC  
Janet Welter  
(b) (6)

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Wednesday, September 29, 2021 7:53 AM  
**To:** (b) (6)  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Incident Report from Nadine Connor @ UW-Madison

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** (b) (6)  
**Sent:** Tuesday, September 28, 2021 11:41 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; (b) (6)  
(b) (6) Janet Welter <welter@rarc.wisc.edu>; Becky Johnson <rebecca.johnson@wisc.edu>  
**Subject:** Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)



## Initial Report of Noncompliance

By: BCM

Date: 9/3/2021

Time: 3:30

Person Reporting: Janet Welter, AV

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Wisconsin (Madison)

Assurance number: A3368

Did incident involve PHS funded activity? yes

Funding component:

Was funding component contacted (if necessary):

Peromyscus found to have water but were low on food. Room sheets not updated.

Species involved: Mus musculus

Personnel involved: ?

Dates and times: 7/29/21

Animal deaths: no

Projected plan and schedule for correction/prevention (if known):

Projected submission to OLAW of final report from Institutional Official:

< 60 days

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Case #