

DEPARTMENT OF HEALTH & HUMAN SERVICES

FOR US POSTAL SERVICE DELIVERY: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500, MSC 6910 Bethesda, Maryland 20892-6910 Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

January 4, 2022

## PUBLIC HEALTH SERVICE NATIONAL INSTITUTES OF HEALTH

FOR EXPRESS MAIL: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500 Bethesda, Maryland 20817 Iclephone: (301) 496-7163 Eacsimile: (301) 480-3387

## Re: Animal Welfare Assurance A3368-01 [OLAW Case 11W]

Dr. Nadine Connor Associate Vice Chancellor for Research Policy and Compliance University of Wisconsin-Madison (b) (4) Bascom Hall – 500 Lincoln Drive Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 30, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin - Madison. Your letter supplemented the information in the preliminary telephone report on the same day. According to the information provided, OLAW understands that an adult male macaque did not receive its prescribed value treatment for self-directed behavior. The one missed treatment did not result in an increase in self-directed behavior as the animal was currently receiving more than one agent to combat the unwanted behavior. A root cause analysis revealed that the animal caretaker signed off on the treatment but initially could not find the agent to be administered and subsequently forgot to give the treatment. The caretaker involved in the incident was counseled regarding not signing off on a treatment before it is completed. A statement will be added to the treatment SOP reminding personnel that they should not sign-off on a treatment before it is administered. The animal involved was supported by PHS funds.

The consideration of this matter by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to address the issue and prevent recurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely, Brent C. Morse

Digitally signed by Brent C. Morse -S Date: 2022.01.04 10:56:04 -05'00'



Brent C. Morse, DVM Director Division of Compliance Oversight Office of Laboratory Animal Welfare

cc: IACUC contact Dr. Robert M. Gibbens, USDA, APHIS, AC



November 30, 2021

Dr. Brent Morse, DVM, DACLAM Director, Division of Compliance Oversight Office of Laboratory Animal Welfare National Institutes of Health RKL1, Suite 360, MSC 7982 6705 Rockledge Drive Bethesda, MD 20892-7892

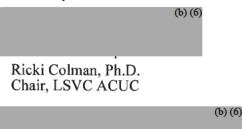
Dear Dr. Morse:

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone by Dr. Janet Welter on November 29, 2021.

In this incident, an adult male macaque did not receive its prescribed valium treatment for self-directed behavior. The one missed treatment did not result in an increase in self-directed behavior as the animal was currently receiving more than one agent to combat the unwanted behavior. A root cause analysis revealed that the animal caretaker signed off on the treatment but initially could not find the agent to be administered and subsequently forgot to give the treatment. The caretaker involved in the incident was counseled regarding not signing off on a treatment before it is completed. A statement will be added to the treatment SOP reminding personnel that they should not sign off on a treatment before it is administered. The animal involved was supported by PHS funds.

The LSVC ACUC discussed this incident in full committee and determined that the corrective steps taken were appropriate. If you have further questions, please feel free to contact either of us or Dr. Janet Welter.

Sincerely,



Nadine P. Connor, Ph.D. Professor and Oros Family Chair in Communication Sciences & Disorders Associate Vice Chancellor for Research Policy & Compliance Institutional Official

## Research Animal Resources Center

396 Enzyme Institute University of Wisconsin–Madison 1710 University Avenue Madison, Wisconsin 53726–4087 608/262–1238 Fax: 608/265–2698 Email: help@rarc.wisc.edu http://www.rarc.wisc.edu by Rise for Animals. xc:

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(b) (6) Janet Welter AAALAC-I

## Wolff, Axel (NIH/OD) [E]

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From: Sent: To: Cc: Subject:	OLAW Division of Compliance Oversight (NIH/OD) Friday, December 10, 2021 7:58 AM (b) (6) OLAW Division of Compliance Oversight (NIH/OD) RE: Incident Report from Nadine Connor @ UW-Madison
Thank you for these 6 reports,	(b) (6) We will send responses soon.
Axel Wolff	
From: (b) (6) Sent: Wednesday, December 8, 2021 2:24 PM To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov> Cc: AAALAC International <accredit@aaalac.org>; Nadine Connor <nadine.connor@wisc.edu>; RICKI COLMAN <rcolman@primate.wisc.edu>; (b) (6) Janet Welter <welter@rarc.wisc.edu></welter@rarc.wisc.edu></rcolman@primate.wisc.edu></nadine.connor@wisc.edu></accredit@aaalac.org></olawdco@od.nih.gov>	
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison	
CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.	

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (б)

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11 M office of Laboratory Animal We **Initial Report of Noncompliance** (b) (6) By; 2021 Date: 11 30 Time: 3. 50 Name of Person reporting: Janet Wel E, DVM, AV Telephone #: Fax #: Email: vot Wisconsin - Madison Name of Institution: Assurance number: Did incident involve PHS funded activity? ? Funding component: Was funding component contacted (if necessary): What happened? NHT lium m Tx sheet. dores anoen NO a lthough Species involved: Ma Personnel involved: Caretaker Dates and times: Animal deaths: No

Projected plan and schedule for correction/prevention (if known):

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY Case #