



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

March 30, 2022

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 12A]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 16, 2022 letter reporting an adverse incident within the animal care and use program at the University of Wisconsin – Madison's Wisconsin National Primate Research Center. Your letter supplemented the information in the preliminary telephone report on March 2, 2022. According to the information provided, OLAW understands that over a period of 5½ years, 35 of 37 rhesus macaque infants did not receive protocol-prescribed analgesic treatments following CSF collection under anesthesia. The animals involved were supported by PHS funds.

Corrective and preventive measures: there are currently no plans to enroll additional animals in this experiment. If future experiments of similar complexity are performed on this protocol, a checklist of all agents to be administered will be created. Technical staff involved in this incident underwent retraining highlighting the need to fully read, understand, and follow what is written in the protocol, and to properly document any veterinary driven changes to clinical care.

The consideration of this issue by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to address the issue and prevent possible future occurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.
Morse -S
Date: 2022.03.30 07:32:11 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact
Dr. Robert M. Gibbens, USDA, APHIS, AC



**Office of the Vice Chancellor for
Research and Graduate Education**
UNIVERSITY OF WISCONSIN-MADISON

A3368-12A

March 16, 2022

Dr. Brent Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7892

Dear Dr. Morse:

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone by Dr. Janet Welter on March 8, 2022.

In this incident, an internal protocol audit revealed that over a period of 5½ years, 35 of 37 rhesus macaque infants did not receive protocol-prescribed analgesic treatments following CSF collection under anesthesia. There are currently no plans to enroll additional animals in this experiment. If future experiments of similar complexity are performed on this protocol, the Compliance Manager will create a comprehensive checklist of all agents to be administered to ensure no treatments are missed. Technical staff involved in this incident underwent retraining highlighting the need to fully read, understand, and follow what is written in the protocol, and to properly document any veterinary driven changes to clinical care. The animals involved were supported by PHS funds.

The LSVC ACUC discussed this incident in full committee and determined that the corrective steps taken were appropriate. If you have further questions, please feel free to contact either of us or Dr. Janet Welter.

Sincerely,

(b) (6)

Nadine P. Connor, Ph.D.
Professor and Oros Family Chair in Communication Sciences & Disorders
Associate Vice Chancellor for Research Policy & Compliance
Institutional Official

xc: (b) (6)
Janet Welter
AAALAC-I

Office of the Vice Chancellor for Research and Graduate Education
333 Bascom Hall 500 Lincoln Drive Madison, Wisconsin 53706 608-262-1044

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, March 23, 2022 7:27 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Thank you for this report. We will send a response soon.
Axel Wolff

From: (b) (6)
Sent: Tuesday, March 22, 2022 1:59 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>; (b) (6) Nadine Connor
<nadine.connor@wisc.edu>; (b) (6) Janet Welter <welter@rarc.wisc.edu>
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)



Initial Report of Noncompliance

By: [REDACTED] (b) (6)

Date: 3/2/2022

Time: 12:10

Name of Person reporting: Janet Welter, AV

Telephone #: [REDACTED] (b) (6)

Fax #: [REDACTED]

Email: [REDACTED]

Name of Institution: Univ. of Wisconsin - Madison

Assurance number: A3368

WNPRC

Did incident involve PHS funded activity? ?

Funding component: [REDACTED]

Was funding component contacted (if necessary): [REDACTED]

What happened?

35 of 37 NHPs did not get post CSF fluid-collection analgesic.

Species involved: NHP

Personnel involved: [REDACTED]

Dates and times: Date range 2016 - 9/15/2021

Animal deaths: [REDACTED]

Projected plan and schedule for correction/prevention (if known):

checklist will be used.

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # [REDACTED]