



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

March 30, 2022

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 12B]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 11, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals within the animal care and use program at the University of Wisconsin – Madison's Wisconsin National Primate Research Center. Your letter supplemented the information in the preliminary telephone report on March 2, 2022. According to the information provided, OLAW understands that for seven days commencing December 31, 2021, a WNPRC animal caretaker administered a glucoregulatory treatment and a liver function support treatment to the wrong nonhuman primate. This continued for six days before the caretaker realized his mistake. A root cause analysis indicated that the animal caretaker misidentified the animal's ID number. During this period, the animal that missed its treatments did not exhibit any adverse side effects and its glucose and liver values did not increase beyond the normal range. The animal that inadvertently received the treatments also did not exhibit any adverse effects. The animals involved were supported by PHS funds.

Corrective and preventive measures: the animal caretaker responsible for this incident underwent retraining that stressed the need to write the entire animal ID on all treatments and the need to verify an animal's ID by tattoo before administering any treatments.

The consideration of this incident by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to address the issue and prevent recurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -

Digitally signed by Brent C.
Morse -S

Date: 2022.03.30 07:33:30 -04'00'

S

Brent C. Morse, DVM

Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

cc: IACUC contact

Dr. Robert M. Gibbens, USDA, APHIS, AC



Research Animal Resources and Compliance

March 11, 2022

Dr. Brent Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7892

Dear Dr. Morse:

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone by Dr. Janet Welter on March 8, 2022.

In this incident, for 7 days, a WNPRC animal caretaker administered a glucoregulatory treatment and a liver function support treatment to the wrong animal. During this period, the animal that missed its treatments did not exhibit any adverse side effects and its glucose and liver values did not increase beyond the normal range. The animal that inadvertently received the treatments also did not exhibit any adverse effects. A root cause analysis indicated that the animal caretaker only wrote the last three digits of the animal's ID on the yogurt cup that he made to administer the treatments and the IDs of two animals living in adjacent enclosures had the same last three digits. When the caretaker counted the enclosure numbers in the animal holding room, he came to an animal with the same last three digits that were on the treatment cup, assumed he had the correct animal, and administered the treatments. This continued for six days before the caretaker realized his mistake. The animal caretaker responsible for this incident underwent retraining that stressed the need to write the entire animal ID on all treatments and the need to verify an animal's ID by tattoo before administering any treatments. The animals involved were supported by PHS funds.

The LSVC ACUC discussed this incident in full committee and determined that the corrective steps taken were appropriate. If you have further questions, please feel free to contact either of us or Dr. Janet Welter.

Sincerely,

(b) (6)

Ricki Colman, Ph.D.
Chair, LSVC ACUC

(b) (6)

Nadine P. Connor, Ph.D.
Associate Vice Chancellor for Research Policy & Compliance
Institutional Official

xc: (b) (6)
Janet Welter
AAALAC-I

Research Animal Resources and Compliance

396 Enzyme Institute University of Wisconsin-Madison 1710 University Avenue Madison, Wisconsin 53726-4087
608/262-1238 Fax: 608/265-2698 Email: help@rarc.wisc.edu <http://www.rarc.wisc.edu>

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, March 16, 2022 7:30 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Thank you for this report. We will send a response soon.
Axel Wolff

From: (b) (6)
Sent: Tuesday, March 15, 2022 11:13 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>; RICKI J COLMAN <rjcolman@wisc.edu>; Nadine Connor <nadine.connor@wisc.edu>; (b) (6) Janet Welter <welter@rarc.wisc.edu>
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)



Initial Report of Noncompliance

By: (b) (6)

Date: 3/2/2022

Time: 12:10

Name of Person reporting: Janet Welter AV

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ of Wisconsin - Madison

Assurance number: A3368

WNPRC

Did incident involve PHS funded activity? ?

Funding component: _____

Was funding component contacted (if necessary): _____

What happened? Wrong NHP with similar ID# given glucose TX & liver support TX. No health issues.

Species involved: NHP

Personnel involved: _____

Dates and times: 12/31/2021

Animal deaths: No

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official: _____

OFFICE USE ONLY

Case # _____