



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

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Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

January 31, 2022

Re: Animal Welfare Assurance
A3400-01 [OLAW Case 40]

Mr. Brian Smith, JD, MBA
Chief Ethics and Compliance Officer
Senior Associate Vice Chancellor
University of California, San Francisco
Box 0294
490 Illinois Street- (b) (6)
San Francisco, CA 94143

Dear Mr. Smith,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 7, 2022, letter regarding an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of California- San Francisco. According to the information provided, OLAW understands that mice from one cage undergoing CO₂ euthanasia were left unattended by a lab member. There were no adverse events as a result of the noncompliance on this PHS-supported activity, and euthanasia was subsequently confirmed by LARC staff.

The corrective actions consisted of the responsible lab member completing euthanasia retraining and all lab personnel reviewing and confirming understanding of UCSF's IACUC Euthanasia policy. Additional lab members have been assigned as backup for any lab member whose primary responsibility is animal care.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate, correct and prevent recurrence of the noncompliance. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Neera V. Gopee -S

Digitally signed by Neera V.
Gopee -S
Date: 2022.01.31 15:12:42 -05'00'

Neera V. Gopee, DVM, PhD, DACLAM, DABT
Director, Division of Policy and Education
Office of Laboratory Animal Welfare
National Institutes of Health

cc: IACUC Chair



University of California
San Francisco

A3400-40

Brian E Smith, JD, MBA
Chief Ethics and Compliance Officer
Senior Associate Vice Chancellor -
Research

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January 7, 2022

Brent C. Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

RE: Animal Welfare Assurance: #A3400-01 - Final Follow-up Reporting

Dear Dr. Morse,

This letter serves as final follow-up to our preliminary non-compliance report to OLAW on January 27, 2021.

On November 19, 2020, a lab member left mice unattended during CO₂ delivery to the cage for an NIH-funded study. The LARC staff discovered the cage, confirmed euthanasia with no adverse effects, and immediately notified the IACUC office and LARC veterinarian.

Follow-up and Corrective Action:

1. The lab member completed euthanasia retraining with IACUP staff.
2. All lab members reviewed and confirmed understanding of UCSF's IACUC Euthanasia policy.
3. Additional lab staff are now assigned as backup when competing priorities arise for any lab member whose primary responsibility is animal care.

Completion:

As of the date of this letter, corrective actions #1-3 above are completed and implemented. The laboratory is currently in compliance with UCSF and PHS standards. I believe the steps taken will help ensure future compliance and prevent reoccurrence. If you have any additional questions, please do not hesitate to contact me or the IACUP Director.

Sincerely,

DocuSigned by:

(b) (6)

Brian E Smith, JD, MBA
Chief Ethics and Compliance Officer
Senior Associate Vice Chancellor – Research
Institutional Official

cc: Attending Veterinarian and LARC Director
IACUC Chair

Morse, Brent (NIH/OD) [E]

From: Morse, Brent (NIH/OD) [E]
Sent: Monday, January 24, 2022 8:42 AM
To: (b) (6)
Cc: (b) (6)
Subject: RE: Final Reports for D16-00253 (A3400-01)

Thank you for providing these. We'll send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

From: (b) (6)
Sent: Friday, January 21, 2022 8:07 PM
To: Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>
Cc: (b) (6)
Subject: [EXTERNAL] Final Reports for D16-00253 (A3400-01)

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Dear Dr. Morse,

Please find attached two final reports for previously reported non-compliances. If you have any questions, feel free to call or email me.

Best,

(b) (6)

