



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

July 6, 2021

Re: Animal Welfare Assurance
A3464-01 [OLAW Case 11J]

Mr. Joe Giffels
Associate Vice Provost
University of Washington
Research Administration and Integrity
4000 15th Ave NE Box 351202
Seattle, WA 98195-6355

Dear Mr. Giffels,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your June 29, 2021 letter reporting a noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at the University of Washington. Your letter supplements the information in the prompt preliminary telephone report on June 16, 2021. According to the information provided it is understood that echocardiography was performed on conscious mice, but only anesthetized echocardiography was approved on the protocol. There was no animal welfare issue related to this non-compliance. The animals in question were not supported by PHS funds.

Corrective and preventive actions included adding the conscious echocardiography procedure to the protocol. The Office of Animal Welfare (OAW) liaison will be meeting with the lab to go over the protocol and remind the lab to verify what is approved on their protocol.

OLAW believes that the corrective and preventive measures put in place by the University of Washington are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals for institutional self-monitoring and self-reporting. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.
Morse -S
Date: 2021.07.06 09:27:55 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact

29 June, 2021

Dr. Brent Morse olawdco@od.nih.gov
Office of Animal Welfare, NIH
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

Re: D16-00292 (A3464-01), Protocol Noncompliance

Dear Dr. Morse:

This is a final report on an adverse event reported to OLAW by Dr. Stocking in a telephone call on 6/16/21.

Conscious echocardiography was being performed on mice but only anesthetized echocardiography was approved on this protocol. The skilled person performing the procedure had been added to the protocol but the procedure itself was not approved on the protocol. There was no animal welfare issue related to this non-compliance.

Corrective Actions: The conscious echocardiography procedure has now been added and approved on this protocol. The Office of Animal Welfare (OAW) liaison will be meeting with the lab to go over the protocol and remind the lab to verify what is approved on their protocol.

The IACUC reviewed the incident at its June meeting and found no further action necessary (considers the case closed.) The animals in question were not supported by PHS funds.

If you have questions regarding this situation or require additional information, please don't hesitate to contact me.

Sincerely,

(b) (6)

Joe Giffels
Institutional Official
Associate Vice Provost for Research Administration and Integrity

Cc: (b) (6)
Kim Stocking
accredit@aaalac.org



Initial Report of Noncompliance

By: BCM

Date: 6/16/2021

Time: Voicemail

Name of Person reporting: Kim Stocking, AV

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Washington

Assurance number: A3464

Did incident involve PHS funded activity? ??

Funding component:

Was funding component contacted (if necessary):

What happened?

Researcher performed echocardiogram on conscious mice. Protocol only approved for procedure on anesthetized mice.

Species involved: Mus musculus

Personnel involved: researcher

Dates and times: ?

Animal deaths: No. No animal welfare issues.

Projected plan and schedule for correction/prevention (if known):

Protocol to be amended to allow procedure on conscious mice.

Projected submission to OLAW of final report from Institutional Official:

< 60 days

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Case #