



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

September 30, 2021

Re: Animal Welfare Assurance  
A3464-01 [OLAW Case 11M]

Mr. Joe Giffels  
Associate Vice Provost  
University of Washington  
Research Administration and Integrity  
4000 15<sup>th</sup> Ave NE Box 351202  
Seattle, WA 98195-6355

Dear Mr. Giffels,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 22, 2021 letter reporting a noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at the University of Washington. Your letter supplements the information in the prompt preliminary telephone report on September 15, 2021. According to the information provided it is understood that on August 28, 2021 a mouse was found dead and another moribund and was euthanized, in a cage of five mice. This cage of mice was a part of a cohort of 45 mice total that had been infected with a malaria parasite and monitored daily until end point criteria was met. The investigator did not euthanize this one cage due to an oversight, and the cage was not being monitored by the lab for eight days. Once the investigator was notified, the remaining mice in the cage were euthanized. The animals in question were not supported by PHS funds.

Corrective and preventive actions: The lab was made aware of the non-compliance and will be more diligent about ensuring that all animals are euthanized when endpoint criteria are met in the future.

OLAW believes that the corrective and preventive measure put in place by the University of Washington is consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals for institutional self-monitoring and self-reporting. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

**Brent C. Morse -S**

Brent C. Morse, DVM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

Digitally signed by Brent C.  
Morse -S  
Date: 2021.10.01 08:33:51 -04'00'

cc: IACUC contact

**W** OFFICE OF RESEARCH  
UNIVERSITY of WASHINGTON

22 September, 2021

Dr. Brent Morse [olawdco@od.nih.gov](mailto:olawdco@od.nih.gov)  
Office of Animal Welfare, NIH  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, MD 20892

Re: D16-00292 (A3464-01), Mice Not Actively Monitored by Laboratory

Dear Dr. Morse:

This is a final report on an adverse event reported to OLAW by Dr. Stocking in a telephone call on 9/15/21.

In a cage of five mice, one was found dead and another moribund and was euthanized on 8/28/21. This cage of mice was a part of a cohort of 45 mice total that had been infected with a malaria parasite on 8/12/21. The infected cohort was monitored daily up until 8/20/21 when endpoint criteria was met. The investigator did not euthanize this one cage on 8/20/21 due to an oversight, and the cage was not being monitored by the lab. Once the investigator was notified, the remaining mice in the cage were euthanized.

Corrective Actions: The lab was made aware of the non-compliance and will be more diligent about ensuring that all animals are euthanized when endpoint criteria are met in the future

The IACUC reviewed the incident at its September meeting and found no further action necessary (considers the case closed.) The animals in question were not supported by PHS funds.

If you have questions regarding this situation or require additional information, please don't hesitate to contact me.

Sincerely,

(b) (6)

Joe Giffels  
Institutional Official  
Senior Associate Vice Provost for Research Administration and Integrity

Cc:

(b) (6)

Kim Stocking  
[accredit@aaalac.org](mailto:accredit@aaalac.org)

## Wolff, Axel (NIH/OD) [E]

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Thursday, September 23, 2021 7:19 AM  
**To:** (b) (6)  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Final report of 1 non-compliant event

Thank you for this report. We will send a response soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** (b) (6)  
**Sent:** Wednesday, September 22, 2021 1:40 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** (b) (6) Kim Stocking <kstock@uw.edu>; Joe Giffels <jgiffels@uw.edu>; accredit@aaalac.org  
**Subject:** Final report of 1 non-compliant event

Please see the attached final report of a noncompliant event at the University of Washington. Let me know if you have any questions.





## Initial Report of Noncompliance

By: BCM

Date: 9/15/2021

Time: 7:20 PM voicemail

Name of Person reporting: Kim Stocking, AV

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Washington

Assurance number: A3464

Did incident involve PHS funded activity? ??

Funding component:

Was funding component contacted (if necessary):

What happened? 45 mice infected with malaria. All but one cage of 5 mice were euthanized at endpoint. Due to oversight, that cage was not monitored for 8 days until found with one dead mouse and one needing euthanasia. Remaining mice (3?) were euthanized.

Species involved: Mus musculus

Personnel involved: researcher

Dates and times: ?

Animal deaths: Yes

Projected plan and schedule for correction/prevention (if known):

To be presented to IACUC

Projected submission to OLAW of final report from Institutional Official:

< 60 days

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Case #