



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

February 14, 2022

Re: Animal Welfare Assurance
A3464-01 [OLAW Case 11Q]

Sally Thompson-Iritani, DVM, PhD, CPIA, CCFP
Associate Vice Provost
University of Washington
4000 15th Ave NE Box 351202
Seattle, WA 98195-6355

Dear Dr. Thompson-Iritani,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your February 3, 2022 letter reporting a noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at the University of Washington. Your letter supplements the information in the prompt preliminary telephone report on December 16, 2021. According to the information provided it is understood that on Sunday, December 5, 2021, cages in two mouse housing rooms in the ABSL3 facility did not receive the daily health check. The animals were checked the next morning and no unexpected health conditions were noted. The animals in question were not supported by PHS funds.

Corrective and preventive actions:

- Animal care staff will continue to review upcoming daily health check assignments during the team's weekly meeting.
- For days in which animals are scheduled to be in the facility, yet DCM personnel are not otherwise scheduled to work onsite (e.g., weekend and holidays):
 - continue to note daily health check assignments on the team's calendaring system which is visible to all team members.
 - initiate a new process whereby delivery of an email to all team members is scheduled to occur on or immediately before the weekend/holiday day(s).

Current team members have been trained/retrained on these procedures. These procedures will be included in the training of future team members.

OLAW believes that the corrective and preventive measures put in place by the University of Washington are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals for institutional self-monitoring and self-reporting. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C. Morse
-S
Date: 2022.02.14 09:02:53 -05'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact



3 February 2022

Dr. Brent Morse olawdco@od.nih.gov
Office of Laboratory Animal Welfare, NIH
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892

Re: D16-00292 (A3464-01), Mice Not Actively Monitored by Laboratory

Dear Dr. Morse:

This is a final report on an adverse event reported to OLAW by Dr. Stocking in a telephone call on 12/16/21.

ABSL3 Department of Comparative Medicine (DCM) personnel are to do animal health checks every day animals are present in the facility. On Sunday 12/5, cages in 2 different mouse housing rooms in the ABSL3 facility did not receive the daily health check by DCM personnel. As animals are not continuously housed in the ABSL3 facility, DCM has multiple routine practices in place to help ensure personnel are aware of the weekend and holiday days they must travel to the facility to perform health checks: personnel are scheduled in advance and a notation is made on the DCM management shared calendar; a standing agenda topic during their weekly Thursday meeting is to review animal health check assignments for the upcoming week. In this instance, both practices occurred. This individual did notify the ABSL3 Facility Director of this error the next morning and immediately entered the facility to check on the animals. No unexpected health conditions were noted.

Corrective actions:

- Continue to review upcoming daily health check assignments during the team's weekly meeting.
- For days in which animals are scheduled to be in the facility, yet DCM personnel are not otherwise scheduled to work onsite (e.g., weekend and holidays):
 - continue to note daily health check assignments on the team's calendaring system which is visible to all team members.
 - initiate a new process whereby delivery of an email to all team members is scheduled to occur on or immediately before the weekend/holiday day(s).

Current team members have been trained/retrained on these procedures. These procedures will be included in the training of future team members.

The IACUC reviewed the incident at its January meeting and found no further action necessary (considers the case closed.) The animals in question were not supported by PHS funds.

If you have questions regarding this situation or require additional information, please don't hesitate to contact me.

UNIVERSITY of WASHINGTON
Office of Research

U.S. Department of Health and Human Services
Office of Laboratory Animal Welfare

Sincerely,

(b) (6)

Sally Thompson-Iritani DVM/PhD, CPIA, CCFP
Institutional Official
Asst. Vice Provost, Animal Care, Outreach & 3Rs
Clinical Associate Professor, DEOHS, SPH

Cc:

(b) (6)

UNIVERSITY of WASHINGTON
Office of Research

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, February 4, 2022 7:18 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Final report of 2 non-compliant events

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Thursday, February 3, 2022 7:03 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6) Sally Thompson-Iritani <sti2@uw.edu>; accredit@aaalac.org; (b) (6)
(b) (6)
Subject: [EXTERNAL] Final report of 2 non-compliant events

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Please see the attached final report of 2 noncompliant events at the University of Washington. Please let me know if you have any questions.

(b) (6)



A3464-11Q

Initial Report of Noncompliance

By: BCM

Date: **12/22/2021**

Time: voicemail

Name of Person reporting: Kim Stocking, AV

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Washington

Assurance number: A3464

Did incident involve PHS funded activity? ??

Funding component:

Was funding component contacted (if necessary):

What happened? Animals in ABSL3 area not checked on a Sunday when assigned staff member "forgot". All animals O.K.

Species involved: ?

Personnel involved:

Dates and times: ?

Animal deaths: no

Projected plan and schedule for correction/prevention (if known):

To be presented to IACUC

Projected submission to OLAW of final report from Institutional Official:

< 60 days

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Case #