



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

April 5, 2022

Re: Animal Welfare Assurance  
A3464-01 [OLAW Case 11U]

Sally Thompson-Iritani, DVM, PhD, CPIA, CCFP  
Associate Vice Provost  
University of Washington  
4000 15<sup>th</sup> Ave NE Box 351202  
Seattle, WA 98195-6355

Dear Dr. Thompson-Iritani,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 28, 2022 letter reporting a noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at the University of Washington. Your letter supplements the information in the prompt preliminary telephone report on January 27, 2022. According to the information provided, it is understood that an investigator separated mouse pups from the original cage into another cage, and then euthanized the pups. One pup was found dead in the original cage the next day. This event was self-reported. The animals in question were not supported by PHS funds.

Corrective and preventive actions: pups will be euthanized immediately (not transferred to a different cage) and a second person will examine the cage for any remaining pups. All lab members have been trained on the new procedures. These measures were accepted and approved by the IACUC.

OLAW appreciates being notified by the University of Washington of this issue. Your reporting of this incident is consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals for institutional self-monitoring, self-reporting, and self-correction. Although this activity was not PHS funded, the application of the expectations of the PHS Policy across the animal care and use program reduces any potential appearance of a double standard. We find no cause for further action by this office.

Sincerely,

**Brent C. Morse -S**

Digitally signed by Brent C.  
Morse -S  
Date: 2022.04.07 08:49:02 -04'00'

Brent C. Morse, DVM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC contact



28 March 2022

Dr. Brent Morse [olawdco@od.nih.gov](mailto:olawdco@od.nih.gov)  
Office of Laboratory Animal Welfare NIH  
Rockledge 1, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892

Re: D16-00292 (A3464-01), Pup Not Euthanized

Dear Dr. Morse:

This is a final report on an adverse event reported to OLAW by Dr. Brabb in a telephone call on 1/27/22.

An investigator found an unexpected litter of pups in an experimental cage in the BSL3 facility. The investigator separated the pups from the cage by putting them in another cage, finished her examination of the other experimental animals, and then euthanized the pups. She missed one and it was found dead in the separated cage the next day. This event was self-reported.

The research group will do two things to try to prevent this occurrence. If a male is inadvertently introduced into a cage of females (generally this happens at weaning), then those animals will not be used in experiments until enough time has passed to ensure they are not pregnant, or they will have a qualified person examine the mice for pregnancy. Second, if this happens again, they will euthanize the pups immediately (not transfer them to a different cage) and have a second person examine the cage for any remaining pups. All lab members have been trained on the new procedures.

The IACUC reviewed the incident at its March meeting and found no further action necessary (considers the case closed). The animals in question were not supported by PHS funds.

If you have questions regarding this situation or require additional information, please don't hesitate to contact me.

Sincerely,

(b) (6)

Sally Thompson-Iritani DVM/PhD, CPIA, CCFP  
Institutional Official  
Asst. Vice Provost, Animal Care, Outreach & 3Rs  
Clinical Associate Professor, DEOHS, SPH

Office of Research

2022 03 28

Cc: Jane Sullivan (b) (6)  
[REDACTED]  
accredit@aaalac.org

UNIVERSITY of WASHINGTON

5101 University Avenue, Seattle, WA 98195

Office of Research

PHILADELPHIA

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Wednesday, March 30, 2022 7:32 AM  
**To:** (b) (6)  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Final report of 3 non-compliant events

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** (b) (6)  
**Sent:** Monday, March 28, 2022 4:44 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** Jane Sullivan <jmsull@uw.edu>; Sally Thompson-Iritani <sti2@uw.edu>; accredit@aaalac.org; (b) (6)  
(b) (6)  
**Subject:** [EXTERNAL] RE: Final report of 3 non-compliant events

**CAUTION:** This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Please see the attached final report of 3 noncompliant events at the University of Washington. Please let me know if you have any questions.

(b) (6)



A3464-11U



## Initial Report of Noncompliance

By: BCM

Date: 2/2/2021

Time: 7:30 PM voicemail

Name of Person reporting: Thea Brabb, DVM

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Washington

Assurance number: A3464

Did incident involve PHS funded activity? ??

Funding component:

Was funding component contacted (if necessary):

What happened? Mouse pups born in BL3 facility. Investigator separated pups but accidentally left one behind in empty cage. Pup died.

Species involved: Mus musculus

Personnel involved: researcher

Dates and times: ?

Animal deaths: Yes

Projected plan and schedule for correction/prevention (if known):

To be presented to IACUC

Projected submission to OLAW of final report from Institutional Official:

< 60 days

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Case #