



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR U.S. POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

March 30, 2022

Re: Animal Welfare Assurance
#A3180-01 [OLAW Case 6I]

Dr. Robert Nobles
Vice President for Research Administration
Emory University
1599 Clifton Road NE – 1599-001-1BE
Atlanta, GA 30322

Dear Dr. Nobles,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 11, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Emory University. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the Emory University Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to the IACUC-approved protocol. The final report states on August 24, 2021, it was determined that monitoring of animals and surgical records were not being completed as described in the protocol. In response to the incident, the following corrective actions were implemented:

- A PAM was completed on November 15, 2021 and consisted of coaching the lab on appropriate completion of post-op monitoring as stated in the approved protocol, and appropriate completion of surgery logs.
- A follow-up from the lab to the IACUC office on December 5, 2021 produced accurate surgery and monitoring records.

The IACUC determined the corrective actions were appropriate to prevent a similar occurrence regarding this NIH-supported research. Based on its assessment of this explanation, OLAW understands that the Emory University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T.
Tubbs -S

Digitally signed by Jacquelyn T.
Tubbs -S
Date: 2022.03.30 14:25:01 -04'00'

Jacquelyn Tubbs, DVM, DACLAM
Senior Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



EMORY
UNIVERSITY

Institutional Animal Care and Use Committee

March 11, 2022

Jacquelyn Tubbs, DVM
Veterinary Medical Officer
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Re: Emory University, Animal Welfare Assurance #A3180-01

Dear Dr. Tubbs,

The Emory University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, is reporting the results of an event as required by section IV, F, 3a of the Public Health Service Policy on Humane Care and Use of Laboratory Animals (PHS Policy). A preliminary report was provided via phone call to your office on 2/1/22. This is the final report regarding a protocol violation of incomplete monitoring checks and incomplete surgical records. The associated NIH-funded grants are K12HD072245 and F42371-00.

Situation and Assessment

On August 24, 2021, it was determined that monitoring and surgical records were not being completed as per the approved protocol.

The corrective actions taken to prevent a recurrence were:

- A PAM was requested for the lab and the principal investigator and was completed on 11/15/2021. The PAM consisted of coaching the lab on correctly completing post-op monitoring as stated in the approved protocol, and correctly completing surgery logs.
- A follow-up from the lab to the IACUC office on 12/5/2021 produced accurate surgery and monitoring records.

The IACUC believes its investigation of this incident was thorough and the corrective actions appropriate to prevent a similar occurrence. If you have questions regarding this incident, please contact me or (b) (6)

Thank you, (b) (6)

3/22/2022 | 5:05 PM EDT

Robert Nobles, DrPH, MPH, CIP
Vice President for Research Administration
Emory University
1599 Clifton Road NE
Atlanta, GA 30322

Email: Robert.e.nobles@emory.edu

Tubbs, Jai (NIH/OD) [E]

From: (b) (6)
Sent: Wednesday, March 23, 2022 2:03 PM
To: Tubbs, Jai (NIH/OD) [E]
Cc: (b) (6)
Subject: [EXTERNAL] Final OLAW letters for Emory University, Animal Welfare Assurance #A3180-01
Attachments: Please_DocuSign_Final_letter_to_OLAW-3-2022_.zip;
Please_DocuSign_Final_letter_to_OLAW-4-2022_.zip;
Please_DocuSign_Final_letter_to_OLAW-1-2022_.zip
Follow Up Flag: Follow up
Flag Status: Flagged

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Good afternoon Dr. Tubbs,

Please find the attached final OLAW letters. If there are any concerns, please feel free to contact me. This is my first time sending them via DocuSign. Please let me know if you need them in a different format. There should be four in all.

Warm Regards,

(b) (6)

