



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

May 12, 2021

Re: Animal Welfare Assurance  
A3304-01 [OLAW Case 3W]

Dana L. Director, Ph.D.  
Vice President for Research Operations  
And Student Affairs  
Oregon Health and Science University  
3181 SW Sam Jackson Park Rd.  
Portland, OR 97239-3098

Dear Dr. Director,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 5, 2021 letter reporting an adverse event within the animal care and use program at the Oregon Health and Science University. Your letter supplements the information in a telephone prompt report received on the same date. According to the information provided, OLAW understands that on February 4, 2021, a rat was found dead, caught between an enrichment device and the cage-top hopper. The cause of the entrapment could not be determined and may have been due to the animal's own activity or the activity of its cage mate. The equipment was evaluated for potential improvements; however, it was determined that no changes were necessary. It was determined this incident was not reflective of a larger problem. The enrichment devices and cage tops have been used to house rats without incidence for more than 10 years at OHSU. There were no expenditures from PHS funding associated with this incident

The consideration of this matter by the Oregon Health and Science University was consistent with the philosophy of institutional self-regulation. Similarly, the consideration of the issue and evaluation to prevent recurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

**Brent C. Morse -S**

Digitally signed by Brent C.  
Morse -S  
Date: 2021.05.12 16:16:54 -04'00'

Brent C. Morse, DVM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC contact  
Director, Animal Care & Use program



May 5, 2021

Brent Morse, DVM, DACLAM  
 Director, Division of Compliance Oversight  
 Office of Laboratory Animal Welfare (OLAW)  
 National Institutes of Health  
 6700B Rockledge Drive, Suite 2500, MSC 6910  
 Bethesda, MD 20892

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Dana Director, PhD  
 Vice President of Research  
 Administration & Senior Staff  
 Officer

[director@ohsu.edu](mailto:director@ohsu.edu)  
[www.ohsu.edu/research](http://www.ohsu.edu/research)

Mail code: L335  
 3181 S.W. Sam Jackson Park Rd.  
 Portland, OR 97239-3098

Dear Dr. Morse:

Oregon Health & Science University (OHSU), in accordance with Assurance D16-00195 (A3304-01) and PHS Policy IV.F.3., provides this report of an adverse event resulting in the accidental death of a rat. This was first reported to you on May 5, 2021 via telephone by Vickie L. Jarrell, Ph.D., Director, Animal Care & Use Program.

The incident occurred on February 4, 2021. A rat was found dead, caught between an enrichment device and the cage-top hopper. The cause of the entrapment could not be determined and may have been due to the animal's own activity or the activity of its cage mate. The equipment was evaluated for potential improvements, however it was determined that no changes were necessary.

The incident was reported to the IACUC on April 21, 2021. After careful consideration, it was determined this incident was not reflective of a larger problem. These enrichment devices and cage tops have been used to house rats without incidence for more than 10 years at OHSU. We have determined there were no expenditures from PHS funding associated with this incident.

OHSU is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. Should you have any questions regarding this report, please contact Dr. Jarrell (b) (6) or [jarrellv@ohsu.edu](mailto:jarrellv@ohsu.edu).

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

Dana L. Director, Ph.D.

Vice President of Research Administration & Senior Staff Officer  
 Institutional Official  
 Oregon Health & Science University

cc: Attending Veterinarian  
 IACUC Chair  
 Director, Animal Care & Use Program

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Monday, May 10, 2021 7:23 AM  
**To:** Vickie Jarrell  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: report of adverse event A3304-01

Thank you for this report, Dr. Jarrell. We will send a response soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** Vickie Jarrell <jarrellv@ohsu.edu>  
**Sent:** Friday, May 7, 2021 5:56 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** Dana Director <director@ohsu.edu>; (b) (6)  
**Subject:** report of adverse event A3304-01

Please find attached the final report of an adverse event which occurred at the Oregon Health & Science University Central and Waterfront Campus, Assurance 3304-01. If you have any questions, please do not hesitate to contact me directly.

Thank you for your time and consideration.

Respectfully,

(b) (6)

(b) (6)



## Initial Report of Noncompliance

By: (b) (6)

Date: 5/5/21

Time: 3:45

Name of Person reporting: Vicky Jarrell  
Telephone #: (b) (6)  
Fax #:  
Email:

Name of Institution: Oregon Health + Science U  
Assurance number: A3304

Did incident involve PHS funded activity? Yes  
Funding component:  
Was funding component contacted (if necessary):

What happened?

One rat died when head got stuck between  
a device + cage.

Species involved: Rat

Personnel involved:

Dates and times:

Animal deaths: 1

Projected plan and schedule for correction/prevention (if known):  
Accident, No action taken.

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case #