

DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500, MSC 6910 Bethesda, Maryland 20892-6910 Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

FOR EXPRESS MAIL: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500 Bethesda, Maryland 20817 Telephone: (301) 496-7163 Facsimile: (301) 480-3387

March 14, 2022

Re: Animal Welfare Assurance #A3187-01 [OLAW Case 9T]

Dr. Bill Yates Acting Vice Chancellor for Research Protections University of Pittsburgh 3500 Fifth Avenue, (b) (4) Hieber Building Pittsburgh, PA 15213

Dear Dr. Yates,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 4, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Pittsburgh. According to the information provided, OLAW understands that on January 24, 2022, a graduate student accidentally left a mouse in a soiled cage on the housing room floor following a cage change. The mouse, which had a diabetic phenotype, did not have access to water for approximately 12 hours. It was surmised that the animal died due to a combination of dehydration and its diabetic phenotype. The investigator's research is supported by an NIH grant.

The IACUC approved the investigator's corrective action plan, which included the following items:

- 1. The graduate student was given a copy of the following IACUC guidelines to review: "Managed Use Sites and Housing Areas" and "Food and Fluid Regulation." He has also been directed to IACUC resources should he need additional guidance on rules and regulations regarding animal care.
- 2. All lab members who work with animals were instructed to contact DLAR or IACUC for further clarification on any policy in question.

The IACUC levied the following sanctions:

- 1. A letter of reprimand was sent to the investigator and his supervisors.
- 2. The investigator and laboratory staff will undergo training on cage changing procedures.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate this incident and prevent recurrence. OLAW concurs that the incident warranted reporting. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse - Digitally signed by Brent C.

Morse -S

Date: 2022.03.15 07:45:02 -04'00'

Brent C. Morse, DVM

Director

Division of Compliance Oversight Office of Laboratory Animal Welfare

cc: IACUC contact

Bill J. Yates, PhD Vice Chancellor for Research Protections Professor of Otolaryngology & Neuroscience & Clinical and Translational Science Suite 401, Hieber Building 3500 Fifth Avenue Pittsburgh, PA 15213 Phone: 412-647-9614 Fax: 412-648-4010 Email: vcorp@pitt.edu

March 4, 2022

Brent Morse, DVM, DACLAM NIH Office of Laboratory Animal Welfare Rockledge One, Suite 360 6705 Rockledge Drive – MSC 7982 Bethesda, MD 20892-7982

Dear Dr. Morse:

As the Institutional Official responsible for the University of Pittsburgh's Animal Care and Use Program (Assurance number D16-00118), I am writing to advise your office of a reportable incident¹.

On January 24, 2022, a graduate student accidentally left a mouse in a soiled cage on the housing room floor following a cage change. The mouse, which had a diabetic phenotype, did not have access to water for approximately 12 hours. It was surmised that the animal died due to a combination of dehydration and its diabetic phenotype.

The IACUC approved the investigator's corrective action plan, which included the following items:

- The graduate student was given a copy of the following IACUC guidelines to review: "Managed Use Sites and Housing Areas" and "Food and Fluid Regulation." He has also been directed to IACUC resources should he need additional guidance on rules and regulations regarding animal care.
- 2. All lab members who work with animals were instructed to contact DLAR or IACUC for further clarification on any policy in question.

The investigator's research is supported by the following NIH grant:

5R01AR060744-11

Regulated Activation of Latent-TGFb Determines Leukocyte Occupancy of the Epidermal Niche

¹ PHS Policy IV.C.1.d

Brent Morse, DVM, DACLAM March 4, 2022 Page 2

The IACUC levied the following sanctions:

- 1. A letter of reprimand was sent to the investigator and his supervisors regarding this event. This letter has been placed in our files.
- 2. The investigator and laboratory staff will undergo training on cage changing procedures.

Please do not hesitate to contact me if you have any questions or concerns.

Sincerely, (b) (6)

Bill J. Yates, PhD

Wolff, Axel (NIH/OD) [E]

From:

OLAW Division of Compliance Oversight (NIH/OD)

Sent:

Monday, March 7, 2022 7:15 AM

To:

(b)

Cc:

OLAW Division of Compliance Oversight (NIH/OD)

Subject:

RE: Reportable Event Notices

Thank you for these reports. We will send responses soon.

Axel Wolff, M.S., D.V.M. Deputy Director, OLAW

From:

(b) (6)

Sent: Sunday, March 6, 2022 8:41 PM

To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>

Subject: [EXTERNAL] Reportable Event Notices

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Please review the attached correspondence forwarded on behalf of Bill J. Yates, PhD, Vice Chancellor for Research Protections. Thank you.

