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DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500, MSC 6910 Bethesda, Maryland 20892-6910 Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

May 19, 2022

FOR EXPRESS MAIL: Office of Laboratory Animal Welfare 6700B Rockledge Drive, Suite 2500 Bethesda, Maryland 20817 Telephone: (301) 496-7163 Facsimile: (301) 480-3387

Re: Animal Welfare Assurance #A3187-01 [OLAW Case 9X]

Dr. Bill Yates Acting Vice Chancellor for Research Protections University of Pittsburgh 3500 Fifth Avenue, 401 Hieber Building Pittsburgh, PA 15213

Dear Dr. Yates,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 11, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Pittsburgh. According to the information provided, OLAW understands that a postdoc performed tail snipping in mice over 28 days-old without administering the required analgesic treatments for mice of this age. Additionally, the protocol states that only mice under 16 days-old will be genotyped in this manner. The animal activity was PHS-supported.

OLAW understands that the IACUC approved the corrective action plan submitted by the investigator for the incident, which included the following items:

- 1. The investigator will train the postdoc responsible for the event on how to perform tail snipping for genotyping purpose.
- 2. The investigator informed other lab personnel of the event and asked them to perform tailsnipping as approved in the IACUC protocol.
- The investigator will modify the IACUC protocol to reflect the use of analgesic treatments for tail-snipping in mice more than 28 days-old.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate this incident and prevent recurrence. OLAW concurs that the incident warranted reporting. In future reports, please report the approximate number of animals affected and dates of occurrence, if known. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S Date: 2022.05.19 15:14:07 -04'00'

Brent C. Morse, DVM Director Division of Compliance Oversight Office of Laboratory Animal Welfare

cc: IACUC contact



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Bill J. Yates, PhD Vice Chancellor for Research Protections Professor of Otolaryngology & Neuroscience & Clinical and Translational Science Suite 401, Hieber Building 3500 Fifth Avenue Pittsburgh, PA 15213 Phone: 412-647-9614 Fax: 412-648-4010 Email: vcorp@pitt.edu

May 11, 2022

Brent Morse, DVM, DACLAM NIH Office of Laboratory Animal Welfare Rockledge One, Suite 360 6705 Rockledge Drive – MSC 7982 Bethesda, MD 20892-7982

Dear Dr. Morse:

This is a replacement for a letter sent to you on May 5, 2022 and dated May 4, 2022. We were apprised of a small technical error in the prior report.

As the Institutional Official responsible for the University of Pittsburgh's Animal Care and Use Program (Assurance number D16-00118), I am writing to advise your office of a reportable incident<sup>1</sup>.

A postdoc in the investigator's laboratory performed tail snipping in mice over 28 days old without administering the required analgesic treatments for mice of this age. Additionally, the investigator's IACUC protocol states that only mice under 16 days old will be genotyped in this manner.

The IACUC approved the corrective action plan submitted by the investigator for the incident, which included the following items:

- 1. The investigator will train the postdoc responsible for the event how to perform tail snipping for genotyping purpose.
- 2. The investigator informed other lab personnel of the event and asked them to perform tail snipping as approved in the IACUC protocol.
- 3. The investigator will modify the IACUC protocol to reflect the use of analgesic treatments for tail snipping in mice more than 28 days old.

<sup>&</sup>lt;sup>1</sup> PHS Policy IV.C.1.b & IV.B.7

Brent Morse, DVM, DACLAM May 11, 2022 Page 2

The investigator's research is supported by the following NIH grants:

5R01HL143967-05	Mechanisms of Myocardial Infarction-induced insulin resistance
5R01HL142629-02	Mechanisms of myelopoiesis after myocardial infarction

The IACUC levied the following sanction:

(h) (f)

• A letter was sent to the investigator regarding the event.

Please do not hesitate to contact me if you have any questions or concerns.

Sincerely,

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Bill J. Yates, PhD

## Wolff, Axel (NIH/OD) [E]

From: Sent: To: Cc: Subject:	OLAW Division of Compliance Oversight (NIH/OD) Friday, May 13, 2022 7:37 AM (b) (6) OLAW Division of Compliance Oversight (NIH/OD) RE: Reportable Event Notice - replacement letter		
Thank you for this report	<sup>(b) (6)</sup> We will replace the initial one with this replacement and send a response soon.		
Axel Wolff, M.S., D.V.M.			
Deputy Director, OLAW			
From:	(b) (6)		
Sent: Wednesday, May 11, 202	2 1:51 PM		
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov></olawdco@od.nih.gov>			
Subject: [EXTERNAL] Reportable Event Notice - replacement letter			

Please review the attached correspondence forwarded on behalf of Bill J. Yates, PhD, Vice Chancellor for Research Protections. Thank you.

(b) (6)