



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 16, 2022

Re: Animal Welfare Assurance
#A3187-01 [OLAW Case 9Y]

Dr. Bill Yates
Acting Vice Chancellor for Research Protections
University of Pittsburgh
3500 Fifth Avenue, ^{(b) (4)} Hieber Building
Pittsburgh, PA 15213

Dear Dr. Yates,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your June 3, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Pittsburgh. According to the information provided, OLAW understands that on April 26, 2022, animal facility staff found two live neonate mice in a carcass bag in the animal facility. The mice had been sacrificed via CO2 administration, but the secondary method of euthanasia described in the investigator's protocol (cervical dislocation or decapitation) had not been performed. The investigator's research is supported by NIH funds.

The corrective and preventive actions included the following items:

- In the future, all euthanized mice will be fully decapitated to ensure death.
- A letter was sent to the investigator regarding the event.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate this incident and prevent recurrence. OLAW concurs that the incident warranted reporting. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse

-S

Brent C. Morse, DVM

Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

Digitally signed by Brent C.
Morse -S
Date: 2022.06.16 12:00:09
-04'00'

cc: IACUC contact



University of
Pittsburgh

Office of
Research Protections

A3187-94

Bill J. Yates, PhD
Vice Chancellor for Research Protections
Professor of Otolaryngology & Neuroscience &
Clinical and Translational Science

(b) (4) Hieber Building
3500 Fifth Avenue
Pittsburgh, PA 15213
Phone: (b) (6)
Fax: (b) (6)
Email: vcorp@pitt.edu

June 3, 2022

Brent Morse, DVM, DACLAM
NIH Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7982
Bethesda, MD 20892-7982

Dear Dr. Morse:

As the Institutional Official responsible for the University of Pittsburgh's Animal Care and Use Program (Assurance number D16-00118), I am writing to advise your office of a reportable incident¹.

On April 26, 2022, animal facility staff found two live neonate mice in a carcass bag in the animal facility. The mice had been sacrificed via CO₂ administration, but the secondary method of euthanasia described in the investigator's protocol (cervical dislocation or decapitation) had not been performed.

The IACUC approved the corrective action plan submitted by the investigator for the incident, which included the following item:

- In the future, all euthanized mice will be fully decapitated to ensure death.

The investigator's research is supported by the following NIH grant:

5R01NS105691-03 Subcellular Wireless Axons for in vivo Localized Neuronal
Excitation

The IACUC levied the following sanction:

- A letter was sent to the investigator regarding the event.

¹ PHS Policy IV.B.7 & PHS Policy IV.C.1.g

Brent Morse, DVM, DACLAM
June 3, 2022
Page 2

Please do not hesitate to contact me if you have any questions or concerns.

Sincerely,

(b) (6)

A large rectangular area of the document is redacted with a solid grey box, covering the signature of Bill J. Yates.

Bill J. Yates, PhD

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, June 7, 2022 7:01 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Reportable Event Notice

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Monday, June 6, 2022 11:14 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] Reportable Event Notice

Please review the attached correspondence forwarded on behalf of Bill J. Yates, PhD, Vice Chancellor for Research Protections. Thank you.

(b) (6)



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