



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 16, 2022

Re: Animal Welfare Assurance
#A3187-01 [OLAW Case 9Z]

Dr. Bill Yates
Acting Vice Chancellor for Research Protections
University of Pittsburgh
3500 Fifth Avenue, (b) (4) Hieber Building
Pittsburgh, PA 15213

Dear Dr. Yates,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your June 3, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Pittsburgh. According to the information provided, OLAW understands that osmotic mini-pumps were surgically implanted in mice without the procedure being described in the investigator's approved IACUC protocol. The investigator's research is supported by NIH grants.

The corrective and preventive actions included the following items:

1. The members of the investigator's lab have reviewed and discussed the protocol in depth, allowing them to verify that all planned experimental and surgical procedures are included with appropriate detail.
2. Subsequent to future protocol modifications, the protocol will be reviewed again by the investigator, lab manager, and trainees to ensure that they are aware of the content of the protocol.
3. Before the beginning of each new study, trainees will ensure that all procedures for their project are included in the approved IACUC protocol, and this will be cross-checked by the investigator and lab manager.
4. A letter was sent to the investigator regarding the event.
5. The investigator was reminded that data collected from studies involving unapproved use of animals cannot be used in any public presentations or publications.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate this incident and prevent recurrence. OLAW concurs that the incident warranted reporting. In future reports, please report the date of occurrence of the incident and approximate number of animals involved. Also, in incidents such as this, please indicate if proper surgical technique was followed and if appropriate after-care (analgesia, monitoring) was provided. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

Digitally signed by Brent C.
Morse -S
Date: 2022.06.16 12:01:08
-04'00'

cc: IACUC contact



University of
Pittsburgh

Office of
Research Protections

A3187-92

Bill J. Yates, PhD
Vice Chancellor for Research Protections
Professor of Otolaryngology & Neuroscience &
Clinical and Translational Science

(b)(4) Hieber Building
3500 Fifth Avenue
Pittsburgh, PA 15213
Phone: (b)(6)
Fax:
Email: vcorp@pitt.edu

June 3, 2022

Brent Morse, DVM, DACLAM
NIH Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7982
Bethesda, MD 20892-7982

Dear Dr. Morse:

As the Institutional Official responsible for the University of Pittsburgh's Animal Care and Use Program (Assurance number D16-00118), I am writing to advise your office of a reportable incident¹.

Osmotic mini-pumps were surgically implanted in mice without the procedure being described in the investigator's approved IACUC protocol.

The IACUC approved the corrective action plan submitted by the investigator for the incident, which included the following items:

1. To ensure that deviations from the approved protocol do not occur in the future, the members of the investigator's lab have reviewed and discussed the protocol in depth, allowing them to verify that all planned experimental and surgical procedures are included with appropriate detail.
2. Subsequent to future protocol modifications, the protocol will be reviewed again by the investigator, lab manager, and trainees to ensure that they are aware of the content of the protocol.
3. Before the beginning of each new study, trainees will ensure that all procedures for their project are included in the approved IACUC protocol, and this will be cross-checked by the investigator and lab manager.

The investigator's research is supported by the following NIH grants:

5R01MH119837-04	Dissecting the role of striatal cell types in abnormal repetitive behaviors and treatment response
5R01MH114296-05	Investigation of EAAT3 in OCD Pathophysiology

¹ PHS Policy IV.B.7

5R01MH119837-04	Dissecting the role of striatal cell types in abnormal repetitive behaviors and treatment response
1R01NS125141-01	Investigating the role of anterior lateral motor cortex in control and execution of sequenced behaviors

The IACUC levied the following sanctions:

1. A letter was sent to the investigator regarding the event.
2. The investigator was reminded that data collected from studies involving unapproved use of animals cannot be used in any public presentations or publications.

Please do not hesitate to contact me if you have any questions or concerns.

Sincerely,

(b) (6)



Bill J. Yates, PhD

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, June 7, 2022 7:01 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Reportable Event Notice

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Monday, June 6, 2022 11:14 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] Reportable Event Notice

Please review the attached correspondence forwarded on behalf of Bill J. Yates, PhD, Vice Chancellor for Research Protections. Thank you.



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