



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

May 6, 2021

Re: Animal Welfare Assurance  
#A3284-01 (OLAW Case 2L)

Dr. Jill C. Pipher  
Vice President for Research  
Brown University  
47 George St. - Box 1937  
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 26, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Brown University. According to the information provided, OLAW understands that on February 12, 2021, a lab member failed to euthanize two mice within the approved timeframe and as a result one mouse was found dead and the other humanely euthanized by the Center for Animal Resources and Education (CARE) staff. The study was funded by PHS.

As a corrective measure, the individual was retrained in animal care expectations, going forward the lab member will carbon copy the lab manager and the Principal Investigator (PI) on emails when euthanasia requests are received and the lab instituted a new oversight procedure where the lab manager will follow-up with lab members in response to animal care requests and copy the PI on the messages.

OLAW appreciates the prompt consideration of this matter by Brown University which is consistent with the philosophy of institutional self-regulation. Based on the information provided, OLAW is satisfied that appropriate steps have been taken to investigate this incident and to attempt to prevent recurrence. OLAW expects continuing post-approval monitoring to prevent recurrence of this non-compliance and potential associated animal welfare concern. We appreciate being informed of this matter and please contact us with any further questions or concerns.

Sincerely,

Nicole L. Lukovsky-  
akhsanov -S

Digitally signed by Nicole L.  
Lukovsky-Akhsanov -S  
Date: 2021.05.06 13:58:07  
+0400

Nicole Lukovsky-Akhsanov, DVM, MPH, DACLAM  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC contact



# BROWN

April 26, 2021

Brent Morse, DVM  
 Director, Division of Compliance Oversight  
 Office of Laboratory Animal Welfare  
 National Institutes of Health  
 Rockledge 1, Suite 360  
 6705 Rockledge Drive  
 Bethesda, MD 20892

RE: Report of noncompliance, Assurance #A3284-01  
 DBI-1707352  
 1RF1DA048790-01  
 1R21EY030716-01

Dear Dr. Morse:

The Brown University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official (Jill C. Pipher, Ph.D.), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

On February 12, 2021, an incident of animal welfare concern occurred wherein a lab member failed to conduct euthanasia of two mice within the timeframe approved by the Center for Animal Resources and Education (CARE) veterinary team. As a result, one mouse was found dead and the other mouse was humanely euthanized by CARE.

The IACUC discussed the incident of animal welfare concern at its April 2, 2021 convened meeting and determined that the lab was responsible for the incident described which meets the criteria for reporting per the NIH NOT-OD-05-034, "*Guidance on Prompt Reporting to OLAW under the PHS Policy on Humane Care and Use of Laboratory Animals*."

The following corrective actions have taken, or will take, place:

- The individual was retrained in animal care expectations;
- The lab member will cc both the lab manager and the PI when euthanasia requests are received;
- The lab instituted a new oversight procedure where the lab manager will require follow-up from all lab members in response to animal care requests. The PI will be cc'd on these messages.

Brown University is committed to protecting the welfare of animals used in research and appreciates the

guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact

(b) (6)

(b) (6)

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

Jill C. Pipher, Ph.D.  
Vice President for Research, Institutional Official Brown  
University

cc: Diane Hoffman-Kim, PhD, IACUC Chair

(b) (6)

Keri Godin, Senior Director, Office of Research Integrity  
Lara Helwig, DVM, Director of CARE, Attending Veterinarian  
AAALACi

## Wolff, Axel (NIH/OD) [E]

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Wednesday, April 28, 2021 7:38 AM  
**To:** IACUC  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Event Report- Assurance A3284-01

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** (b) (6) On Behalf Of IACUC  
**Sent:** Tuesday, April 27, 2021 10:57 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>; Jill Pipher <jill\_pipher@brown.edu>; Keri Godin <keri\_godin@brown.edu>; Lara Helwig <lara\_helwig@brown.edu>; (b) (6)  
(b) (6) Diane Hoffman-Kim <Diane\_Hoffman-Kim@brown.edu>; accredit@aaalac.org  
**Subject:** Event Report- Assurance A3284-01

Good afternoon,

Please find attached a recent incident of noncompliance discussed by the Brown University IACUC during its April 2, 2021 convened meeting.

Please let us know if you have any questions.

Thanks,

(b) (6)



