



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

August 23, 2021

Re: Animal Welfare Assurance
#A3284-01 (OLAW Case 2P)

Dr. Jill C. Pipher
Vice President for Research
Brown University
47 George St. - Box 1937
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your August 18, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Brown University. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the Brown University Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to provide food to animals. The final report states on June 23, 2021, a Center for Animal Resources and Education (CARE) supervisor discovered two dead mice in a cage with no food. It was concluded the Animal Care Technician (ACT) responsible for the care of the animals did not provide food to the mice in that cage.

The IACUC reviewed the matter at the August 6, 2021 meeting and understood the following corrective action occurred:

- The ACT was retrained, and disciplinary action was taken per University Human Resources.

It is noted that the final report did not include the funding source involving the incident. In the future, please include the source of funding and provide grant numbers for all PHS, NSF or NASA supported work.

Based on its assessment of this explanation, OLAW understands that the Brown University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn
T. Tubbs -S

Digitally signed by
Jacquelyn T. Tubbs -S
Date: 2021.08.23
15:21:51 -04'00'

Jacquelyn Tubbs, DVM, DACLAM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



BROWN

August 18, 2021

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

RE: Report of noncompliance, Assurance #A3284-01

Dear Dr. Morse:

The Brown University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official (Jill C. Pipher, Ph.D.), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

On June 23, 2021, a Center for Animal Resources and Education (CARE) supervisor was checking an animal room and found two dead mice without food in the cage. It was determined that the Animal Care Technician (ACT) responsible for caring for the animals in the room was the individual responsible for not placing food within the cage.

The IACUC discussed the incident of animal welfare concern at its August 6, 2021 convened meeting and understands that the following corrective actions have taken place:

The animal care technician responsible for the room was retrained and disciplinary action was taken per University Human Resources.

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact (b) (6)

(b) (6)

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

Jill C. Pipher, Ph.D.
Vice President for Research, Institutional
Official Brown University

cc: Diane Hoffman-Kim, PhD, IACUC Chair

(b) (6)

Keri Godin, Senior Director, Office of Research Integrity
Lara Helwig, DVM, Director of CARE, Attending Veterinarian
AAALACi

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, August 20, 2021 7:01 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of noncompliance, Assurance #A3284-01

Thank you for this report (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6) On Behalf Of IACUC
Sent: Wednesday, August 18, 2021 12:21 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Diane Hoffman-Kim <Diane_Hoffman-Kim@brown.edu>; (b) (6) Keri Godin <keri_godin@brown.edu>; Lara Helwig <lara_helwig@brown.edu>; accredit@aaalac.org
Subject: Report of noncompliance, Assurance #A3284-01

Good afternoon,

Please find attached a recent incident of noncompliance discussed by the Brown University IACUC during its July 9, 2021 convened meeting.

Please let us know if you have any questions.

Thanks,

(b) (6)

