



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

October 15, 2021

Re: Animal Welfare Assurance  
#A3284-01 (OLAW Case 2Q)

Dr. Jill C. Pipher  
Vice President for Research  
Brown University  
47 George St. - Box 1937  
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of the September 24, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals within the animal care and use program at Brown University. According to the information provided, OLAW understands that a routine records review revealed inconsistent postoperative mouse monitoring which was not conducted according to the schedule established in the approved IACUC protocol. No animal welfare issues were noted. The mice involved in this incident were supported by PHS funding.

Corrective and preventive measures included retraining of the laboratory members on proper monitoring, protocol use, and recordkeeping procedures. The Institutional Animal Care and Use Committee also sent a letter communicating animal use expectations to the Principal Investigator.

OLAW appreciates the consideration of this matter by Brown University which was consistent with the philosophy of institutional self-regulation. Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate this incident and prevent recurrence. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Robyn M. Engel -S  
Digitally signed by Robyn M. Engel -S  
Date: 2021.10.15 14:41:48 -04'00'  
Robyn M. Engel, DVM  
Animal Welfare Program Specialist  
Office of Laboratory Animal Welfare

cc: IACUC contact



September 24, 2021

Brent Morse, DVM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
Rockledge 1, Suite 360  
6705 Rockledge Drive  
Bethesda, MD 20892

RE: Report of noncompliance, Assurance #A3284-01  
NIDCD DC017437

Dear Dr. Morse:

The Brown University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official (Jill C. Pipher, Ph.D.), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

- During a semiannual inspection in August 2021, a routine records review revealed that postoperative mouse monitoring was inconsistent and not according to the schedule established in the approved IACUC protocol. No animal welfare issues were noted.

The IACUC discussed the finding related to these animals which were supported by PHS funds. The committee determined that the finding met the criteria for reporting per the NIH NOT-OD-05-034, "*Guidance on Prompt Reporting to OLAW under the PHS Policy on Humane Care and Use of Laboratory Animals.*"

The following corrective actions have taken, or will take, place:

- Lab members were retrained on proper monitoring, protocol use, and recordkeeping procedures.
- A letter from the IACUC communicating animal use expectations was sent to the PI

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact [REDACTED] (b) (6)

[REDACTED] (b) (6)

Thank you for your consideration of this matter.

Sincerely,

 (b) (6)

Jill C. Pipher, Ph.D.  
Vice President for Research, Institutional  
Official Brown University

cc: Diane Hoffman-Kim, PhD, IACUC Chair

 (b) (6)

Keri Godin, Senior Director, Office of Research Integrity  
Lara Helwig, DVM, Attending Veterinarian

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Wednesday, September 29, 2021 7:51 AM  
**To:** IACUC  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Report of noncompliance, Assurance #A3284-01

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** (b) (6) On Behalf Of IACUC  
**Sent:** Tuesday, September 28, 2021 9:37 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** Jill Pipher <jill\_pipher@brown.edu>; (b) (6) Helwig, Lara <lara\_helwig@brown.edu>; Diane Hoffman-Kim <Diane\_Hoffman-Kim@brown.edu>; Keri Godin <keri\_godin@brown.edu>  
**Subject:** Report of noncompliance, Assurance #A3284-01

Good morning,

Please find attached reports regarding recent incidents of noncompliance discussed by the Brown University IACUC during its September 10, 2021 convened meeting.

Please let us know if you have any questions.

Thanks,

(b) (6)

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