



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

October 15, 2021

Re: Animal Welfare Assurance
#A3284-01 (OLAW Case 2R)

Dr. Jill C. Pipher
Vice President for Research
Brown University
47 George St. - Box 1937
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 24, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Brown University. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the Brown University Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to the IACUC-approved protocol. The final report states the semiannual inspection conducted in August 2021, revealed that post-operative monitoring for two turkeys was not conducted as described in the protocol. It is also stated that no animal welfare issues were identified. The IACUC discussed the finding, and the following corrective actions occurred:

- Lab members were retrained on proper monitoring, protocol use, and record-keeping procedures.
- A letter from the IACUC communicating animal use expectations was sent to the principal investigator.

It is noted that this project is supported by PHS funds. Based on its assessment of this explanation, OLAW understands that the Brown University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn
T. Tubbs -S

Digitally signed by
Jacquelyn T. Tubbs -S
Date: 2021.10.15
07:36:00 -04'00'

Jacquelyn Tubbs, DVM, DACLAM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



BROWN

September 24, 2021

Brent Morse, DVM
 Director, Division of Compliance Oversight
 Office of Laboratory Animal Welfare
 National Institutes of Health
 Rockledge 1, Suite 360
 6705 Rockledge Drive
 Bethesda, MD 20892

RE: Report of noncompliance, Assurance #A3284-01
 NIAMS AR055295

Dear Dr. Morse:

The Brown University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official (Jill C. Pipher, Ph.D.), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

- During a semiannual inspection in August 2021, a routine records review revealed that postoperative monitoring for two turkeys was inconsistent and not according to the schedule established in the approved IACUC protocol. No animal welfare issues were noted.

The IACUC discussed the finding related to these animals which were supported by PHS funds. The committee determined that the finding met the criteria for reporting per the NIH NOT-OD-05-034, "*Guidance on Prompt Reporting to OLAW under the PHS Policy on Humane Care and Use of Laboratory Animals*."

The following corrective actions have taken, or will take, place:

- Lab members were retrained on proper monitoring, protocol use, and recordkeeping procedures.
- A letter from the IACUC communicating animal use expectations was sent to the PI

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact [REDACTED] (b) (6)

(b) (6)

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

Jill C. Pipher, Ph.D.
Vice President for Research, Institutional Official
Brown University

cc: Diane Hoffman-Kim, PhD, IACUC Chair

(b) (6)

Lara Helwig, DVM, Attending Veterinarian

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, September 29, 2021 7:51 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of noncompliance, Assurance #A3284-01

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6) On Behalf Of IACUC
Sent: Tuesday, September 28, 2021 9:37 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Jill Pipher <jill_pipher@brown.edu>; (b) (6) Helwig, Lara
<lara_helwig@brown.edu>; Diane Hoffman-Kim <Diane_Hoffman-Kim@brown.edu>; (b) (6)
(b) (6)
Subject: Report of noncompliance, Assurance #A3284-01

Good morning,

Please find attached reports regarding recent incidents of noncompliance discussed by the Brown University IACUC during its September 10, 2021 convened meeting.

Please let us know if you have any questions.

Thanks,

(b) (6)