



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

February 3, 2022

Re: Animal Welfare Assurance
#A3284-01 (OLAW Case 2T)

Dr. Jill C. Pipher
Vice President for Research
Brown University
47 George St. - Box 1937
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 26, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Brown University. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the Brown University Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: animals with lack of access to water. The final report states that three mice were found dead on November 26, 2021. It was determined a Center for Animal Resources and Education (CARE) technician did not ensure the water bottle was properly seated, which prevented access to water.

The IACUC discussed the matter at its January 14, 2022 convened meeting and determined the matter was reportable to this Office. In response to the incident, the following corrective actions were established:

- The individual responsible for checking the cage daily prior to the incident has received disciplinary action through Brown University HR.
- All CARE technicians received hands-on retraining by a CARE manager in the use of this type of caging and in general husbandry practices.

It is noted that the final report did not include the funding source involving the incident. In the future, please include the source of funding and provide grant numbers for all PHS, NSF or NASA supported work.

Based on its assessment of this explanation, OLAW understands that the Brown University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T.
Tubbs -S

Digitally signed by
Jacquelyn T. Tubbs, S
Date: 2022.02.03 11:52:30
-0500

Jacquelyn Tubbs, DVM, DACLAM
Senior Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



BROWN

January 26, 2021

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892

RE: Report of noncompliance, Assurance #A3284-01

Dear Dr. Morse:

The Brown University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official (Jill C. Pipher, Ph.D.), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

On November 26, 2021, three mice were found deceased by a Center for Animal Resources and Education (CARE) technician. It was determined that a CARE technician had not ensured that the water bottle was properly seated, thus preventing access.

The IACUC discussed the incident of animal welfare concern at its January 14, 2022 convened meeting and determined that the incident meets the criteria for reporting per the NIH NOT-OD-05-034, "Guidance on Prompt Reporting to OLAW under the PHS Policy on Humane Care and Use of Laboratory Animals."

The following corrective actions have taken, or will take, place:

- The individual responsible for checking the cage daily prior to the incident has received disciplinary action through Brown University HR
- Additionally, all CARE technicians received hands-on retraining by a CARE manager in the use of this particular type of caging and in general husbandry practices.

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact (b) (6)

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

Jill C. Pipher, Ph.D.
Vice President for Research, Institutional Official Brown
University

cc: Diane Hoffman-Kim, PhD, IACUC Chair

(b) (6)

Lara Helwig, DVM, Director of CARE, Attending Veterinarian
AAALACi

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, January 28, 2022 7:16 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: [EXTERNAL] Report of noncompliance, Assurance #A3284-01

Thank you for this report. We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6) On Behalf Of IACUC
Sent: Wednesday, January 26, 2022 12:58 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Jill Pipher <jill_pipher@brown.edu>; (b) (6) Diane Hoffman-Kim <Diane_Hoffman-Kim@brown.edu>; Helwig, Lara <lara_helwig@brown.edu>; (b) (6) accredit@aaalac.org
Subject: [EXTERNAL] Report of noncompliance, Assurance #A3284-01

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Hello,

Please see the attached document for a report of an incident of noncompliance during animal husbandry that was discussed at the January 14, 2022 convened IACUC meeting.

Please let us know if you have any questions.

Thank you,

(b) (6)