



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

March 31, 2022

Re: Animal Welfare Assurance
#A3284-01 (OLAW Case 2X)

Dr. Jill C. Pipher
Vice President for Research
Brown University
47 George St. - Box 1937
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 22, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Brown University. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the Brown University Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: animals found without food and water. The final report states on January 15, 2022, a mouse and pups were discovered dead in a cage without food or water. It was determined a lab member failed to provide food and water during cage change, and the error was not identified by the Center for Animal Resources and Education (CARE) technician until 2 days later.

The matter was reviewed by the IACUC at the convened meeting on March 4, 2022. In response to the incident, the following corrective actions have taken or will take place:

- The laboratory and CARE staff must receive retraining on proper husbandry practices.
- A report of the incident was placed in the personnel file of the technician involved.

It is noted that these animals were supported by PHS funding. Based on its assessment of this explanation, OLAW understands that the Brown University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn
T. Tubbs -S

Digitally signed by
Jacquelyn T. Tubbs -S
Date: 2022.03.31
11:28:42 -04'00'

Jacquelyn Tubbs, DVM, DACLAM
Senior Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



BROWN

March 22, 2022

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892

RE: Report of noncompliance, Assurance #A3284-01
NS095908

Dear Dr. Morse:

The Brown University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official (Jill C. Pipher, Ph.D.), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

On January 15, 2022, a mouse and some pups were found dead in a cage without food or water. It was determined that the incident arose because a lab member failed to provide the food and water at the time of cage change, and the error was not noticed by the Center for Animal Resources and Education (CARE) technician until two days later.

The IACUC discussed the incident of animal welfare concern at its March 4, 2022 convened meeting and determined that the incident meets the criteria for reporting per the NIH NOT-OD- 05-034, "*Guidance on Prompt Reporting to OLAW under the PHS Policy on Humane Care and Use of Laboratory Animals.*"

The following corrective actions have taken, or will take, place:

- The laboratory and CARE staff must receive retraining on proper husbandry practices.
- A report of the incident was placed in the personnel file of the technician involved.

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact (b) (6)

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

Jill C. Pipher, Ph.D.
Vice President for Research, Institutional Official
Brown University

cc:

(b) (6)

Lara Helwig, DVM, Director of CARE, Attending Veterinarian
AAALAC

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, March 23, 2022 7:25 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: [EXTERNAL] Report of noncompliance, Assurance #A3284-01

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6) On Behalf Of IACUC
Sent: Tuesday, March 22, 2022 11:34 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Jill Pipher <jill_pipher@brown.edu>; (b) (6)
(b) (6) Helwig, Lara <lara_helwig@brown.edu>; (b) (6)
Subject: [EXTERNAL] Report of noncompliance, Assurance #A3284-01

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Good afternoon,

Please see the attached reports regarding incidents of noncompliance discussed by the Brown University IACUC during its March 4, 2022 convened meeting.

Please let us know if you have any questions.

Thanks,

(b) (6)