



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

April 12, 2022

Re: Animal Welfare Assurance
#A3284-01 (OLAW Case 2Z)

Dr. Jill C. Pipher
Vice President for Research
Brown University
47 George St. - Box 1937
Providence, Rhode Island 02912

Dear Dr. Pipher,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 8, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Brown University. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the Brown University Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: conditions that jeopardized the health or well-being of animals resulting in harm to animals. The final report states on February 25, 2022, while performing routine cage changes, the sensor cord for the Opti unit cage rack was wrapped around the cage card holder inadvertently dislodging the cage. The technician was unaware of the cord placement when moving the rack. As a result, a single mouse suffered a leg injury due to the cage and food hopper becoming dislodged. In response, the animal was humanely euthanized by Center for Animal Resources and Education staff.

The IACUC discussed the incident at the convened meeting on April 1, 2022 and determined it to be reportable. In response to the incident, the following corrective actions were developed:

- The electrical attachments and cord storage in the room will be investigated and changed as necessary to prevent future recurrence of a similar incident.

It is noted that this animal was supported by PHS funding. Based on its assessment of this explanation, OLAW understands that the Brown University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn
T. Tubbs -S

Digitally signed by
Jacquelyn T. Tubbs -S
Date: 2022.04.12
07:06:33 -04'00'

Jacquelyn Tubbs, DVM, DACLAM
Senior Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



BROWN

April 8, 2022

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892

RE: Report of noncompliance, Assurance #A3284-01

Dear Dr. Morse:

The Brown University Institutional Animal Care and Use Committee (IACUC), through the Institutional Official (Jill C. Pipher, Ph.D.), is writing to provide OLAW with a final report of the following noncompliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* ("PHS Policy"):

On February 25, 2022, during routine cage changes, the sensor cord for the Opti unit cage rack was wrapped around the cage card holder inadvertently dislodging the cage from the rack when trying to move the unit. The ACT was unaware of the cord placement at the time of moving the rack. A single mouse suffered a leg injury as a result of the cage and food hopper becoming dislodged and was humanely euthanized immediately by a Center for Animal Resources and Education staff.

The IACUC discussed the incident of animal welfare concern at its April 1, 2022 convened meeting and determined that the incident meets the criteria for reporting per the NIH NOT-OD- 05-034, "*Guidance on Prompt Reporting to OLAW under the PHS Policy on Humane Care and Use of Laboratory Animals*" as it occurred with a PHS funded animal.

The following corrective actions have taken, or will take, place:

- The electrical attachments and cord storage in the room will be investigated and changed as necessary to prevent such an accident from occurring in the future.

Brown University is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. If you have any questions or concerns regarding this report, please contact (b) (6)

(b) (6)

Thank you for your consideration of this matter.

Sincerely,

(b) (6)

Jill C. Pipher, Ph.D.
Vice President for Research
Institutional Official
Brown University

cc: Diane Hoffman-Kim, PhD, IACUC Chair
(b) (6)
Lara Helwig, DVM, Director of CARE, Attending Veterinarian
AAAAALAC

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, April 6, 2022 7:43 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: [EXTERNAL] Report of noncompliance, Assurance #A3284-01

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6) On Behalf Of IACUC
Sent: Tuesday, April 5, 2022 5:16 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Jill Pipher <jill_pipher@brown.edu>; Diane Hoffman-Kim <Diane_Hoffman-Kim@brown.edu>; (b) (6)
(b) (6) Helwig, Lara <lara_helwig@brown.edu>; accredit@aaalac.org
Subject: [EXTERNAL] Report of noncompliance, Assurance #A3284-01

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Good afternoon,

Please see the attached reports regarding an event discussed by the Brown University IACUC during its April 1, 2022 convened meeting.

Please let us know if you have any questions.

Thanks,

(b) (6)