

Annual Report to OLAW

Institution: Columbia University
Assurance Number: D16-00003 (A3007-01)
Reporting Period: October 1, 2020 to September 30, 2021

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

I. Program Changes [*Select A or B*]

- [☐] A. There have been no changes in this institution's program for animal care and use as described in the Assurance. [*Skip to Item II.*]
- [☒] B. Change(s) in this **institution's program for animal care and use as described in the Assurance** have occurred during this reporting period.

Select all that apply:

- [☐] This **institution's AAALAC accreditation status has changed** ([PHS Policy IV.A.2.](#)).
- [☐] [AAALAC Accredited](#) – Category 1
- [☐] Non-Accredited – Category 2
- [☐] This **institution's program for animal care and use has changed** ([PHS Policy IV.A.1.a-i.](#)). [*Attach a full description of the changes.*]
- [☐] The individual designated by this institution as the Institutional Official has changed. [*Provide name, title(s), address, e-mail, phone, and fax numbers in Item V.*]
- [☒] The membership of this **institution's IACUC has changed**. [*Provide current roster of members in Item VI.*]

II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency. [*Do not provide semiannual reports unless they include a minority view.*]

A. Program Evaluations

[*Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that evaluations be done at 6-month intervals. If the IACUC conducted more than 2 evaluations of the program during the reporting period, please attach a list showing the dates.*]

Date 1: 6-10-21	Date 2: 12-9-21
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B. Facility Inspections



[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that facility inspections be done at 6-month intervals. If the IACUC conducted more than 2 inspections of each site during the reporting period, please attach a list showing the dates.]

Date 1: See Appendix I	Date 2: See Appendix I
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III. Minority Views *[Select A or B]*

- ☒ A. There were **no minority** views during this reporting cycle.
- ☐ B. Any minority views submitted by members of the IACUC regarding reports filed under [PHS Policy IV.F.](#) for this reporting cycle are attached.

IV. Signatures

IACUC Chairperson	Institutional Official
Name: Heidi Jerome, M.D.	Name: Deborah Stiles, J.D.
Signature:  (b) (6)	 (b) (6)
Date: 11/10/2021	Date: 11/10/2021

V. Change in Institutional Official

Name:	
Title:	Degree/Credentials:
Name of Institution:	
Address: <i>[street, city, state, zip code]</i>	
Phone:	Fax:
E-mail:	

VI. Change in IACUC Membership [*Current roster*]

Institution: Columbia University			
IACUC Contact Information			
Address: 154 Haven Ave., Second Floor, New York, NY 10032			
E-mail: ms4387@columbia.edu			
Phone: (b) (6)		Fax: NA	
IACUC Chairperson			
Name: Heidi Jerome			
Title: Associate Professor of Anesthesiology and Pediatrics		Degree/Credentials: M.D.	
PHS Policy Membership Requirements***: Scientist			
IACUC Roster [<i>Provide below or attach</i>]			
Name of Member/ Code*	Degree/ Credentials	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
(b) (6)			Scientist
			Alternate to # 5
			Scientist
			Member
			Scientist
			Scientist
			Scientist
			Scientist

(b) (6)			
			Alternate to # 12, #19 , #22
			Alternate to #2
Brian Karolewski	V.M.D., Ph.D.	Director, Institute of Comparative Medicine	Attending Veterinarian
(b) (6)			Scientist
			Scientist
			Nonaffiliated
			Scientist
			Nonscientist
			Member
			Veterinarian
			Nonaffiliated
			Scientist
			Veterinarian
			Scientist
			Scientist
			Scientist

(b) (6)

APPENDIX I
Columbia University

Columbia University Assurance # D16-00003 (A3007-01) Semiannual Facility Inspection Dates for the Period of 10/01/2020 through 09/30/2021		
Laboratory, Unit, or Building (coded)	First Inspection Date	Second Inspection Date
(b) (4)	11/12/2020, 11/16/2020, 11/20/2020	5/12/2021, 5/17/2021, 5/26/2021
	11/20/2020	5/12/2021
	01/08/2021	7/26/2021
	01/08/2021	No Longer In Use
	01/08/2021	7/26/2021
	11/12/2020	5/12/2021
	2/10/2021	8/16/2021
	1/4/2021 (preoccupancy inspection), 2/10/2021	8/9/2021
	2/08/2021	8/09/2021
	2/11/2021	8/16/2021
	2/11/2021	8/16/2021
	2/11/2021	8/16/2021
	2/25/2021	8/11/2021
	2/12/2021	8/25/2021
	10/12/2020	4/30/2021
	10/19/2020	4/19/2021
	02/22/2021	08/23/2021
	October - November 2020 January – March 2021	April – May 2021, July – September 2021
	3/22/2021	9/20/2021
	3/22/2021	9/20/2021

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(b) (4)	3/22/2021	9/20/2021
	3/22/2021	9/20/2021
	Under construction	Under construction
	3/22/2021	9/20/2021
	3/8/2021	No longer in use
	3/8/2021	No longer in use
	10/14/2020, 10/16/2020, 10/21/2020, 10/26/2020, 10/29/2020	4/14/2021, 4/15/2021, 4/22/2021, 4/27/2021, 4/29/2021
	10/16/2020	4/22/2021
	10/26/2020	4/22/2021
	10/26/2020	4/22/2021
	10/21/2020 (preoccupancy inspection)	4/22/21
	10/21/2020 (preoccupancy inspection)	4/22/21
	7/16/2021 (preoccupancy inspection)	N/A
	8/18/2021 (preoccupancy inspection)	N/A
	8/18/2021 (preoccupancy inspection), 4/8/2020	N/A
	8/18/2021 (preoccupancy inspection)	N/A
	8/18/2021 (preoccupancy inspection)	N/A
	8/18/2021 (preoccupancy inspection)	N/A
	11/23/2020	5/17/2021
	3/22/2021	9/20/2021

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(b) (4)	3/22/2021	9/20/2021
	1/11/2021	7/19/2021
	1/21/2021	7/21/2021
	1/11/2021	7/21/2021
	1/11/2021	7/21/2021
<p>*Sites where animals were housed outside the ICM Central Animal Facilities during the reporting period.</p> <p>** Investigator laboratories located in research buildings.</p> <p>NOTE: Site numbers do not necessarily directly correspond to site numbers in the Columbia University 2020 OLAW annual report.</p>		