



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

May 25, 2021

Re: Animal Welfare Assurance
A3347-01 [OLAW Case 2Z]

Dr. Emmanuel Giannelis,
Vice Provost for Life Sciences
Cornell University
(b) (4) Day Hall
Ithaca, NY 14850

Dear Dr. Giannelis,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 15, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Cornell University. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the Cornell University Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: animal housing greater than 24h. The final report states on March 22, 2021, a cage containing four mice was housed in a procedure room for greater than the 24h permitted in the approved IACUC protocol. The animals were imaged overnight and the lab member responsible for the mice lost her vivarium access card and was unable to return the mice to the vivarium. It is stated the mice were properly cared for while outside animal facility.

In response to the incident, the Cornell Center for Animal Resources and Education (CARE) veterinary staff contacted the lab member responsible for animals, and the mice were returned to their housing location. The individual was given the emergency CARE contact information and reminded that the protocol states mice will only remain in the lab for up to 24h. The principal investigator agreed to discuss the event with lab members and remind them to follow the approved protocol. The IACUC discussed the matter at the April 15, 2021 meeting and agreed the corrective actions were appropriate.

It is understood the research is supported by PHS funding. Based on its assessment of this explanation, OLAW understands that the Cornell University has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,
Jacquelyn T.
Tubbs -S
Jacquelyn Tubbs, DVM, DACLAM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

Digitally signed by Jacquelyn T.
Tubbs -S
Date: 2021.05.25 15:12:03
-04'00'

cc: IACUC Contact



Cornell University
Office of
Research Integrity and Assurance

395 Pine Tree Road, (b) (4)
 Ithaca, NY 14850
 Phone: (b) (6)
 Fax: (b) (6)
 Email: iacuc@cornell.edu
www.oria.cornell.edu

May 15, 2021

Axel Wolff, MS, DVM, DACLAM
 Deputy Director, Office of Laboratory Animal Welfare
 National Institutes of Health
 6700B Rockledge Drive, Suite 2500, MSC 6910
 Bethesda, MD 20892

Animal Welfare Assurance Number: D16-00225 (A3347-01) Cornell University – Ithaca
 PHS Grant or Contract Number: NSF DBI-1707312

Dear Dr. Wolff:

As Institutional Official for Cornell University's animal care and use program, I am reporting an incident of noncompliance that occurred under our General Assurance. This incident involved four mice and occurred on March 22, 2021. This issue was reported to the IACUC at the April 15, 2021 meeting, and the IACUC agreed that the corrective actions taken were appropriate.

Explanation of the situation:

A cage of four mice were housed in a procedure room for longer than the 24 hours permitted in the approved IACUC protocol. Mice were being imaged overnight, and the lab member responsible for the mice lost her vivarium access card, and therefore, was unable to access the vivarium to return the mice. The mice were appropriately cared for while in Clark Hall.

Action taken by the institution:

Cornell Center for Animal Resources and Education (CARE) veterinary staff contacted the lab member responsible for these mice, and the mice were moved back to their housing location. The lab member was also given the emergency CARE contact information in case there is ever an issue in the future in which mice cannot be returned to the housing location or if there are any other concerns. The lab member was reminded that the protocol states mice will only remain in the lab for up to 24 hours. The Principal Investigator also agreed to discuss the situation with lab members and remind them to follow the approved protocol.

If you have any questions concerning this incident of noncompliance with our General Assurance, please contact Christine Bellezza, Director of Research Assurance in the Office of Research Integrity and Assurance, at cab37@cornell.edu.

Sincerely,

(b) (6)

Emmanuel Giannelis
 Vice President for Research and Innovation

cc:

(b) (6)

C. Bellezza
 AAALAC, International

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, May 19, 2021 7:03 AM
To: Christine A. Bellezza
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Cornell University Report of Noncompliance

Thank you for this report, Dr. Bellezza. We will respond soon.

Axel Wolff

From: Christine A. Bellezza <cab37@cornell.edu>
Sent: Tuesday, May 18, 2021 12:25 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6); Office of the Vice President for Research and Innovation <vp_research@cornell.edu>; (b) (6)
Subject: Cornell University Report of Noncompliance

Dear Dr. Wolff,

Please see the attached report from Cornell University's Vice Provost for Research, Dr. Emmanuel Giannelis, describing an adverse event that occurred under our General Assurance. Please let me know if you have any questions or concerns.

Sincerely,
Chris

Christine A. Bellezza, DVM
Director of Research Assurance
Office of Research Integrity and Assurance (ORIA)
Cornell University / (b) (4) 395 Pine Tree Rd / Ithaca, NY 14850
T (b) (6) / Email cab37@cornell.edu
www.oria.cornell.edu

NOTE: ORIA is working remotely until further notice – the best way to reach each of us is via email.