

Annual Report to OLAW

Institution: Cornell University
Assurance Number: D16-00225 (A3347-01)
Reporting Period: October 1, 2020 - September 30, 2021

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

I. Program Changes

- ☐ A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance.
- ☒ B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period.

Select all that apply:

- ☐ This institution's AAALAC accreditation status has changed ([PHS Policy IV.A.2.](#)).
- ☐ [AAALAC Accredited](#) – Category 1
- ☐ Non-Accredited – Category 2
- ☒ This institution's program for animal care and use has changed ([PHS Policy IV.A.1.a-i.](#)). **Please see Appendix 1.**
- ☐ The individual designated by this institution as the Institutional Official has changed.
- ☒ The membership of this institution's IACUC has changed. **Please see current roster of members in Item VI below.**

II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency.

A. Program Evaluations

Date 1: February 18, 2021	Date 2: August 19, 2021
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B. Facility Inspections



Date 1: October 1, 2020 See Appendix 2*	Date 2: February 12, 2021 See Appendix 2*	Date 3: August 13, 2021 See Appendix 2*
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***Due to the large number of facilities inspected, inspections are conducted on a rolling basis. Inspections are scheduled such that each facility is inspected approximately six months from its previous inspection.**

III. Minority Views

- [☒] A. There were **no minority** views during this reporting cycle.
- [☐] B. Any minority views submitted by members of the IACUC regarding reports filed under [PHS Policy IV.F](#) for this reporting cycle are attached.

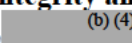
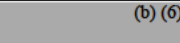

IV. Signatures

IACUC Chairperson	Institutional Official
Name: Ned J. Place	Name: Emmanuel Giannelis
Signature:  (b) (6)	Signature:  (b) (6)
Date: November 19, 2021	Date: November 22, 2021

V. Change in Institutional Official

Name: No Change	
Title:	Degree/Credentials:
Name of Institution:	
Address:	
Phone:	Fax:
E-mail:	

VI. Change in IACUC Membership

Institution: Cornell University	
IACUC Contact Information	
Address: Office of Research Integrity and Assurance 395 Pine Tree Road,  (b) (4) Ithaca, NY 14850	
E-mail: iacuc@cornell.edu	
Phone:  (b) (6)	Fax:  (b) (6)
IACUC Chairperson	
Name: No Change	
Title:	Degree/Credentials:
PHS Policy Membership Requirements***:	

IACUC Roster as of October 14, 2021			
Name of Member/ Code*	Degree/ Credentials	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
Ned Place	PhD, MD	Professor, Reproductive Biology, Endocrinology	Chair
Philippe Baneux	DVM, DipECLAM	Director of CARE, Laboratory Animal Medicine	Attending Veterinarian
(b) (6)			Nonaffiliated Member/ Non-scientist
			Alternate Non-scientist
			Nonaffiliated Member
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Member
			Alternate Member
			Scientist
			Scientist
			Alternate Scientist

Name of Member/ Code*	Degree/ Credentials	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
(b) (6)			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Alternate Scientist
			Scientist
			Alternate Scientist
			Alternate Scientist
			Alternate Veterinarian
			Alternate Veterinarian
			Alternate Veterinarian
			Alternate Veterinarian

Name of Member/ Code*	Degree/ Credentials	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
(b) (6)			Alternate Veterinarian
			Alternate Veterinarian
			Alternate Veterinarian
			Alternate Veterinarian
			Alternate Veterinarian

* Names of members, other than the chairperson and veterinarian, are represented by a number in this report to OLAW. Sufficient information to determine that all appointees are appropriately qualified is provided and the identity of each member is readily ascertainable by the institution and available to authorized OLAW or other PHS representatives upon request.

** Specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not “community member” or “retired”).

*** [PHS Policy](#) Membership Requirements: Veterinarian, Scientist, Nonscientist, Nonaffiliated

VII. Appendix 1: Changes to the Program for Animal Care and Use ([PHS Policy IV.A.1.a-i](#))

1. Due to the COVID-19 pandemic, IACUC meetings were held via Zoom and followed provisions within NIH Guide Notice NOT-OD-06-052 starting in March 2020 and continued to be held via Zoom through the submission of this report.

(b) (6)



VIII. Appendix 2: Facility Inspection Reports

Date of Inspection	Date of Inspection	Date of Inspection	Facility Code
10/1/2020	2/12/2021	8/13/2021	1
10/2/2020	2/15/2021	8/16/2021	2
10/5/2020	2/17/2021	8/19/2021	3
10/6/2020	3/25/2021	9/30/2021	4
10/6/2020	3/25/2021	9/30/2021	5
10/6/2020	3/25/2021	9/30/2021	6
10/6/2020	3/25/2021	9/30/2021	7
10/7/2020	2/18/2021	8/19/2021	8
10/8/2020	2/19/2021	8/20/2021	9
10/8/2020	2/19/2021	8/20/2021	10
10/8/2020	2/19/2021	8/20/2021	11
10/8/2020	2/19/2021	8/20/2021	12
10/8/2020	2/19/2021	8/20/2021	13
10/8/2020	5/18/2021	8/20/2021	14
10/9/2020	2/22/2021	8/23/2021	15
10/9/2020	2/22/2021	8/23/2021	16
10/9/2020	2/22/2021	8/23/2021	17
10/9/2020	3/4/2021	9/1/2021	18
10/12/2020	2/25/2021	8/30/2021	19
10/12/2020	2/25/2021	8/30/2021	20
10/12/2020	2/25/2021	8/30/2021	21
10/12/2020	2/25/2021	8/30/2021	22
10/13/2020	3/2/2021	9/3/2021	23
10/14/2020	2/24/2021	8/26/2021	24
10/14/2020	2/24/2021	8/26/2021	25
10/15/2020	2/23/2021	8/25/2021	26
10/16/2020	3/1/2021	9/1/2021	27
10/16/2020	3/1/2021	9/1/2021	28
10/16/2020	Not in use	8/19/2021	29
10/16/2020	2/15/2021	8/16/2021	30
10/19/2020	3/3/2021	9/2/2021	31
10/19/2020	3/3/2021	9/8/2021	32
10/20/2020	3/4/2021	9/9/2021	33
10/22/2020	3/31/2021	10/6/2021	34
10/22/2020	3/31/2021	10/6/2021	35
10/26/2020	3/5/2021	9/10/2021	36
10/28/2020	4/2/2021	9/13/2021	37
10/29/2020	3/10/2021	9/15/2021	38
10/29/2020	2/22/2021	8/23/2021	39
10/29/2020	2/22/2021	8/23/2021	40
10/30/2020	3/11/2021	9/17/2021	41
11/4/2020	3/12/2021	9/20/2021	42

Date of Inspection	Date of Inspection	Date of Inspection	Facility Code
11/5/2020	3/15/2021	9/22/2021	43
11/6/2020	3/17/2021	9/23/2021	44
11/13/2020	5/19/2021	11/19/2021	45
11/16/2020	4/5/2021	10/7/2021	46
11/16/2020	3/24/2021	9/29/2021	47
11/17/2020	3/26/2021	10/1/2021	48
11/18/2020	3/22/2021	9/27/2021	49
11/19/2020	3/19/2021	9/27/2021	50
12/3/2020	2/25/2021	8/30/2021	51
12/16/2020	3/19/2021	9/27/2021	52
Not inspected due to COVID-19	5/12/2021	Scheduled for 12/2/2021	53
Not inspected due to COVID-19	5/12/2021	Scheduled for 12/2/2021	54
Inspected Fall 2020	2/3/2021	8/2/2021	55
Inspected Fall 2020	2/3/2021	8/2/2021	56
Inspected Fall 2020	2/4/2021	8/4/2021	57
Inspected Fall 2020	2/5/2021	8/5/2021	58
Inspected Fall 2020	2/8/2021	8/9/2021	59
Inspected Fall 2020	2/8/2021	8/9/2021	60
Inspected Fall 2020	2/11/2021	8/12/2021	61
Inspected Fall 2020	2/11/2021	8/12/2021	62
Inspected Fall 2020	2/11/2021	8/12/2021	63
Inspected Fall 2020	2/11/2021	8/12/2021	64
Inspected Fall 2020	2/11/2021	8/12/2021	65
Inspected Fall 2020	2/18/2021	8/2/2021	66
Inspected Fall 2020	3/9/2021	8/2/2021	67
New rooms in 2021	3/23/2021	8/16/2021	68
2/11/2021	4/1/2021	8/12/2021	69
10/22/2020	4/15/2021*	10/6/2021	70
New room in Spring 2021	2/3/2021	8/4/2021	71
Inspected Fall 2020	Annual Inspection	8/11/2021	72
New room in Fall 2021	New room in Fall 2021	8/17/2021	73
New room in Fall 2021	New room in Fall 2021	8/20/2021	74
New space in Fall 2021	New space in Fall 2021	9/15/2021	75
10/5/2020	2/17/2021	9/15/2021	76
New space in Fall 2021	New space in Fall 2021	9/21/2021	77